

Three-dimensional unified theoretical approach to ultimate horizontal pullout capacity of vertical square anchor

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ABSTRACT

Horizontal pullout mechanism and bearing capacity research of vertical square anchor plate has the problem of artificial distinguishing shallow and deep buried types, but non-uniform definition standard. The presented thesis is devoted to the research of three-dimensional unified model and theoretical approach of horizontal ultimate pullout capacity of vertical square anchor plate based on deep analysis of failure mechanism. Symmetry of failure mechanism varying with soil property and buried depth ratio in vertical and horizontal directions were reflected by the evolution of projected triangles of rectangular pyramid soil core before anchor plate to the vertical plane and horizontal plane respectively under ultimate load. Based on that, Three-dimensional unified mechanical model was built and corresponding theoretical approach was derived using limit equilibrium analysis method. Comparison with test datum indicated that new approach performed the best for the calculation was more closely to the measured values with smaller discreteness and the average was generally safe compared with three other methods.

Keywords: vertical square anchor; horizontal pullout capacity; mechanical model; three-dimensional unified theoretical approach

1 INTRODUCTION

In the design of anchor plate retaining wall, the ultimate horizontal pulling capacity of vertical anchor plate must be determined. However, the current research on the bearing capacity of vertical anchor plate mainly focuses on strip anchor plate (G.S. Kame et al., 2012), while rectangular or circular anchor plate considers its three-dimensional effect by introducing the method of shape coefficient on this basis (Zhu et al., 2006), and there is almost no research on the direct three-dimensional theoretical analysis. In addition, the current research generally follows the definition of shallow or deep buried first, and then, using the assumption of fixed asymmetric or symmetric form of sliding line field to construct mechanical models for shallow and deep buried anchor plate respectively (Miyata, Y. et al., 2011; Neely, W.J. et al., 1972). However, the symmetry of slip line field should not be fixed artificially, nor should there be a fixed boundary between shallow buried and deep buried. Based on vertical square anchor plate as the object, the research aims to build the three-dimensional mechanical model under ultimate horizontal pulling which can reflect the symmetry properties of slip line field in front of the plate varying with buried depth ratio and soil parameters continuously, without artificial distinguishing shallow anchor from a deep anchor. Unified theoretical approach of the ultimate

bearing capacity will be derived based on limit equilibrium analysis, whose rationality will be validated by comparing with three other theoretical methods, laboratory and field test results.

2 MODEL AND THEORETICAL DERIVATION

Under horizontal pulling load, a four-pyramid soil core will be formed gradually in front of the square plate, whose force diagram is shown in Figure 1.

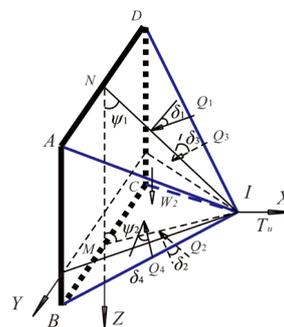


Fig.1. Rectangular pyramid soil core before plate

The resultant soil pressures on the four sides of the core are Q_1 , Q_2 , Q_3 and Q_4 respectively, and the angles between these forces to the normal directions of the corresponding surfaces are δ_1 , δ_2 , δ_3 and δ_4 . Two base angles of triangle MNI, ψ_1 and ψ_2 satisfy the Eq. (1).

$$\begin{aligned} \varphi &\leq \psi_1 \leq \pi/4 + \varphi/2 \\ \pi/2 &\geq \psi_2 \geq \pi/4 + \varphi/2 \\ \psi_1 + \psi_2 &= \pi/2 + \varphi \end{aligned} \quad (1)$$

φ is the internal friction angle of soil. After the rectangular pyramid soil core formed, the four sides will continue to extrude the soil in the direction they faced in the subsequent pulling process, and eventually form a three-dimensional sliding body in front of the plate as shown in figure 2 when the pulling enters into failure state.

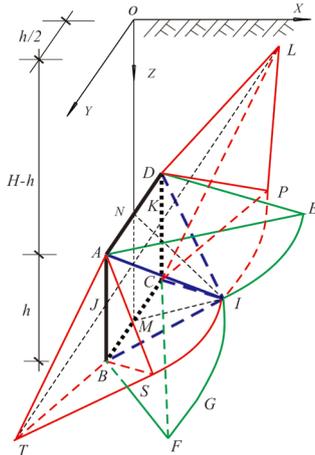


Fig.2. Three-dimensional sliding body before plate

The green sliding body is formed by the vertical (Z direction) compression of the upper and lower sides of the soil core. The red sliding body is formed by the horizontal (Y direction) compression of the front and back sides of the soil core. $\angle FMN$ changes with the increase of buried depth ratio from $\pi/2$ to $(\pi/4 + \varphi/2) + \pi/2$, $\angle FMI$ satisfies the Eq. (2).

$$\angle FMI = -\pi(2\psi_2 - \pi)/(\pi - 2\varphi) \quad (2)$$

The triangular body ADEI, the curved edge body BCFG, ABTGI and CDLPI were taken in turn for mechanical equilibrium analysis, and the resultant soil pressures on the four sides of rectangular pyramid soil core were obtained as Eq. (3) to Eq. (5).

$$Q_1 = \frac{(W_1 + qS_{\Delta ADE})\sin(\alpha + \beta)}{\sin(\pi/2 + \psi_1 - \delta_1 - \alpha - \beta)} \quad (3)$$

$$Q_2 = \sqrt{T_1^2 + [R_3(R_5)]^2 - 2T_1[R_4(R_6)]\cos(\theta_1 - \angle 1)} \quad (4)$$

$$Q_3 = Q_4 = q_u S \quad (5)$$

W_1 is the weight of triangular body ADEI; $\alpha = \pi/4 - \varphi/2$; $R_1(R_2)$ is the sum of the vertical components of the soil pressure resultant forces on surface DEI and surface AEI; β is the angle between $R_1(R_2)$ and line EI; $\delta_1 = \arctan(c/\sigma + \tan\varphi)$; c is the cohesion parameter of soil; σ is the average soil pressure on $\triangle ADI$; q_u is the uniformly distributed load equivalent to the weight of

soil above $\triangle ADE$, which is equal to $\gamma(H-h)$; $R_3(R_5)$ represents the sum of vertical components of the earth pressure resultant force R_3 on surface CGI and R_5 on $\triangle BGI$, while $R_4(R_6)$ represents the sum of vertical components of the earth pressure resultant force R_4 on surface BFG and R_6 on $\triangle CFG$; T_1 is the resultant force of resultant soil pressure acted on $\triangle BCF$, $R_4(R_6)$ and gravity of curved edge BCFG; $\angle 1$ is the angle between T_1 and $R_4(R_6)$ while θ_1 is the angle of $R_3(R_5)$ to $R_4(R_6)$; areas of $\triangle ABI$ and $\triangle CDI$ are equal to S ; $q_u = q_2 N_q + c N_c$, q_2 is the average earth pressure at rest acted on $\triangle ABT$ and $\triangle CDL$; N_q and N_c are coefficients of Meyerhof foundation ultimate bearing capacity; the angle between surface ABI to plate is ζ , so is the angle between surface CDI to plate.

Taking soil core of ABCDI as the research object, the vertical mechanical equilibrium equation is shown in Eq. (6).

$$Q_1 \sin(\psi_1 - \delta_1) + W_2 - Q_2 \sin(\psi_2 - \delta_2) = 0 \quad (6)$$

W_2 is the soil weight of pyramid ABCDI. ψ_1 can be solved by the above equation, and then Q_1 , Q_2 can be calculated by Eq. (3) and Eq. (4).

The formula for calculating the ultimate bearing capacity of square anchor plate in horizontal pulling can be obtained from the mechanical equilibrium relation in horizontal direction as shown in Eq. (7).

$$\begin{aligned} T_u &= Q_1 \cos(\psi_1 - \delta_1) + Q_2 \cos(\psi_2 - \delta_2) \\ &+ Q_3 \cos(\zeta - \delta_3) + Q_4 \cos(\zeta - \delta_4) \end{aligned} \quad (7)$$

3 VERIFICATION

For a square anchor plate with a size of 0.3 m in the soil with weight $\gamma = 15 \text{ kN/m}^3$, same internal friction angle φ and different cohesion c , Figure 3 shows the regulation of upper and lower base angles of the soil core, ψ_1 , ψ_2 and their ratios versus the buried depth H/h . It can be seen that, with different cohesion c , as the depth ratio increases, the upper base angle ψ_1 increases while the lower base angle ψ_2 decreases, and ψ_2/ψ_1 approaches to 1, which is in good agreement with the expectation of the model. It means that the model can unified express the characteristics of shallow buried and deep buried anchor plates effectively.

32 data points of field and indoor large-size pulling test on square anchor plate as shown in Table 1, these datas were collected from China, Japan and the United States. Three other methods of PWRC, Miyata amendment and Terzaghi will be used to calculate the ultimate bearing capacity of anchor plates in Table 1, and then compare with the results of three-dimensional

Table 1 Summary of site and laboratory anchor-plate pullout tests

Test series	Soil	Tri-axial test type	ϕ (deg)	c (kPa)	γ (kN/m ³)	Plate size B (m)	Depth (m)	T_u (kN)	References	Place	
1	Cohesive soil	CU	29.5	19.6	19.7	0.5	2	333.2		Field test, China	
2							4	321.4			
3							0.75	2			364.6
4							3	153			
5	Cohesive soil	CU	26.5	19.6	16.7	0.5	3	205.8	Zhang et al., 1996	Field test, China	
6							3	235.2			
7							0.75	3			368.5
8							3	431.2			
9	Cohesive soil	CU	29	26.5	18.7	0.6	3	305.8	J.E. Smith, 1957	Field test, China	
10	Cohesive soil	CU	26.5	19.6	19.4	0.8	3.3	520.6		Field test, China	
11	Cohesive soil	CU	32	8.96	15.7	0.9144	2.286	711.68	J.E. Smith, 1957	Field test, USA	
12							3.311	24.8			
13						0.106	6.623	34.2			
14	Sandy soil	CD	35	0	15.1		9.934	42.6	Takeoka et al., 2009	Laboratory, Japan	
15							3.311	31.2			
16							0.125	6.623			42.2
17							9.934	56.6			
18							2	103			
19	Coarse sand	CD	36	0	16	0.3	3	111.8	PWRC, 1995	Laboratory, Japan	
20							4	117.8			
21							5	119.1			
22							2	60.8			
23	Fine sand	CU	30	2	15.4	0.3	3	83.8	Miyata et al., 2010	Laboratory, Japan	
24							4	89.4			
25							5	82.4			
26							3	40.6			
27	Silt sand	CU	11	4	15.2	0.3	3	44.4	Miyata et al., 2011	Laboratory, Japan	
28							4	44.8			
29							4	50.5			
30							0.1	0.3			1.847
31	Cohesive soil	CU	13.1	18	15	0.1	0.5	3.038	Fukuoka et al., 1984	Laboratory, Japan	
32							0.2	0.5			12.58

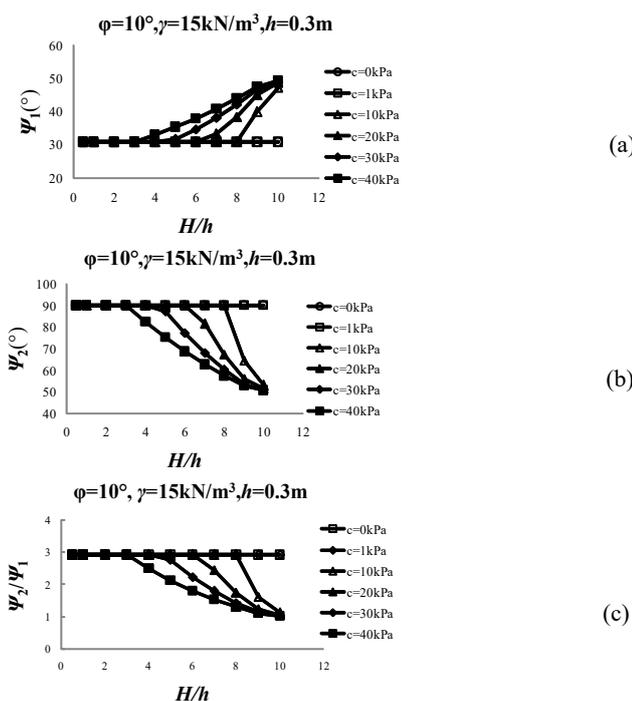


Fig.3. Upper and lower angles versus buried ratio

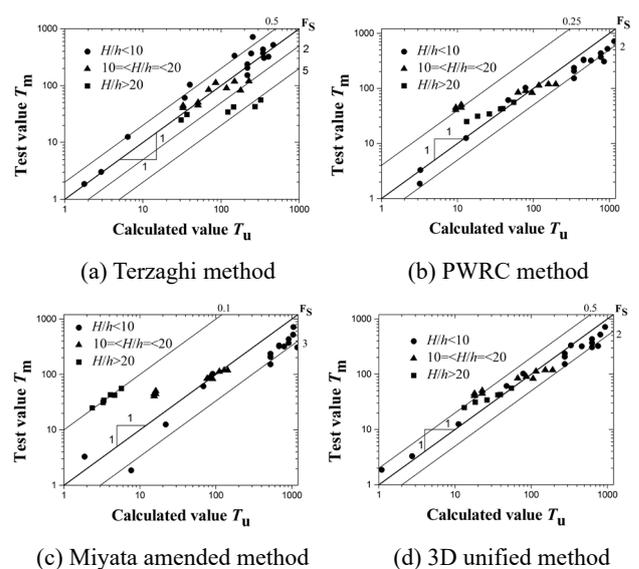


Fig.4. Comparison of different methods

unified theoretical approach proposed in this paper. The comparison results are shown in Figure 4. It can be seen from the figures that under different buried depth ratios,

the ratio(F_s) points of T_u calculated by Terzaghi method to the measured value T_m are evenly distributed on both sides of the 1:1 line, only for the buried depth ratio $H/h > 20$, the calculated value is more serious larger, and the maximum is more than 5 times of the measured one. Compared with the Terzaghi method, F_s of the PWRC method has a more obvious tendency due to the buried depth ratio. When the depth ratio $H/h < 10$, the calculation value is general too large. When the buried depth ratio H/h is between 10 and 20, most of them are uniformly located on both sides of the 1:1 line, except for the fact that some individual data points are too small. After the buried depth ratio $H/h > 20$, the calculated value is generally small. Miyata proposed an amendment to PWRC method based on a large number of experimental data, but the calculations show that the correction doesn't work very well, which further magnifies the tendency of the PWRC method to be affected by the buried depth ratio. When the buried depth ratio $H/h < 10$, the calculation value is general too large, and the maximum is more than 3 times of the measured value, which is dangerous. When the buried depth ratio is $H/h > 20$, the calculated value is only 10% of the measured value. The ultimate bearing capacity of the anchor plate is seriously underestimated. The reason of this problem is probably because the data used is the indoor model test data, the majority of the anchor plate size is less than 5cm, but the minimum size of the anchor plate collected this time is 0.1m, the maximum is 0.9144m. This shows that the ultimate bearing capacity of the anchor plate has a strong size effect, and the data obtained from small size indoor model test is very unreliable for engineering practice. The three-dimensional unified theoretical solution is similar to PWRC method, which has a similar tendency, but it's more better than that of the latter. the ratio points are evenly distributed on both sides of the 1:1 line and more closer to it.

Statistical analysis of deviation shows that the mean values of F_s are 1.33, 2.687, 1.088, 0.986, and COV of variation coefficients were 0.918, 1.346, 0.582 and 0.351 for the four methods respectively. The accuracy of three-dimensional unified theoretical solution is more than 30% higher than that of PWRC method. Although the mean value is not much different from that of the Terzaghi method, the dispersion degree is also significantly reduced by more than 30%. So the performance of three-dimensional unified theoretical approach is the best.

4 CONCLUSION

In the ultimate pulling state, a quadrature pyramid soil core will be formed before anchor plate. The projection of this soil core onto the vertical plane is a triangle, the shape variation of which can be used to reflect the continuous change regulation of the slip line field before anchor plate with the soil property and buried depth ratio. Based on this, a unified mechanical model was built and limit equilibrium analysis method was used to derive the three-dimensional unified

theoretical solution of the ultimate bearing capacity. The rationality of the three-dimensional unified theoretical solution was proved by the comparison with three other theoretical methods and the large size indoor and outdoor test datas.

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