



Tunnels Underground Excavations

Design Analyses
Case Studies and Observed Performance

Giovanni Barla



Department of Structural and Geotechnical Engineering



Lecture 3: Tunnelling under Squeezing Conditions





Lecture Outline

- Introduction
- Identification and Quantification
- Excavation and Support Methods
- Design analyses
- Case study



It was associated with deformations, damage or destruction of the timber supports used

The term “squeezing rock” originates from the pioneering days of tunnelling through the Alps



SQUEEZING

SQUEEZING stands for large time - dependent convergence during tunnel excavation. It takes place when a particular combination of induced stresses and material properties pushes some zones around the tunnel beyond the limiting shear stress at which creep starts. Deformation may terminate during construction or continue over a long period of time

SQUEEZING

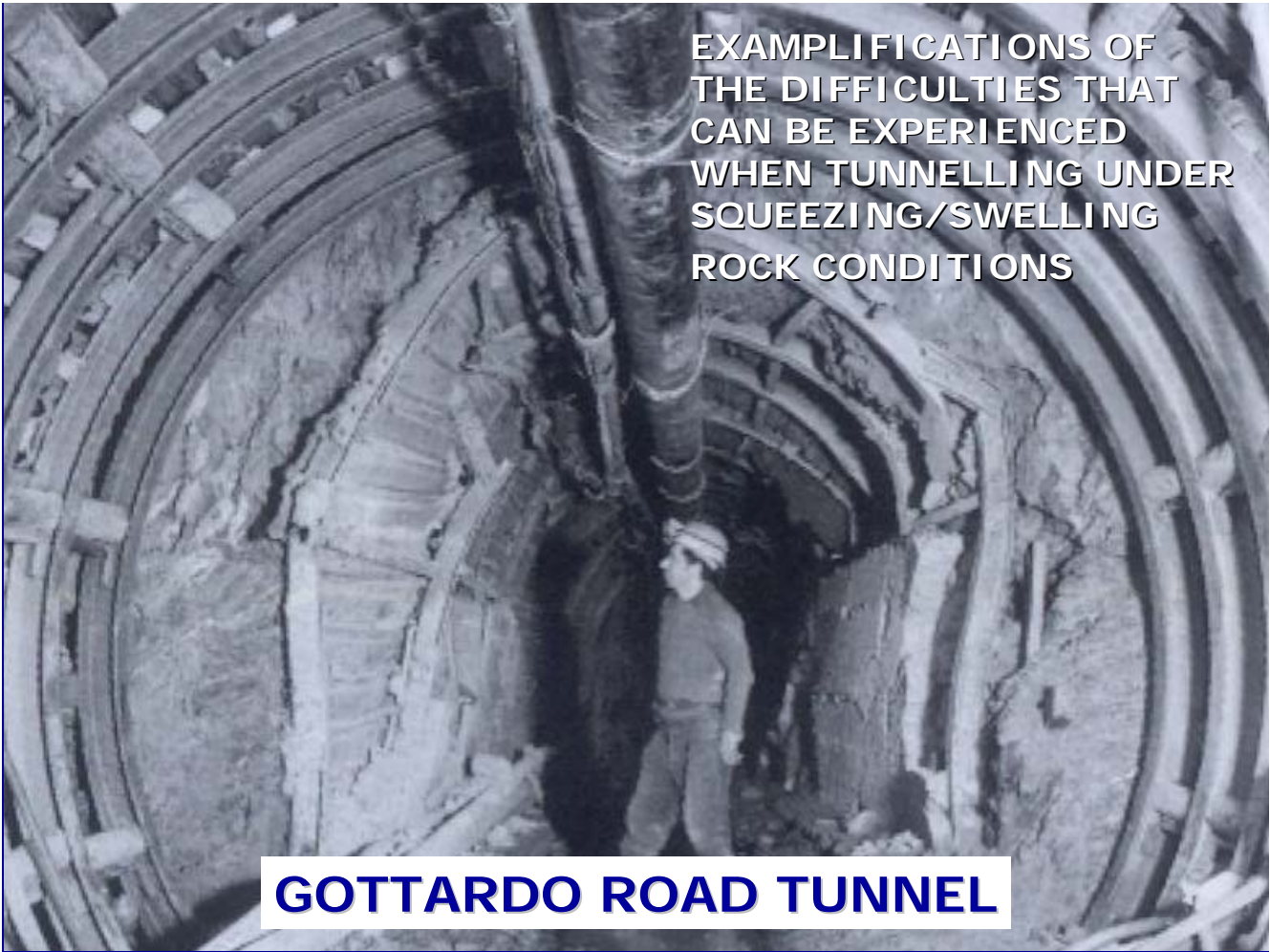
SQUEEZING is closely related to the excavation and support techniques which are adopted. If the support installation is delayed, the rock mass moves into the tunnel and a stress redistribution takes place around it. On the contrary, if deformation is restrained, squeezing will lead to long-term load build-up of rock support

SQUEEZING

The *tunnel convergence*, the *face extrusion*, the *rate of deformation* and the extent of the *yielding zone* around the tunnel depend on the geological and geotechnical conditions, the in situ state of stress relative to the rock mass strength, the ground water flow and pore pressure, and the rock mass properties

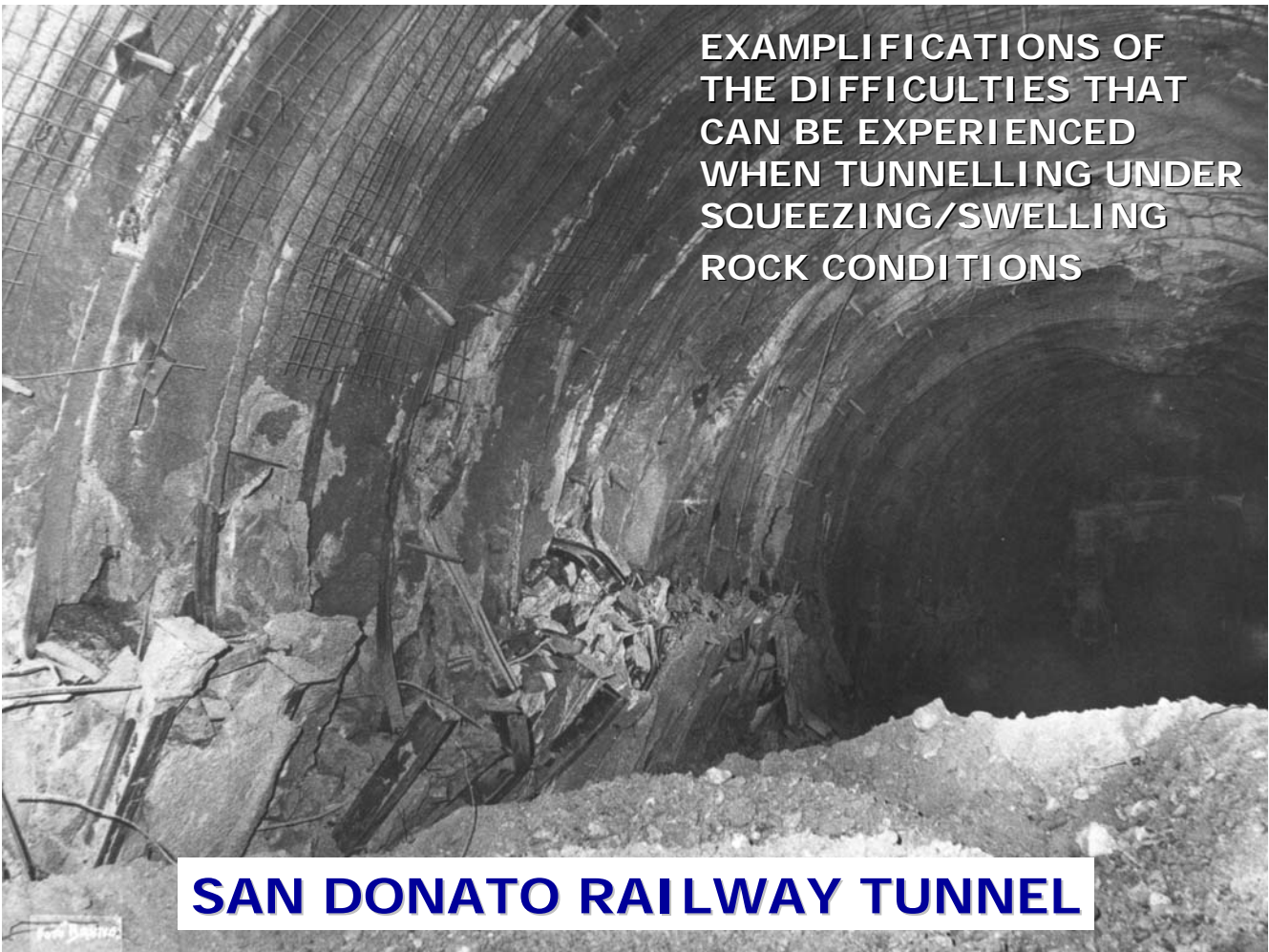
SQUEEZING

The *large deformations* associated with squeezing may also occur in rocks susceptible to *swelling*. Although the causes resulting in either a behaviour or the other one are different, it is often difficult to distinguish between squeezing and swelling, as the two phenomena may occur at the same time and induce similar effects



EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS

GOTTARDO ROAD TUNNEL




EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS

SAN DONATO RAILWAY TUNNEL



EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS

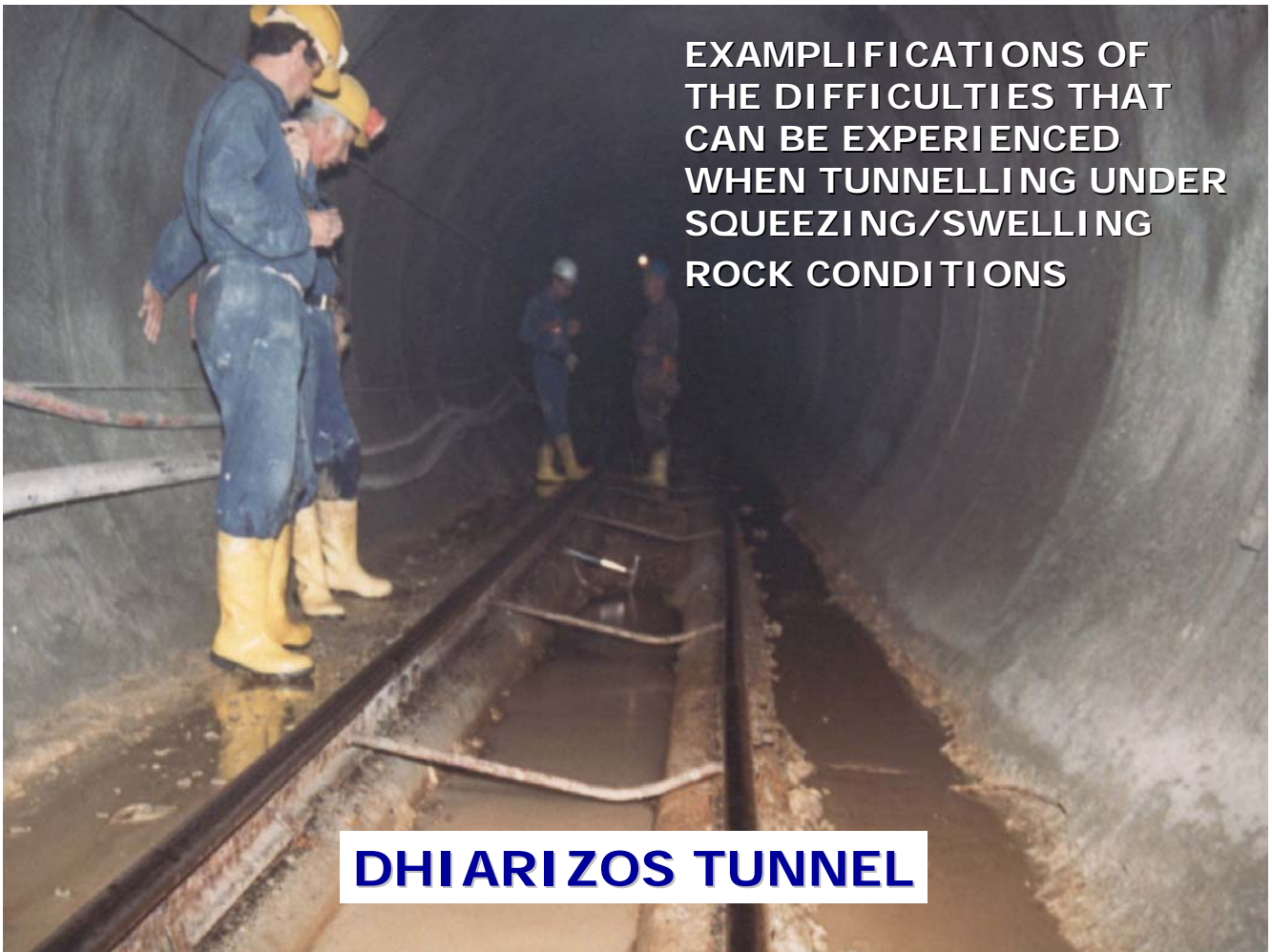
SAN DONATO RAILWAY TUNNEL



EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS

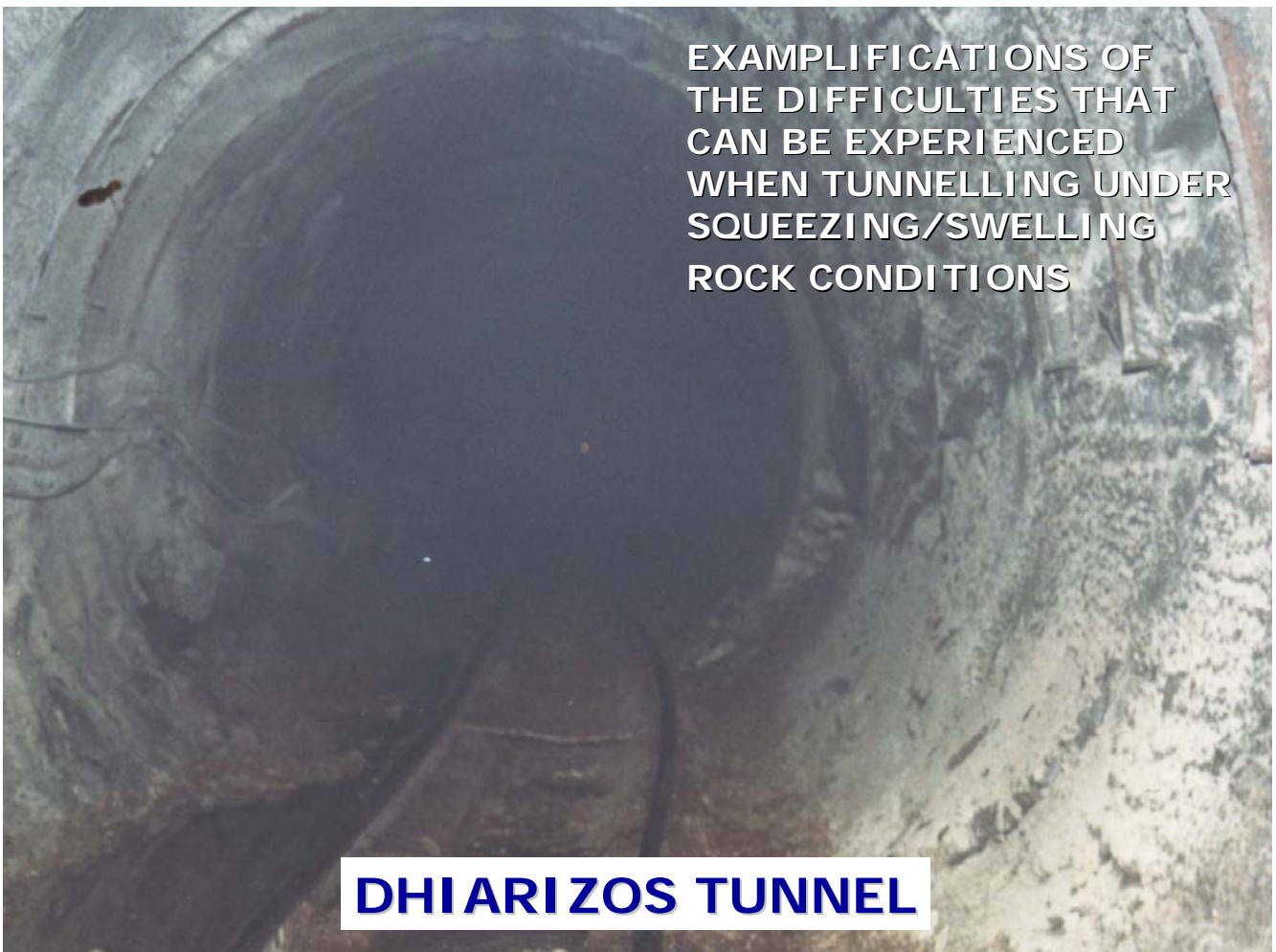
SPARTIACQUE TUNNEL

EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS



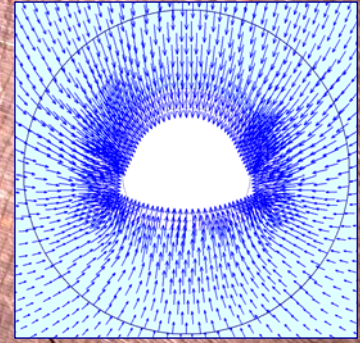
DHIARIZOS TUNNEL

EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS



DHIARIZOS TUNNEL

**EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS**



BORGALLO TUNNEL

**EXAMPLIFICATIONS OF
THE DIFFICULTIES THAT
CAN BE EXPERIENCED
WHEN TUNNELLING UNDER
SQUEEZING/SWELLING
ROCK CONDITIONS**



ORTE TUNNEL

Different Methods...

1. Jethwa et al. 1984

2. Singh et al. 1992

3. Aydan et al. 1993

4. Goel et al. 1995

5. Hoek and Marinos 2000

Methods...based on different parameters such as:

Rock Mass Quality Q

Rock Mass Number N (Q for $SRF=1$)

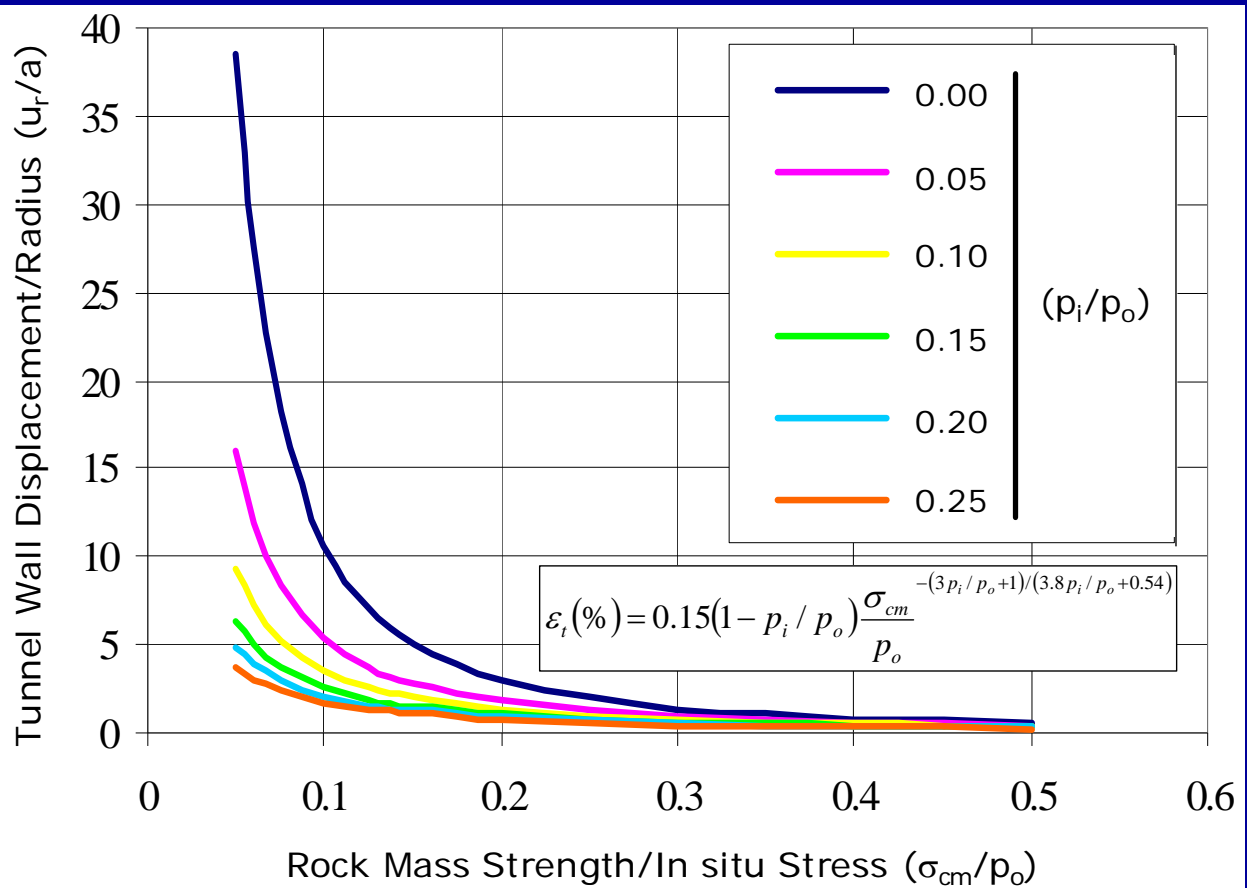
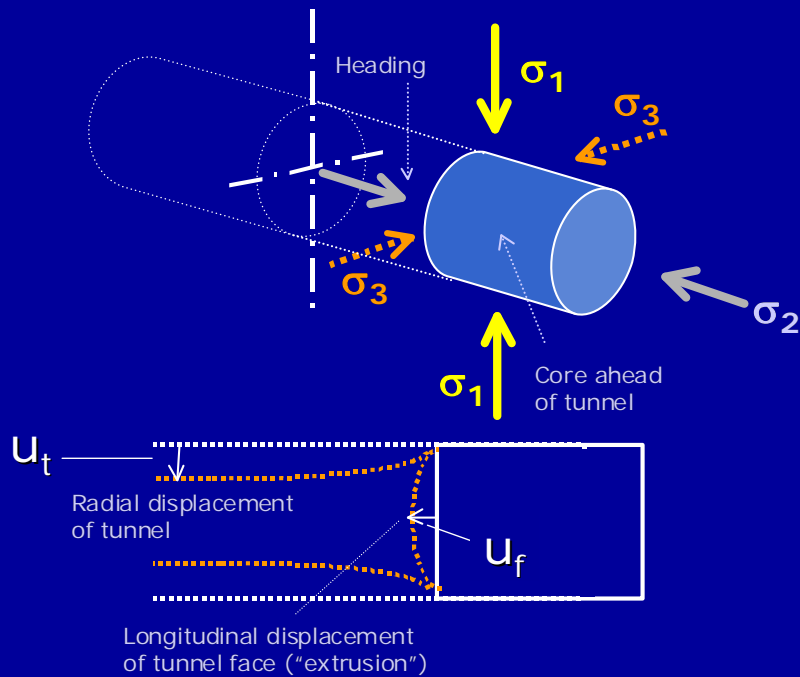
Tunnel Depth H

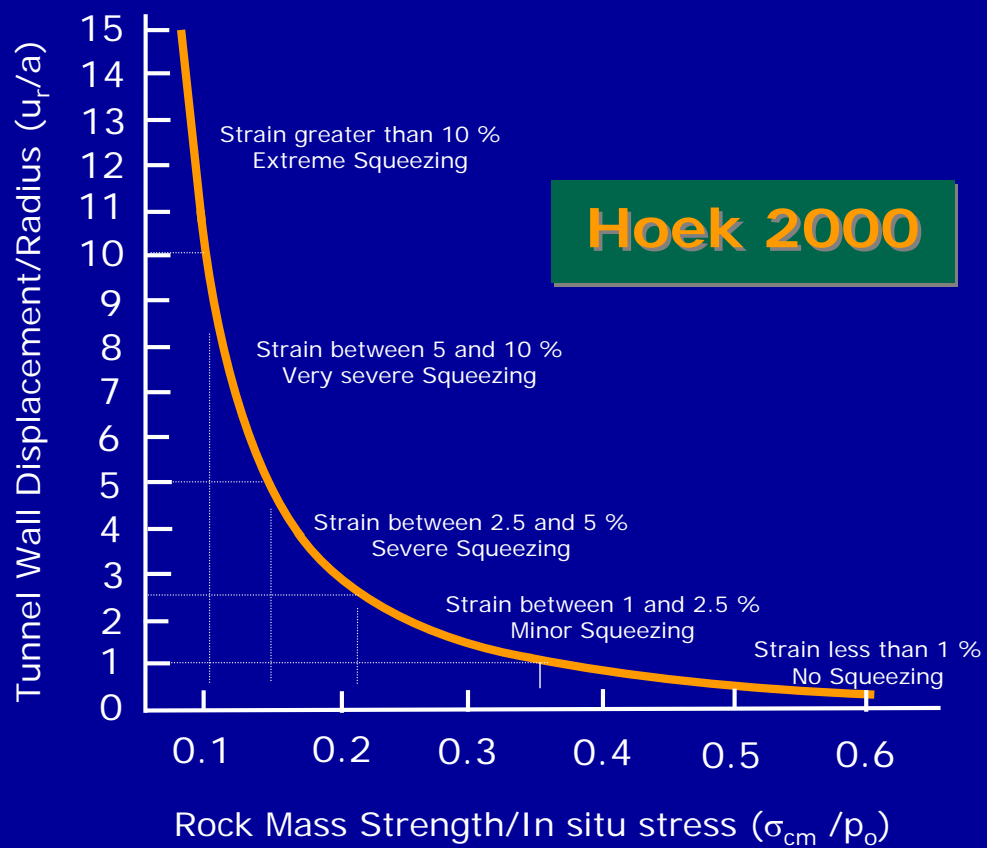
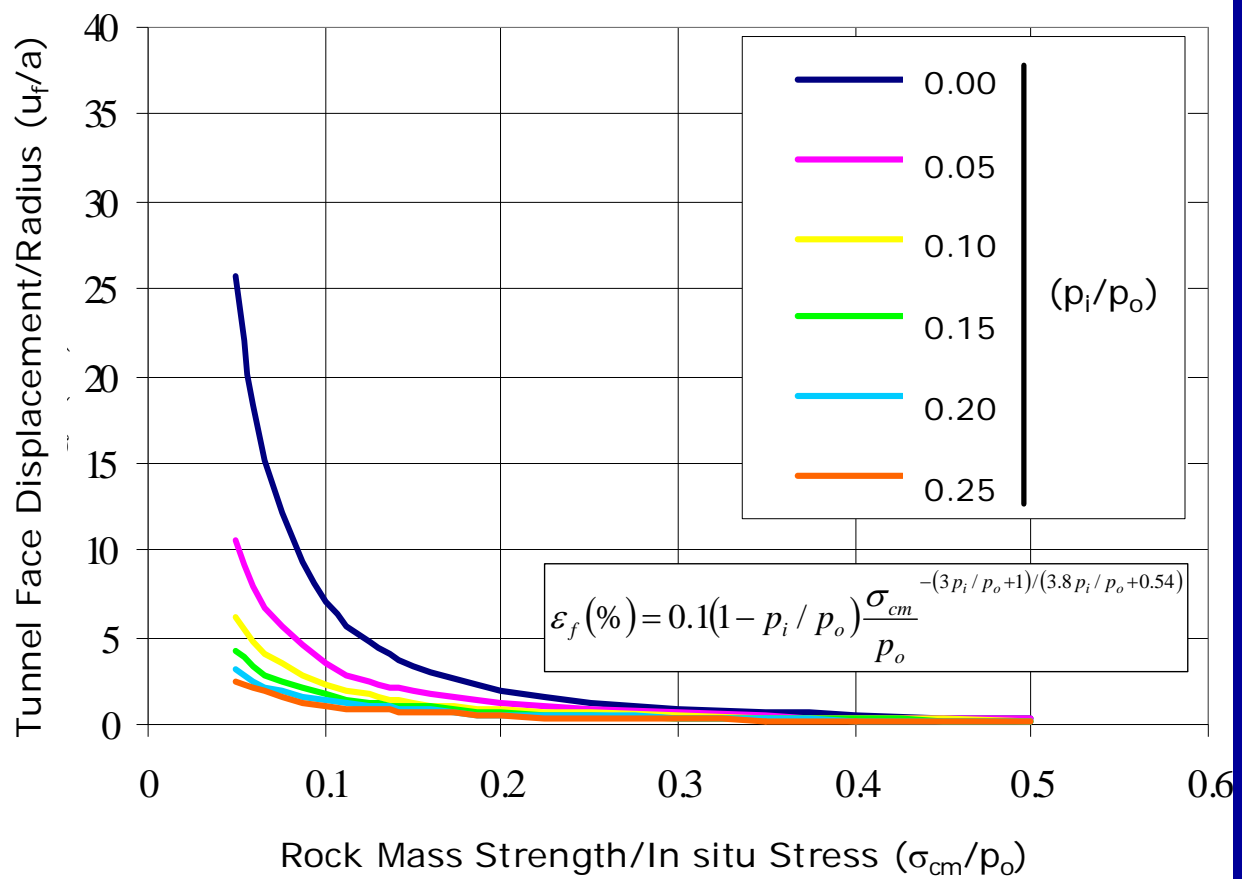
Rock Mass Strength/In situ stress (σ_{cm}/p_o)

Intact Rock Strength/In situ stress (σ_{ci}/p_o)

To be discussed: Hoek and Marinos

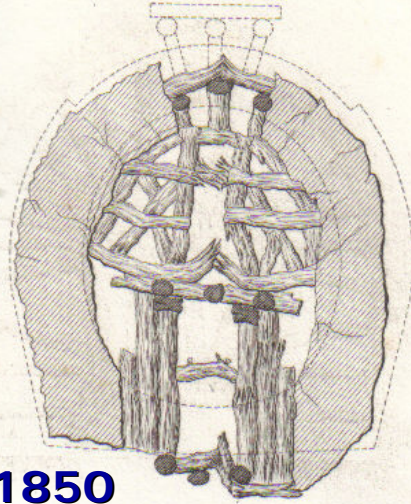
Tunnel Response during face advances





The question in tunnelling

How to avoid the tunnel wooden support failure, if not the tunnel collapse?

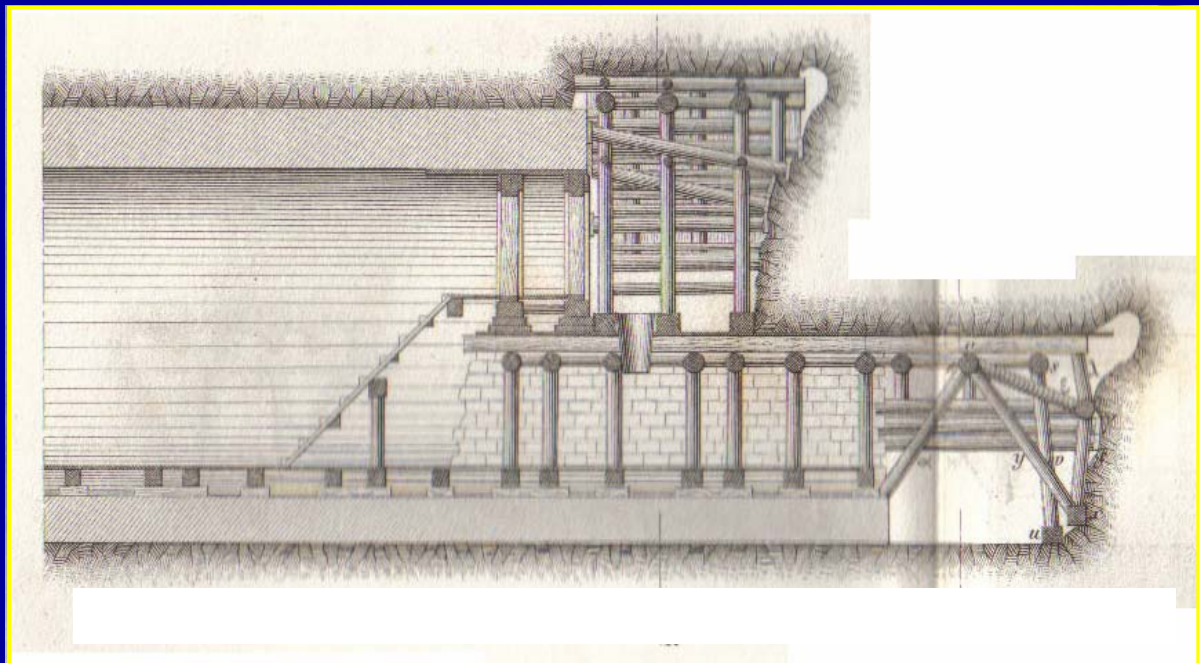


1850



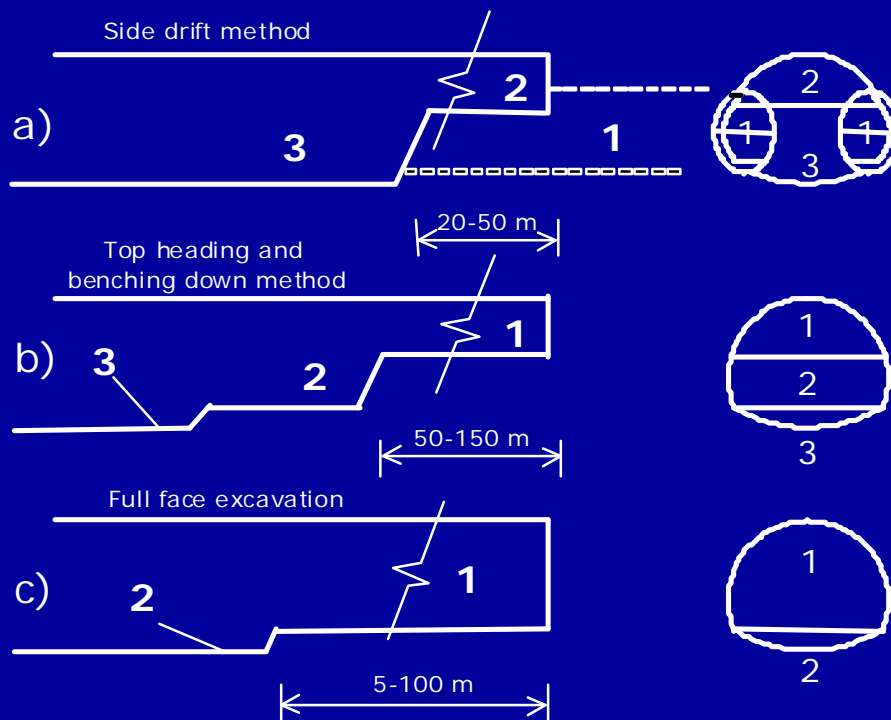
1985

"Capolavori di Minuseria" (Politecnico di Torino)



➔ **Italian Method**

Excavation and support methods: the "past"

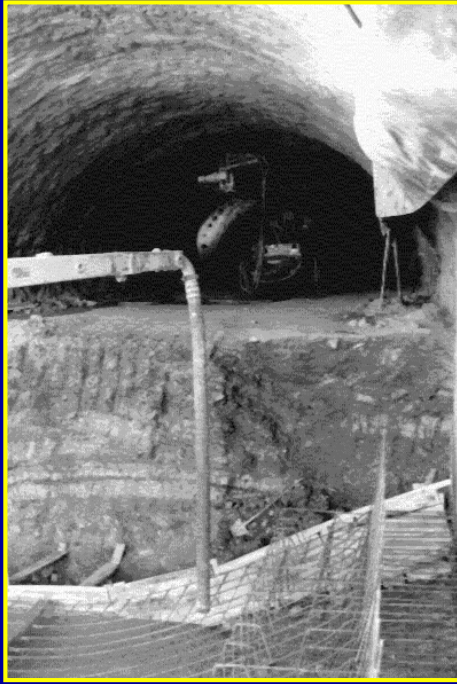


Excavation and support methods: the "present"

One of the above methods generally applied for construction of tunnels with span greater than 10 m (typically 100 m² cross section or greater, up to 160 m²)

Even for shallow transportation tunnels, the full face method tends to be favored with respect to the other two methods. This is certainly the case in Italy

The tunnel is driven ahead by relying on reinforcement of the face and of the ground surrounding the heading. Frequent use is made of fiberglass elements



Top heading and benching down method



Full face excavation method

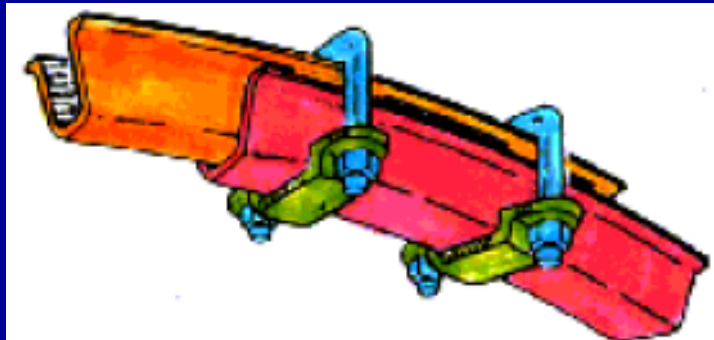
MARINASCO TUNNEL



MARINASCO TUNNEL

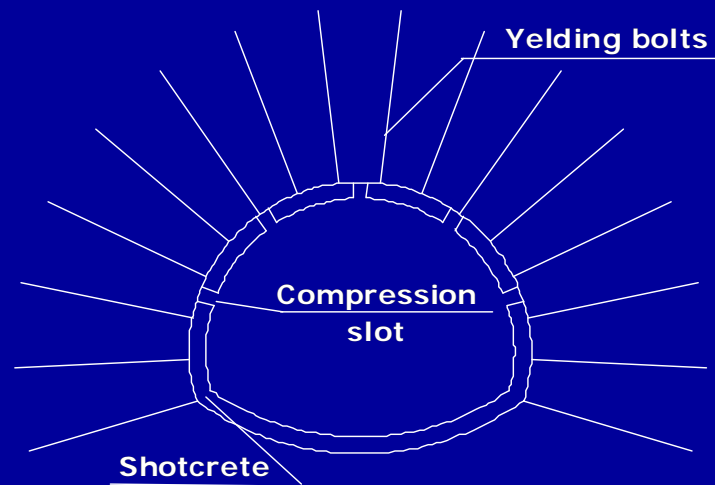


MARINASCO TUNNEL



USE OF: Yielding Steel Ribs



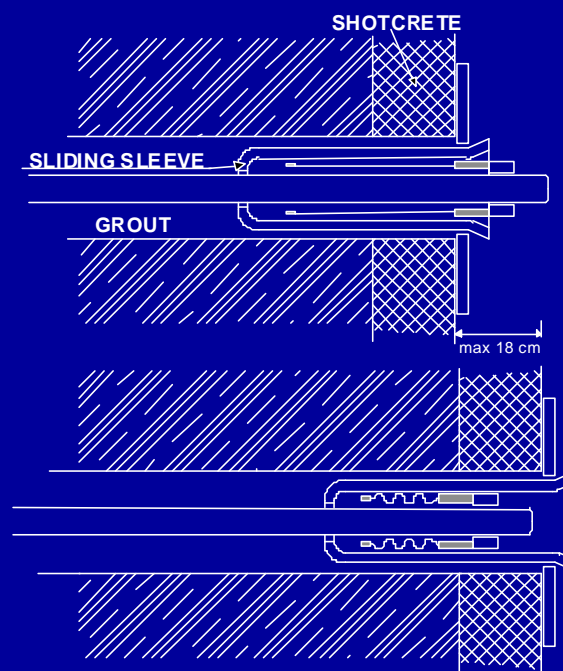


USE OF:

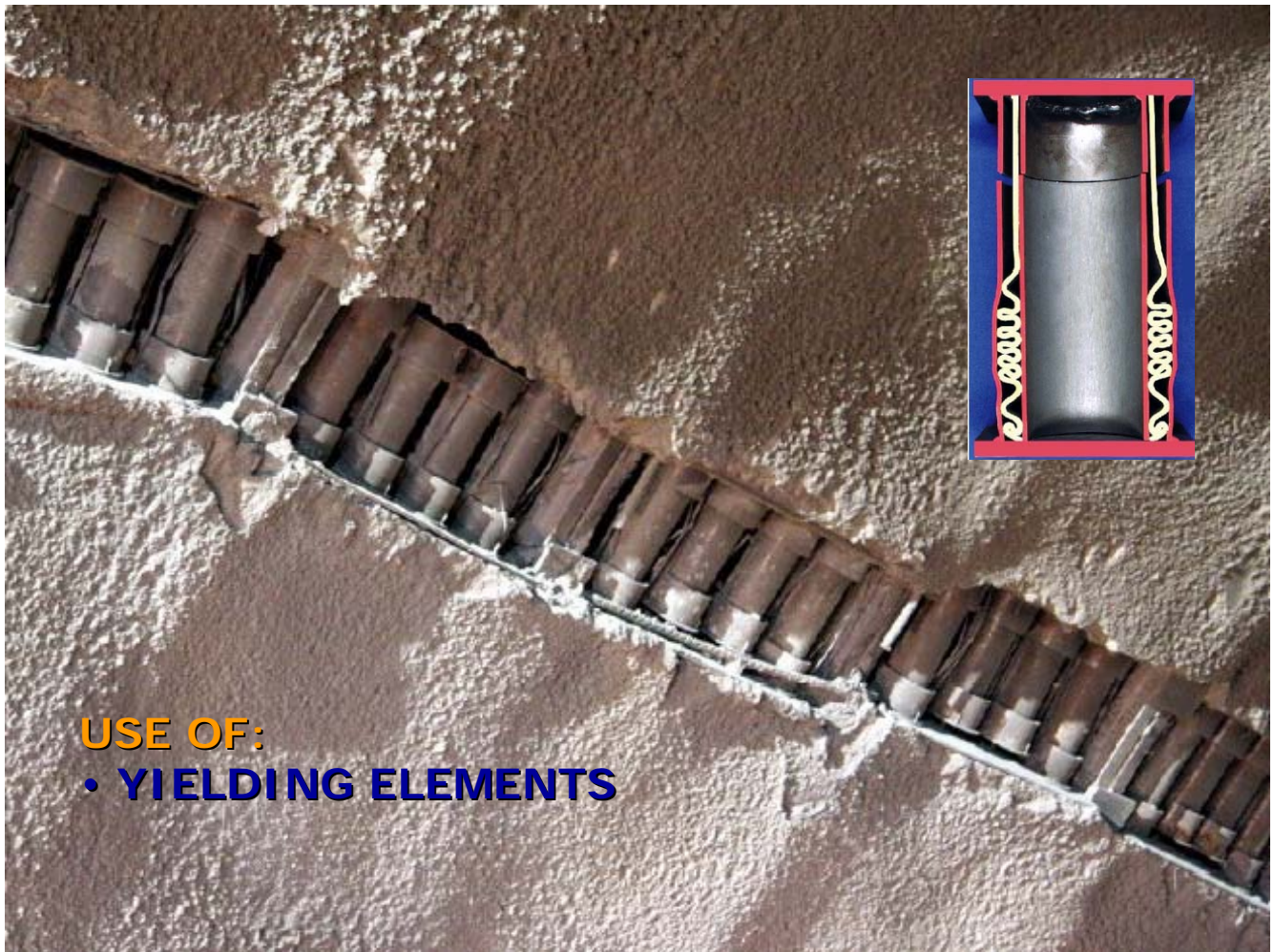
- YIELDING STEEL RIBS
- YIELDING BOLTS
- LINING STRESS CONTROLLERS

Before
convergence

Following
convergence



Yielding Bolts



METHODS FOR DESIGN ANALYSIS OF TUNNELS IN SQUEEZING CONDITIONS

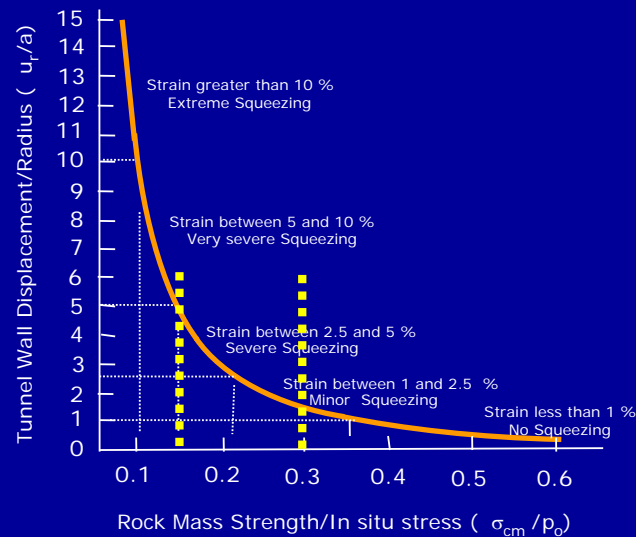
SHOULD CONSIDER

THE THREE-DIMENSIONAL STATE OF
STRESS NEAR THE TUNNEL FACE

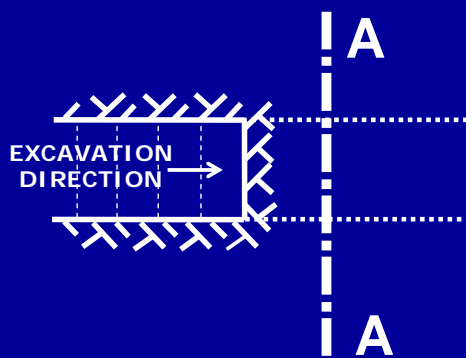
THE ONSET OF YIELDING WITHIN
THE ROCK MASS, AS DETERMINED BY
THE SHEAR STRENGTH PARAMETERS
RELATIVE TO THE INDUCED STRESS

THE TIME DEPENDENT BEHAVIOUR

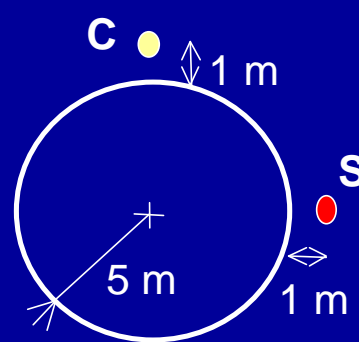
The use of numerical analyses is advisable when the rock mass strength/in situ stress ratio is below 0.3 and it is highly recommended if this ratio falls below about 0.15, when the stability of the tunnel face may become a critical issue



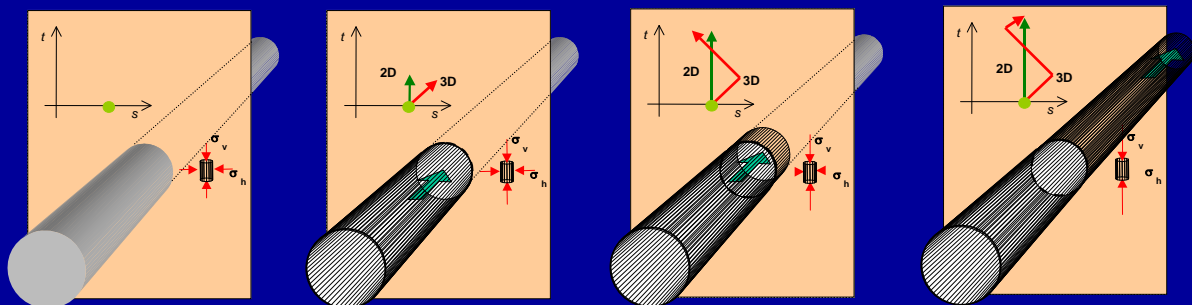
3D Analyses advisable



Longitudinal Section



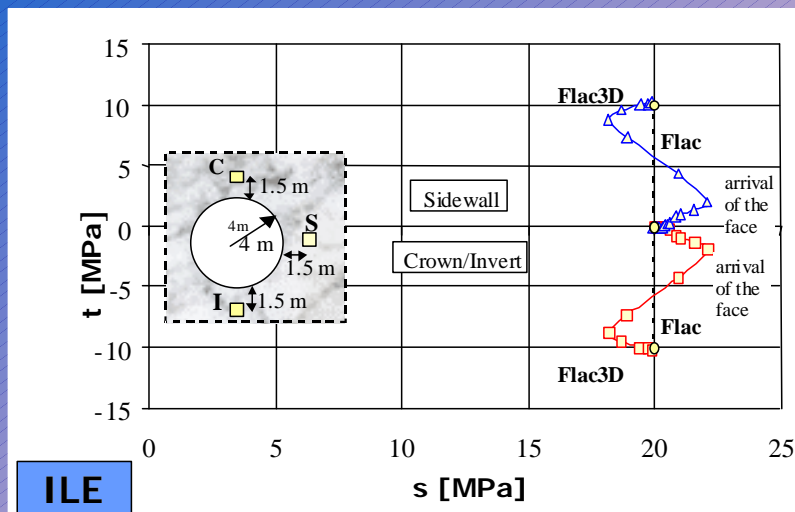
Transversal Section A- A



Methods for Design Analysis

3D Analyses advisable

INFLUENCE OF 3D CONDITIONS

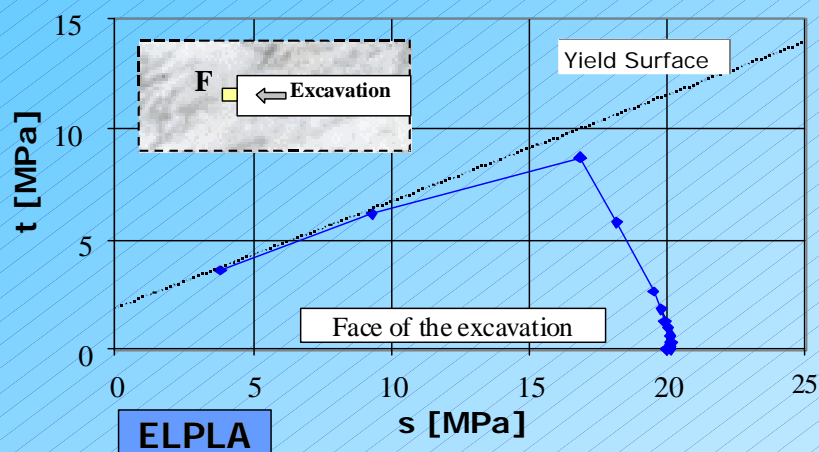


STRESS PATH AT POINT
C (crown), I (invert), S (sidewall)

Methods for Design Analysis

3D Analyses advisable

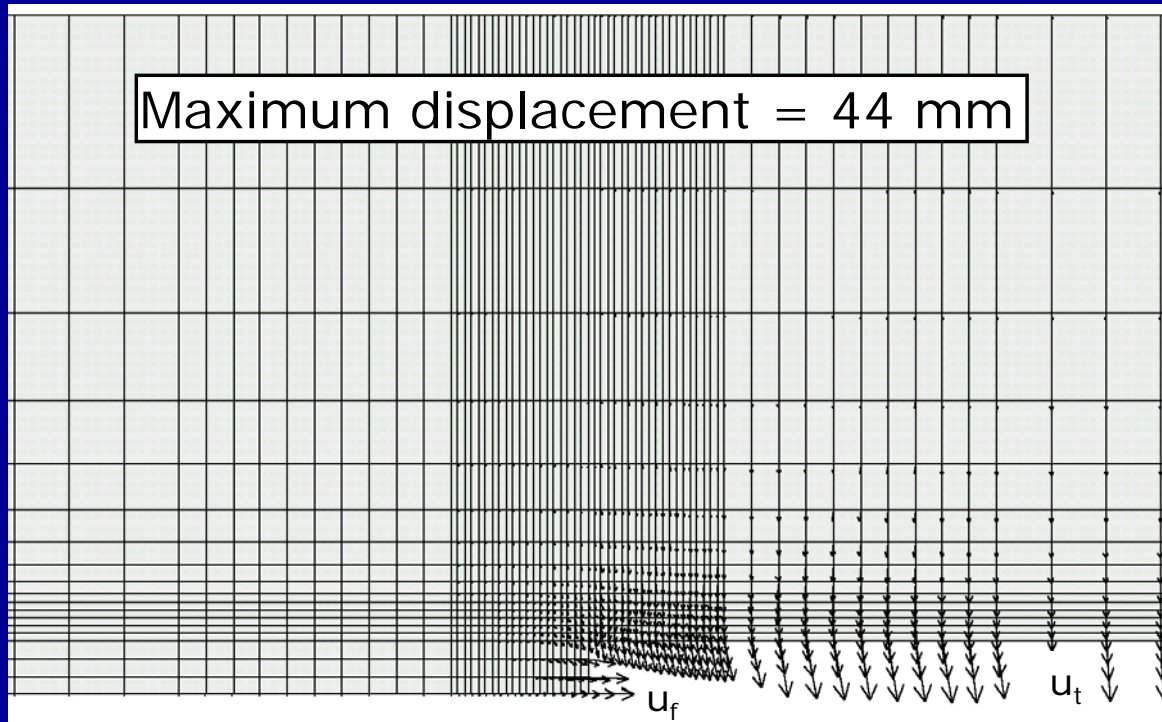
INFLUENCE OF 3D CONDITIONS



STRESS PATH AT POINT F
(FACE OF THE EXCAVATION)

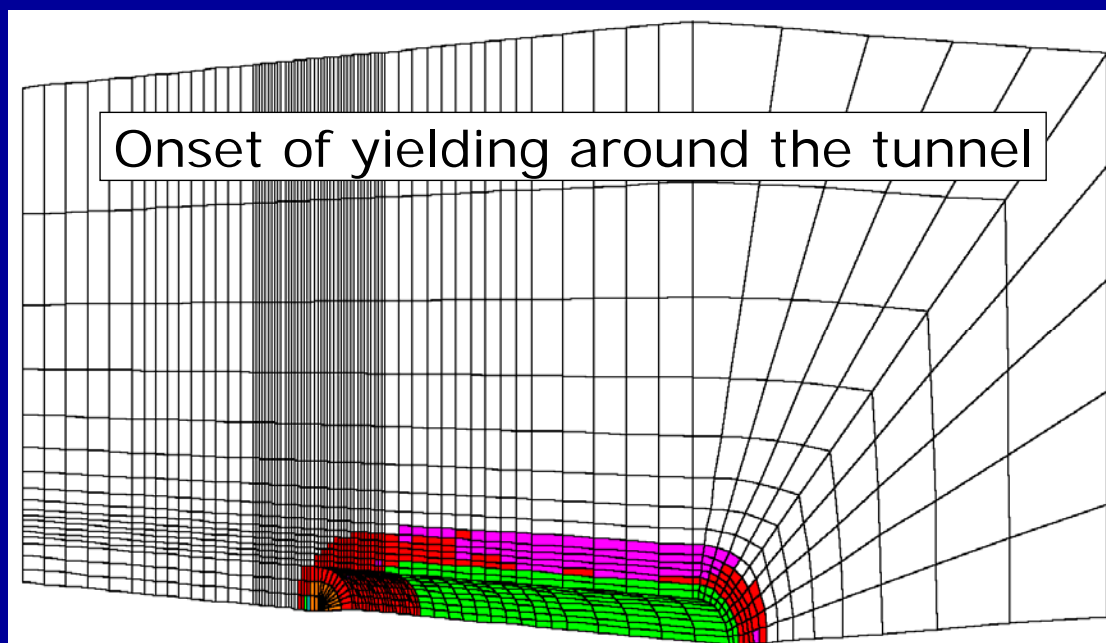
Methods for Design Analysis

3D Analyses advisable



Methods for Design Analysis

3D Analyses advisable



Methods for Design Analysis

SIMPLIFIED METHODS OF ANALYSIS AND DESIGN OF TUNNELS IN SQUEEZING CONDITIONS

CONSIDER



**THE ONSET OF YIELDING WITHIN
THE ROCK MASS, AS DETERMINED BY
THE SHEAR STRENGTH PARAMETERS
RELATIVE TO THE INDUCED STRESS**

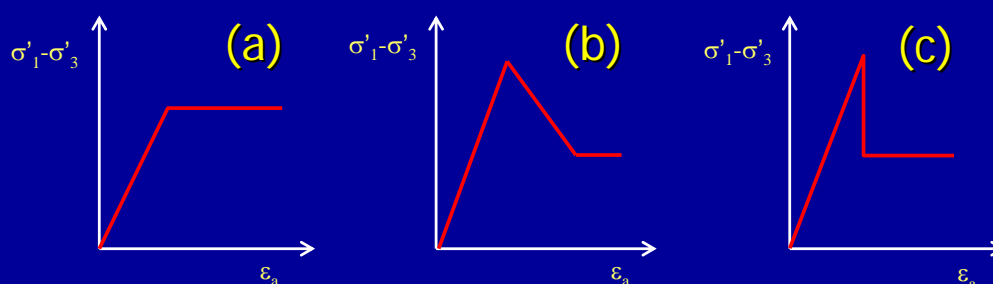


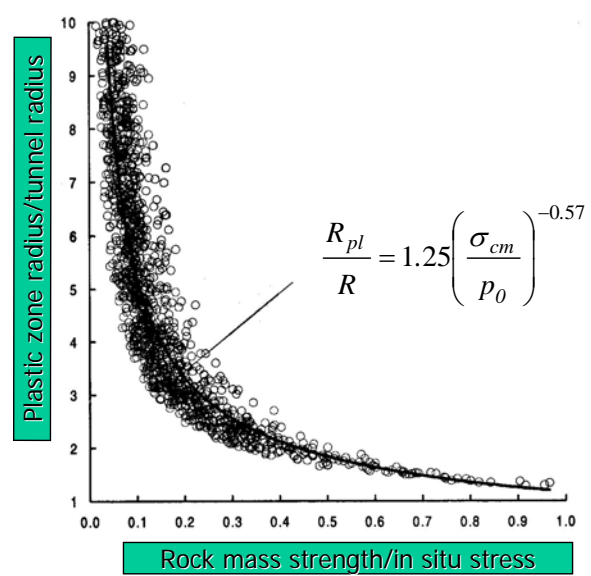
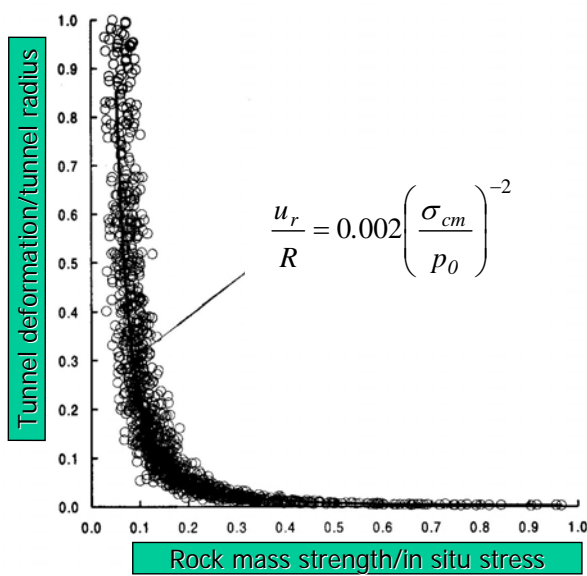
**Elasto-plastic closed form solutions for rock
mass response to excavation of a circular
tunnel can be used**

**If the rock mass is assumed to behave as an elasto-plastic
isotropic medium, the following models can be adopted:**

- **Elastic perfectly plastic (a)**
- **Elasto-plastic with strain softening behaviour (b)**
- **Elasto-plastic, with brittle behaviour (c)**

(e.g. Brown et al., 1983; ... Carranza-Torres and Fairhurst, 1999)

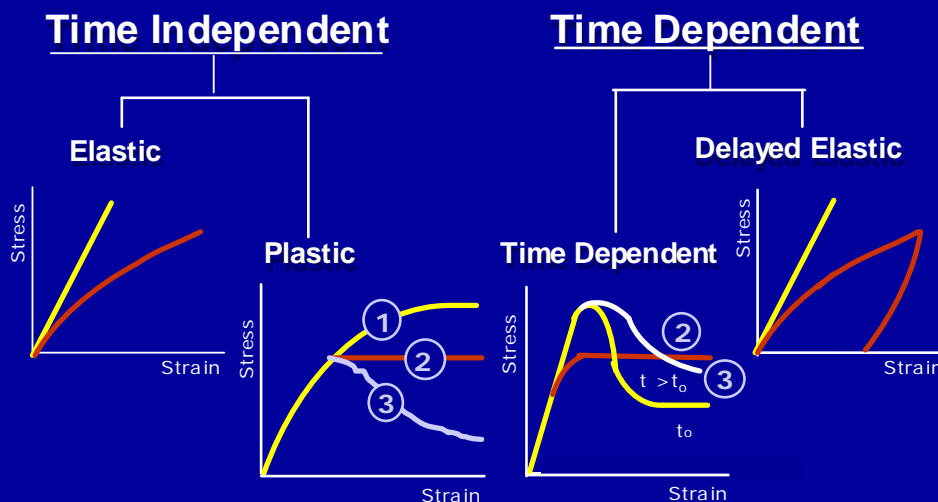




BASED UPON THE ABOVE SOLUTIONS DIMENSIONLESS PLOTS CAN BE DERIVED FROM THE RESULTS OF PARAMETRIC STUDIES WHERE THE INFLUENCE OF THE VARIATION IN THE INPUT PARAMETERS ARE STUDIED BY THE MONTE CARLO ANALYSIS, UNDER THE ASSUMPTION OF ELASTIC PERFECTLY PLASTIC BEHAVIOUR OF THE ROCK MASS, WITH ZERO VOLUMETRIC CHANGE (HOEK, 1998, 1999)

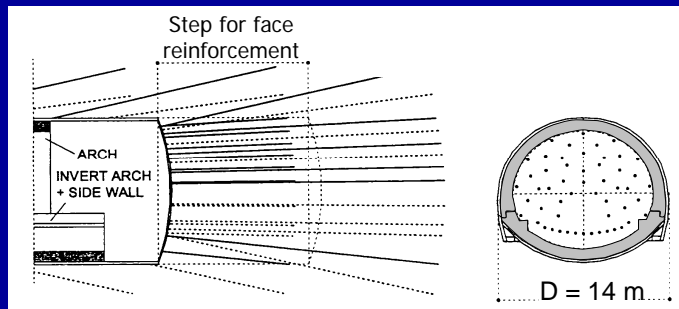
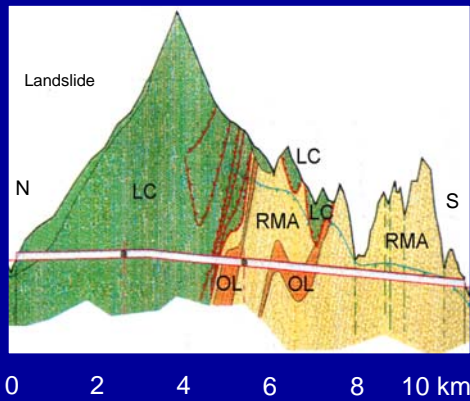
Time - dependent behaviour

Rock Mass Behaviour



Methods for Design Analysis

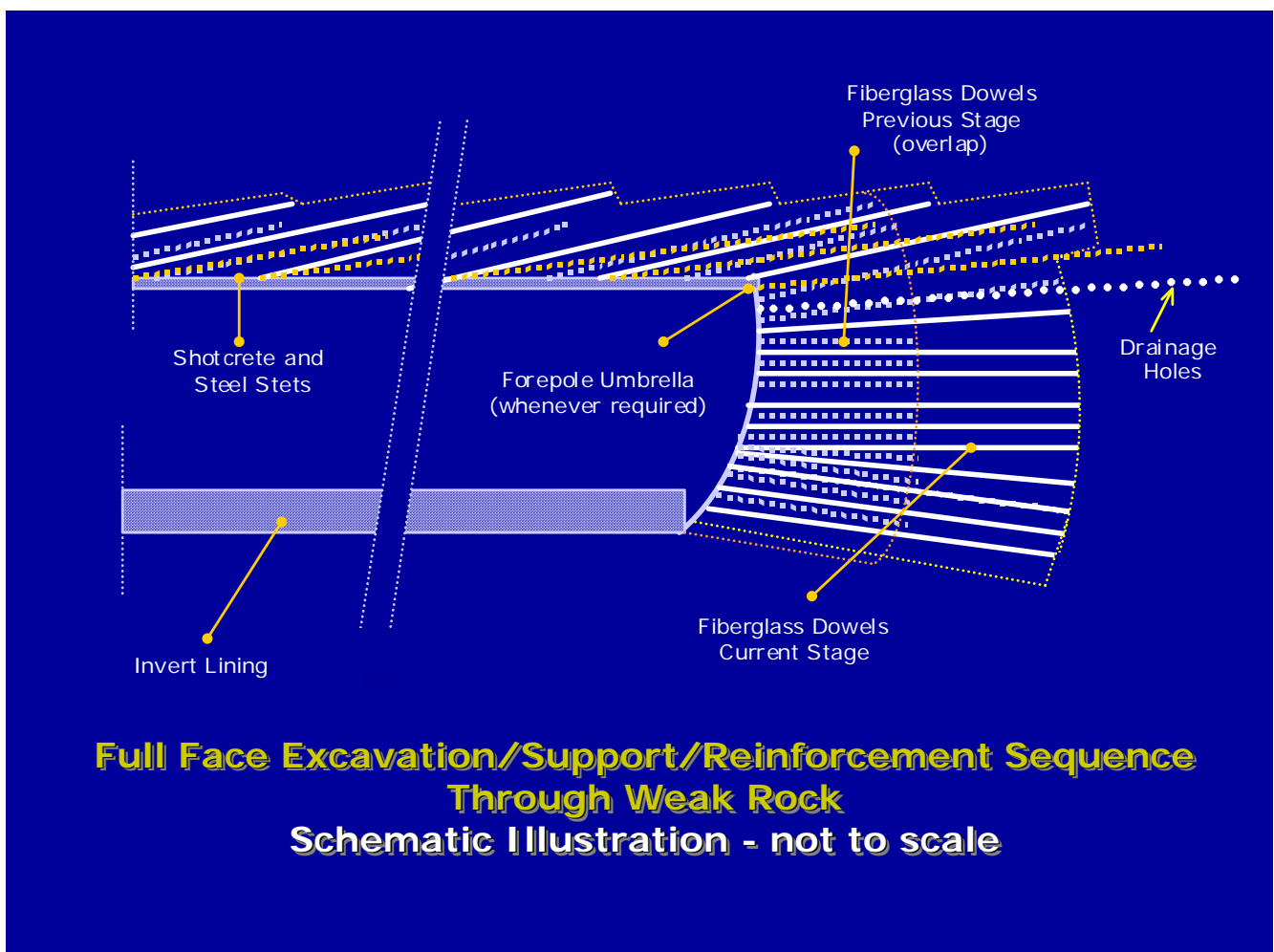
RATICOSA TUNNEL



EXCAVATION OF LARGE SPAN TUNNELS BY THE FULL FACE METHOD WITH GROUTED FIBERGLASS DOWELS IN FACE



Full Face Excavation/Support/Reinforcement Sequence Through Weak Rock (Monghidoro Flysch)



1



Mucking-Out with face stabilisation completed and prior to new face advance stage (typically 1 m)

2



Steel Sets installed as close to the face as possible

Optical Target for Convergence Measurements

Hole in the face to be drilled

Fiberglass Dowell being grouted

Drilling Unit for Installation of Fiberglass Face Reinforcement

3



Installation of Heavy Steel Sets as close to the face as possible followed by Application of Shotcrete

4



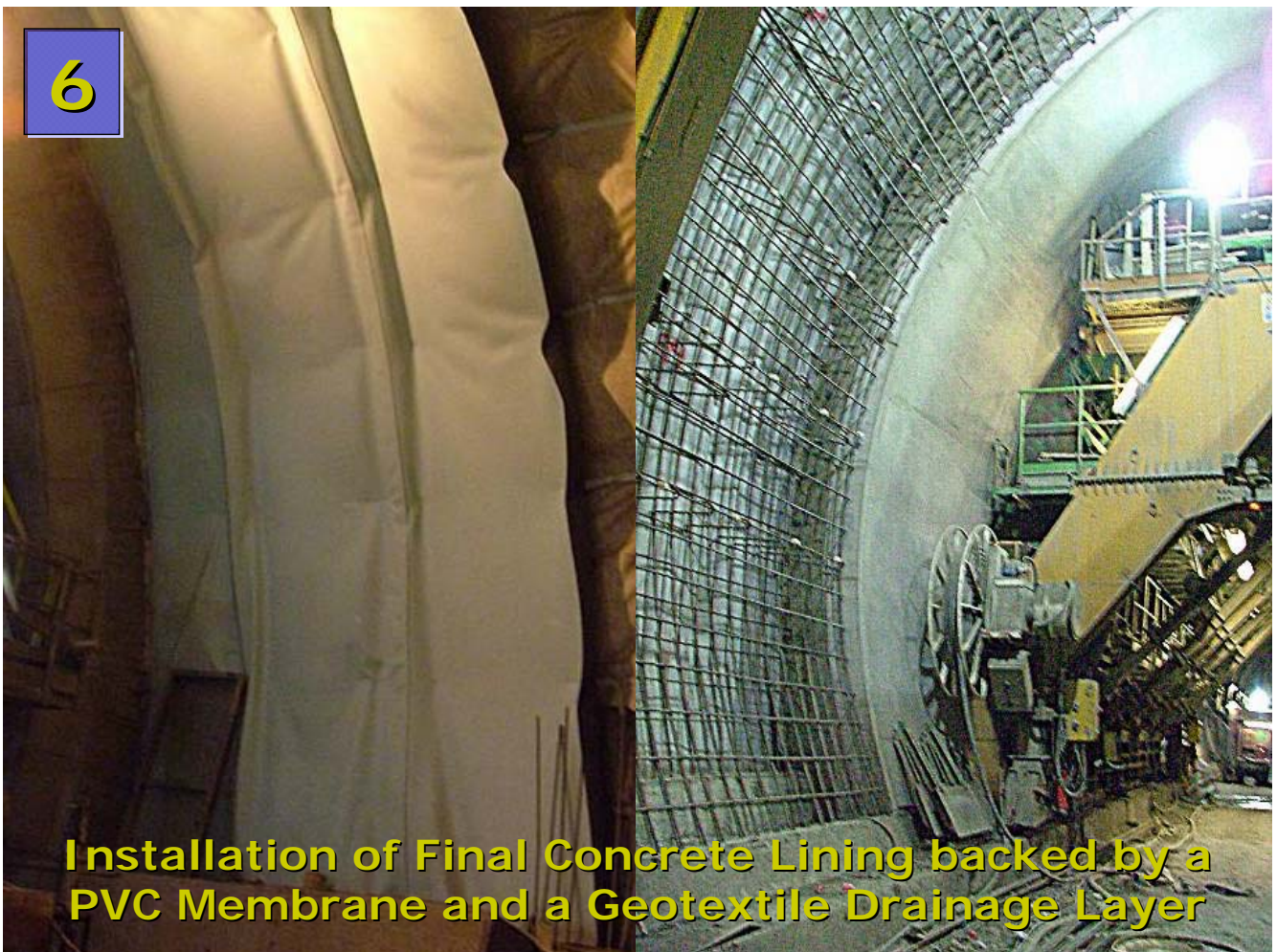
Installation of Invert Struts close to the face to control floor heave and to "close the ring"

5



**Invert Reinforced Concrete Lining
installed as close to the face as possible**

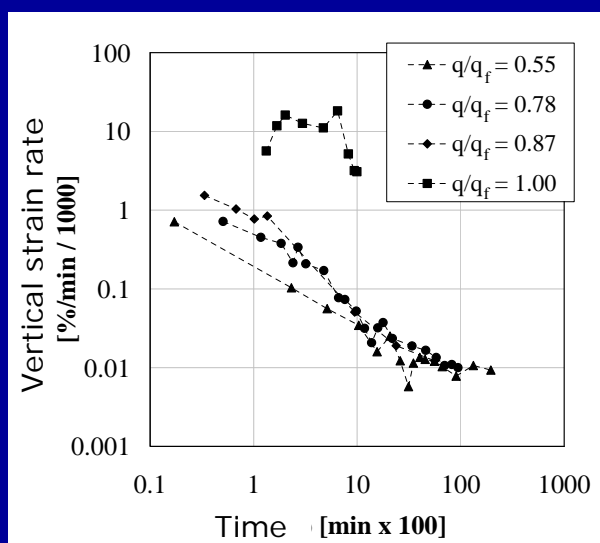
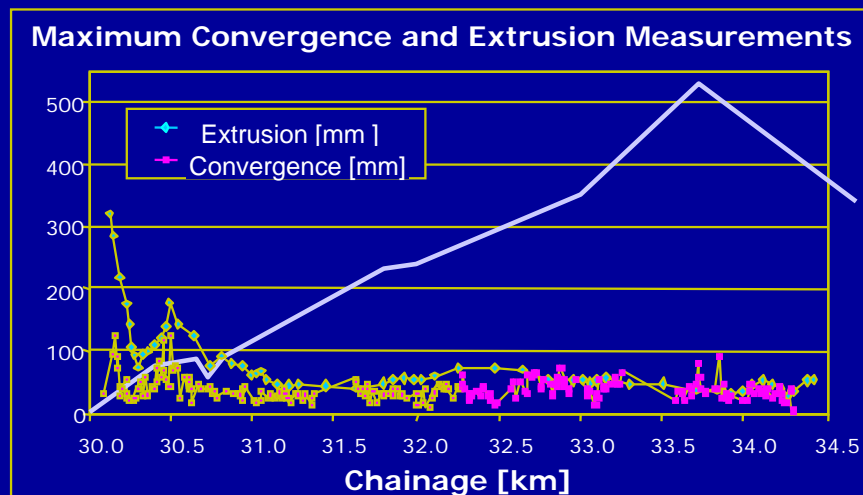
6



**Installation of Final Concrete Lining backed by a
PVC Membrane and a Geotextile Drainage Layer**

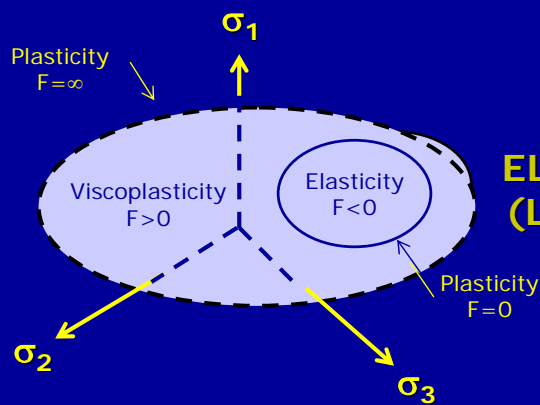
Lesson Learnt: Extrusion measurements more relevant than convergence measurements for understanding rock mass response

(Case Study: **Raticosa Tunnel**)



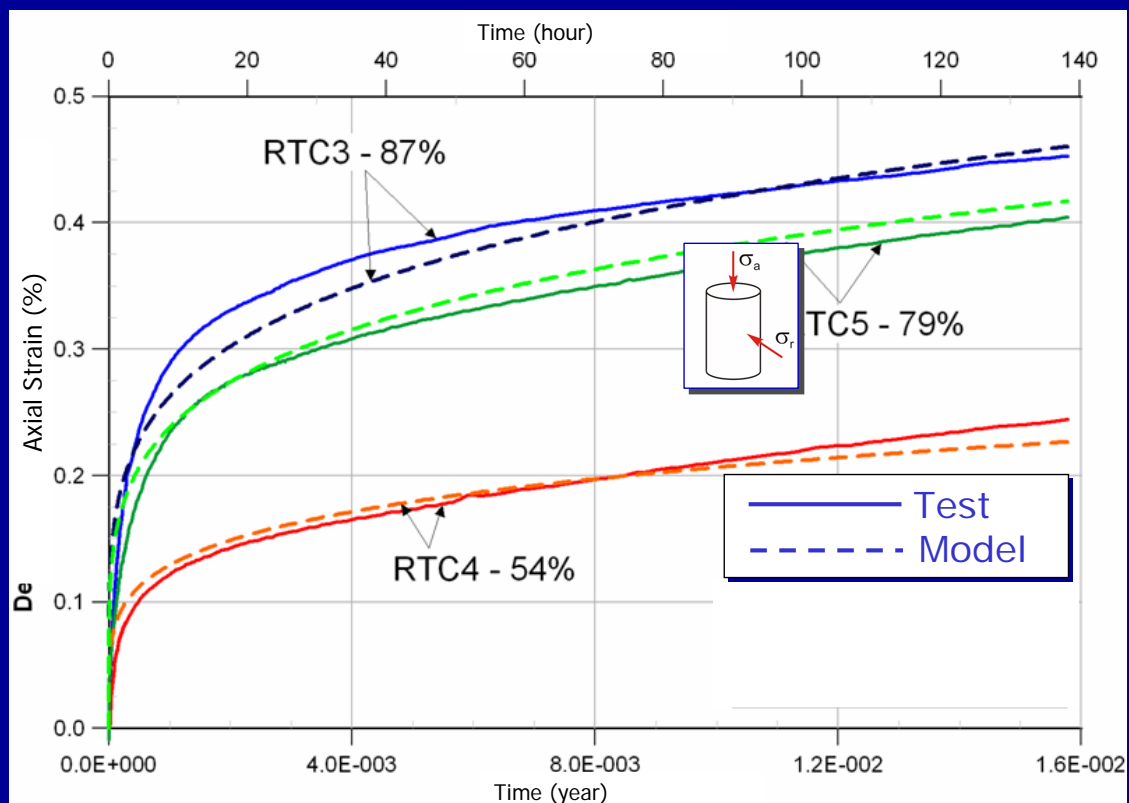
Creep Tests in undrained conditions on clay-shales for the study of time dependent response of tunnel face

(q/q_f = mobilised strength)

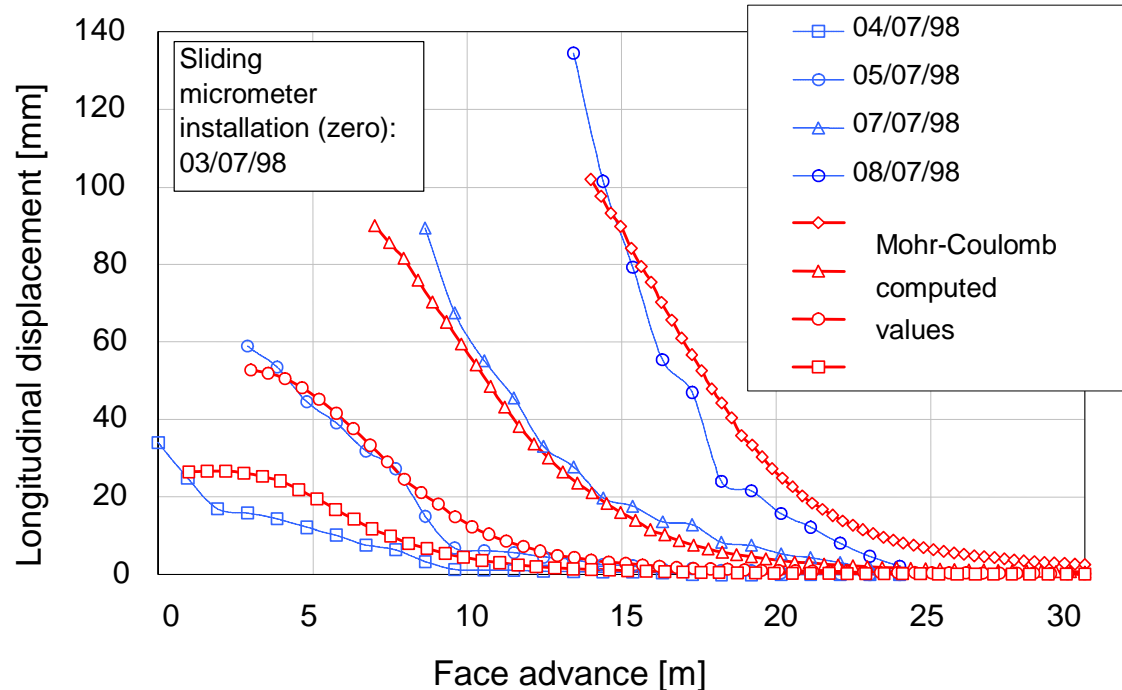
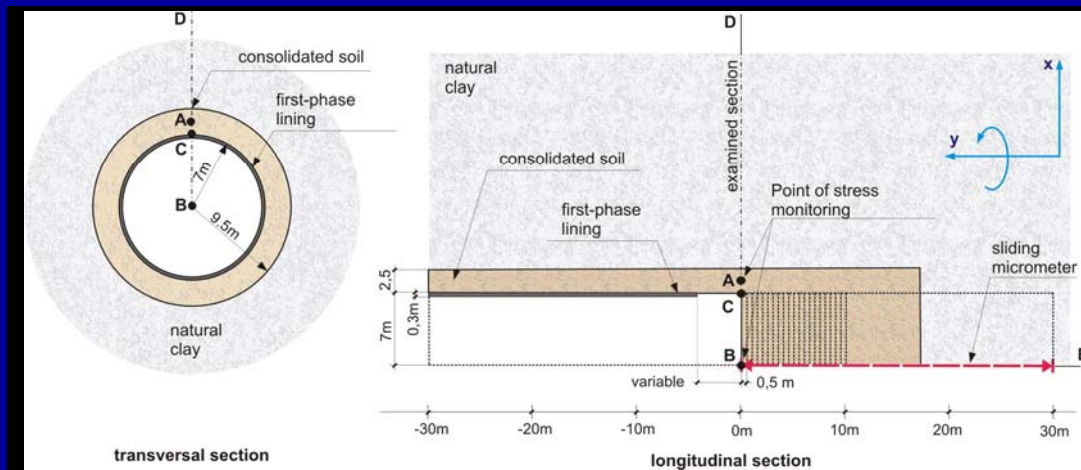


ELASTO-VISCOPLASTIC MODEL (Lemaitre and Chambon, 1996)

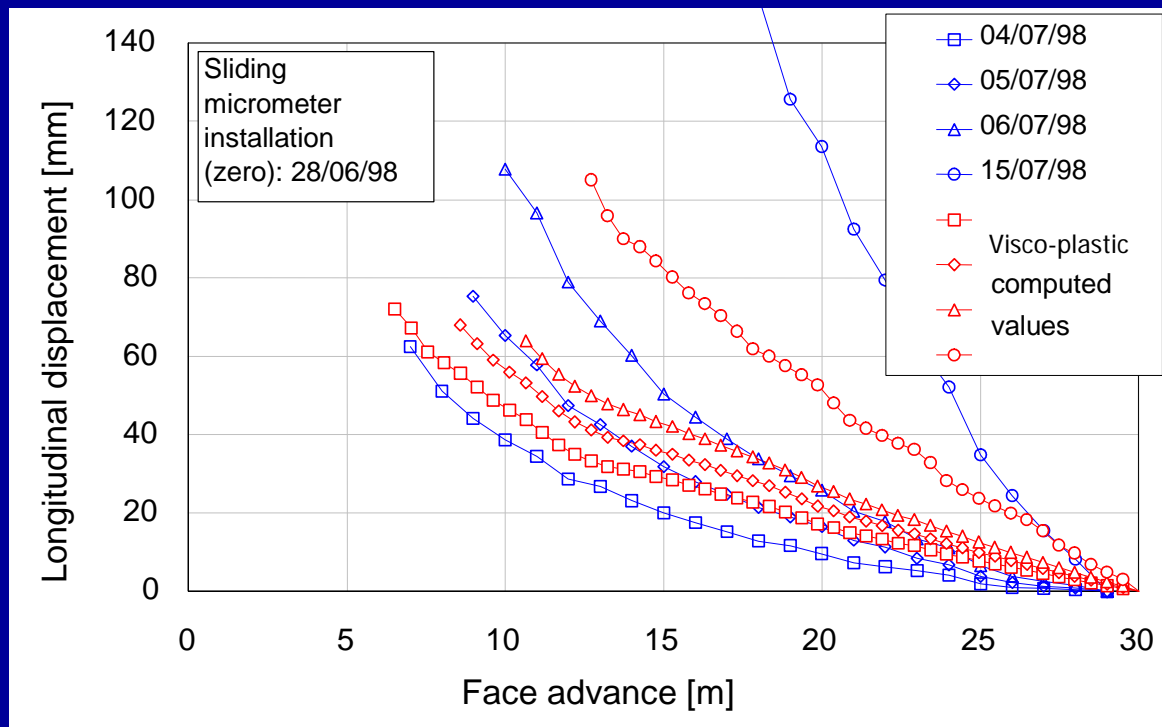
The viscoplastic strains depend on the deviatoric stress state only and do not induce volumetric strains



- axis-symmetric conditions
- circular cross section
- initial state of stress constant and isotropic
- coupled analysis in undrained conditions
- two cases considered:
 - Osteria Access Adit (depth = 148 m)
Mohr-Coulomb elasto-plastic perfectly plastic model
 - Raticosa Tunnel (depth = 50 m)
Elasto visco-plastic model



Osteria Access Adit



Raticosa Tunnel

Tunnels Underground Excavations

Design Analyses
Case Studies and Observed Performance

Giovanni Barla



Department of Structural and Geotechnical Engineering