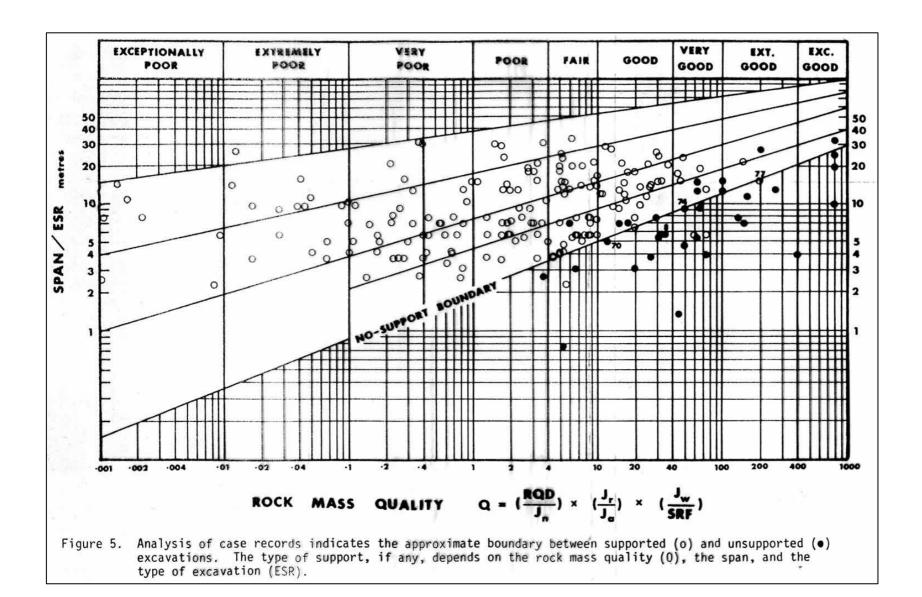
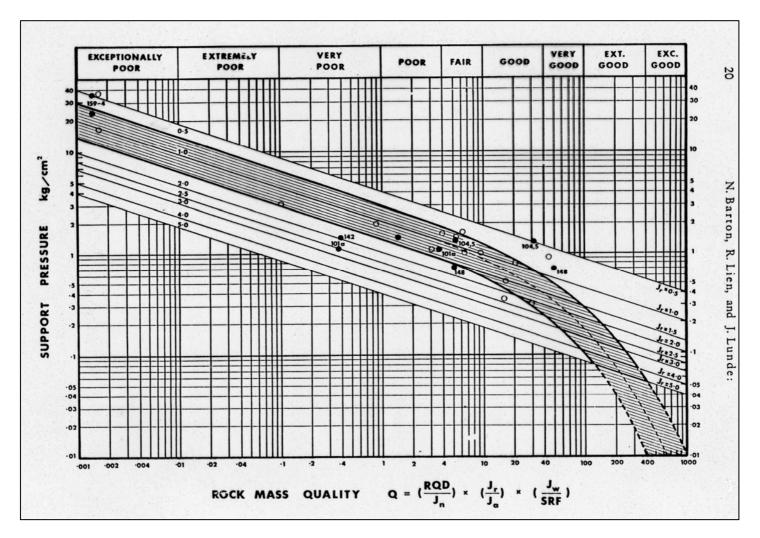
# 4. TUNNEL SUPPORT SELECTION FROM Q-CLASSIFICATION



212 case records, mostly B+S(mr) (from Barton, Lien and Lunde, 1974)



SUPPORT PRESSURE GUIDELINES (FROM 1974) used for checking bolt loads.

1.	Temporary Support	b)	increase ESR to $1.5 \times ESR$ increase Q to 5Q (arch) Increase $Q_w$ to $5Q_w$
2.	Wall Support (based on modified quality Qw for walls)	b)	select $Q_w = 5Q$ (when $Q > 10$ ) select $Q_w = 2.5Q$ (when $Q < 10$ ) select $Q_w = 1.0Q$ (when $Q < 0.1$ )

Note 1 Use total excavation height (H) for wall support design.

Note 2 Q is the general rock quality observed when inspecting the arch or walls of a tunnel. For local variations of rock quality (arch or walls), map locally and change support as appropriate. (Qw is not the observed value of Q in a cavern wall.)

## APPROXIMATE GUIDE-LINES FOR TEMPORARY SUPPORT AND FOR (PERMANENT) WALL SUPPORT

#### 3. Recommended bolt and anchor lengths

Bolt and anchor lengths for permanent support depend on the dimensions of the excavation. Lengths used in the roof arch are usually related to the span, whole lengths used in the walls are usually related to the height of the excavations. The ratio of bolt length to span tends to reduce as the span increases. This trend has been illustrated by Benson et al., 1971. Accordingly, the following recommendations are given as a simple rule of thumb, to be modified as in situ conditions demand.

ROOF: bolts L = 2 + 0.15 B/ESR

anchors L = 0.40 B/ESR

WALLS: bolts L = 2 + 0.15 H/ESR

anchors L = 0.35 H/ESR

where

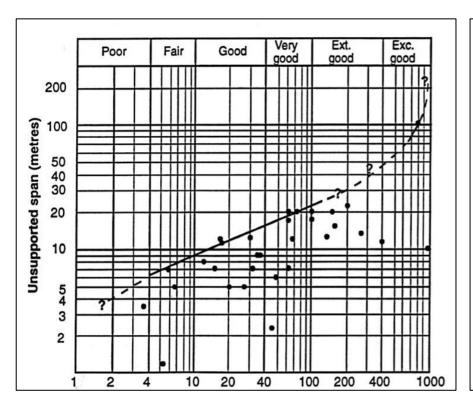
L = length in metres

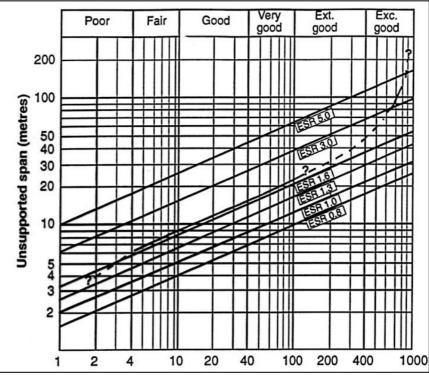
B = span in metres

H = excavation height in metres

ESR = excavation support ratio

(Bolt lengths used as temporary support will usually be only loosely dependent on excavation dimensions. Lengths of between 1.5 and 3.0 metres seem to be used in many types of excavations.)

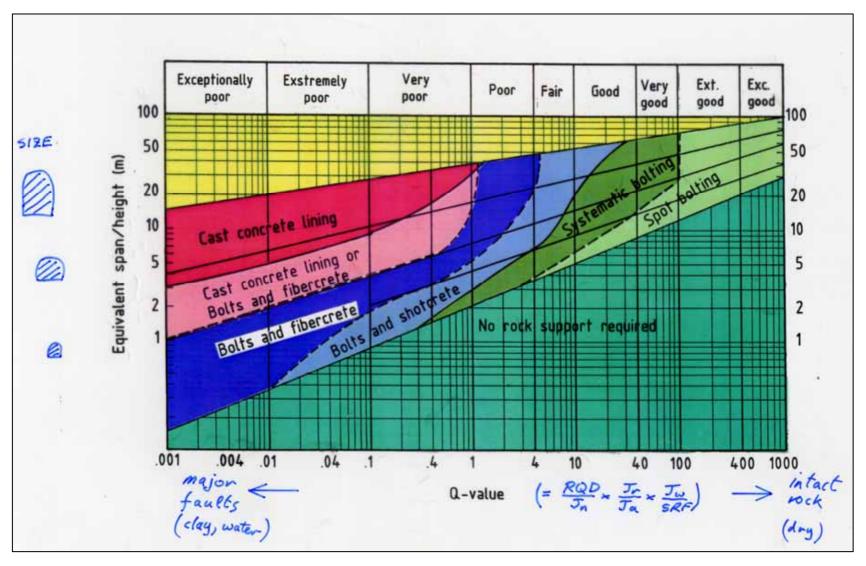




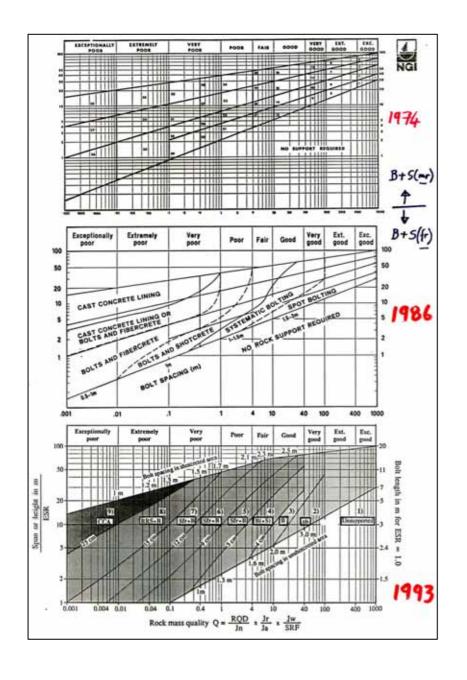
1.  $J_a \le 9$ ,  $J_r \ge 1.0$ ,  $J_a \le 1$ ,  $J_a = 1.0$ ,  $SRF \le 2.5$ 

#### Conditional requirements

- 2. If RQD  $\leq$  40, should have  $J_n \leq 2$
- 3. If  $J_a = 9$ , should have  $J_r \ge 1.5$  and RQD  $\ge 90$
- If J<sub>r</sub> = 1, should have J<sub>n</sub> < 4</li>
- 5. If SRF > 1, should have  $J_r \ge 1.5$
- 6. If SPAN > 10 m, should have  $J_n < 9$
- 7. If SPAN > 20 m, should have  $J_n \le 4$  and SRF  $\le 1$



UPDATED SUPPORT CHART PRINCIPLES, FOR 1989 TO 1993 INCORPORATION OF NEW B+ S(fr) CASE RECORDS. Grimstad, 1989



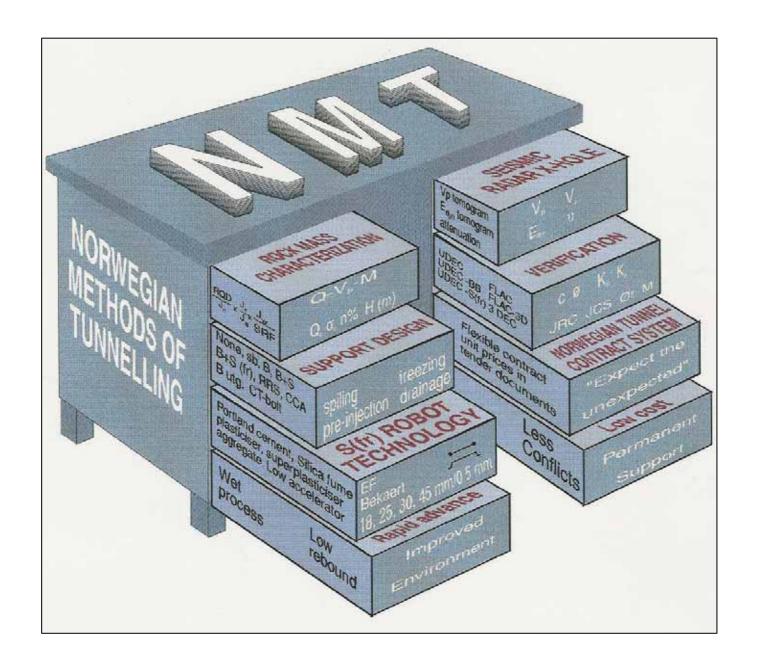
THE PROGRESSION OF PUBLISHED TUNNEL SUPPORT CHARTS FROM 1974 TO 1993

THE 1974 PUBLICATION WAS BASED MOSTLY ON B+S(mr) CASES

INTRODUCTION OF S(fr) AT THE END OF THE SEVENTIES PROVIDED NEW CASE RECORDS

GRIMSTAD (NGI) USED ONLY NEW CASES WHERE THE Q-SYSTEM HAD NOT BEEN USED

THE LOWEST CHART WAS BASED ON 1050 NEW CASE RECORDS In 1992 (Barton et al. 1992) coined the phrase NMT – the Norwegian Method of Tunnelling – to differientiate it from NATM which was soon to get so bad publicity from high-profile collapses around the world (Heathrow, Munich, São Paulo), not to mention the strong, widely published criticisms from a prominent Swiss engineer (Prof. Kovari) concerning the questionable scientific/engineering basis for NATM.



#### 1) Areas of usual application:

Jointed rock giving overbreak; harder end of uniaxial strength scale ( $\sigma_{\rm c}=3$  to 300 MPa) Clay bearing zones, stress slabbing

Q = 0.001 to 10 or more

#### 2) Usual methods of excavation:

Drill and blast, hard rock TBM, machine excavation in clay zones

3) Temporary rock reinforcement and permanent tunnel support may be any of following:

CCA, S(fr) + RRS + B, B + S(fr), B + S, B, S(fr), S, sb, (NONE) (see key below and Figure 1)

- temporary reinforcement forms part of permanent support
- mesh reinforced shotcrete not used
- · dry process shotcrete not used
- steel sets or lattice girders not used; RRS and S(fr) are used in clay zones and in weak, squeezing rock masses
- Contractor chooses temporary support
- · Owner/Consultant chooses permanent support
- final concrete linings are less frequently used, i.e., B+S(fr) is usually the final support

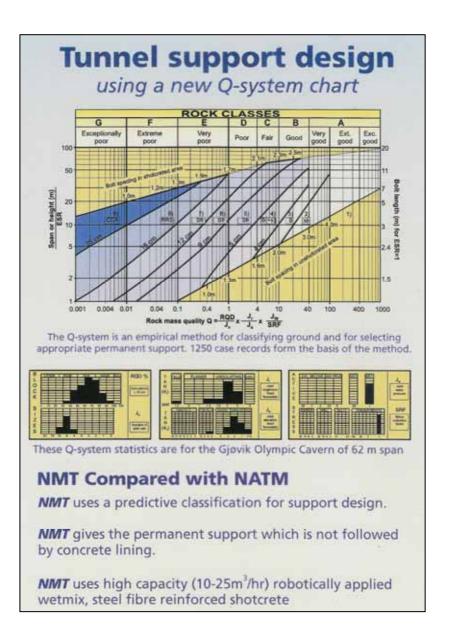
#### 4) Rock mass characterisation for:

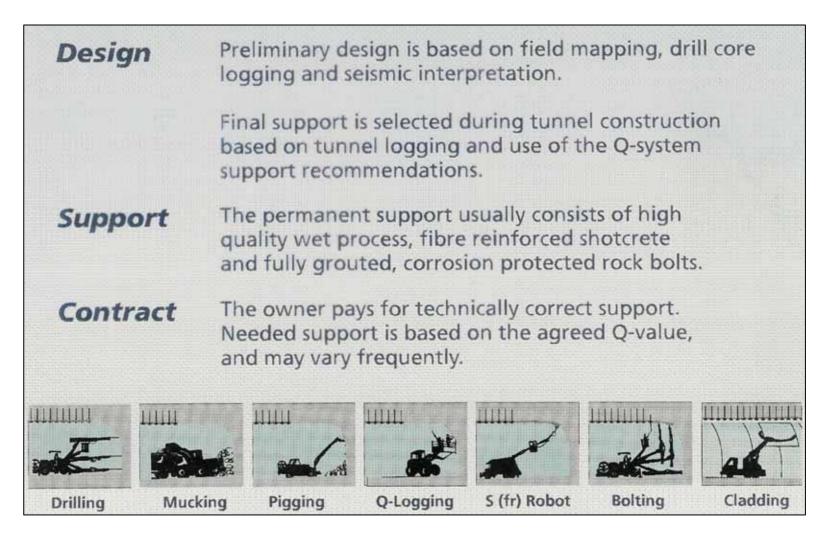
- predicting rock mass quality
- · predicting support needs
- updating of both during tunnelling (monitoring in critical cases only)

#### 5) The NMT gives low costs and

- rapid advance rates in drill and blast tunnels
- improved safety
- improved environment

CCA = cast concrete arches, S(fr) = steel fibre reinforced shotcrete, RRS = reinforced ribs of shotcrete, B = systematic bolting, S = shotcrete, S = spot bolts, S = shotcrete, S

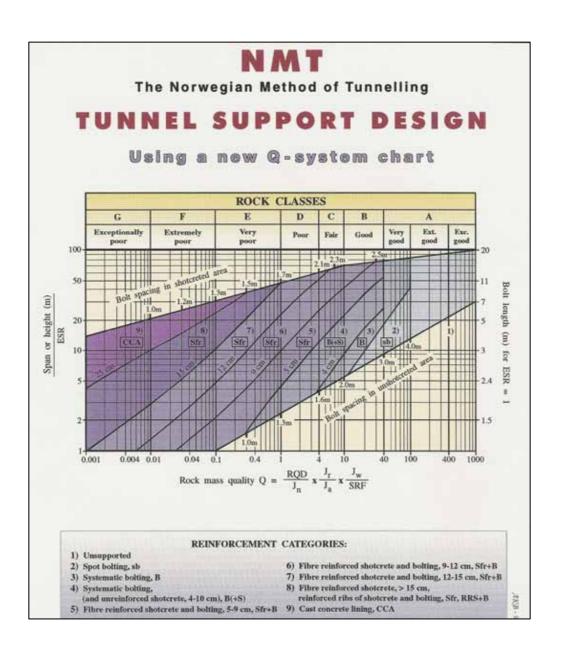


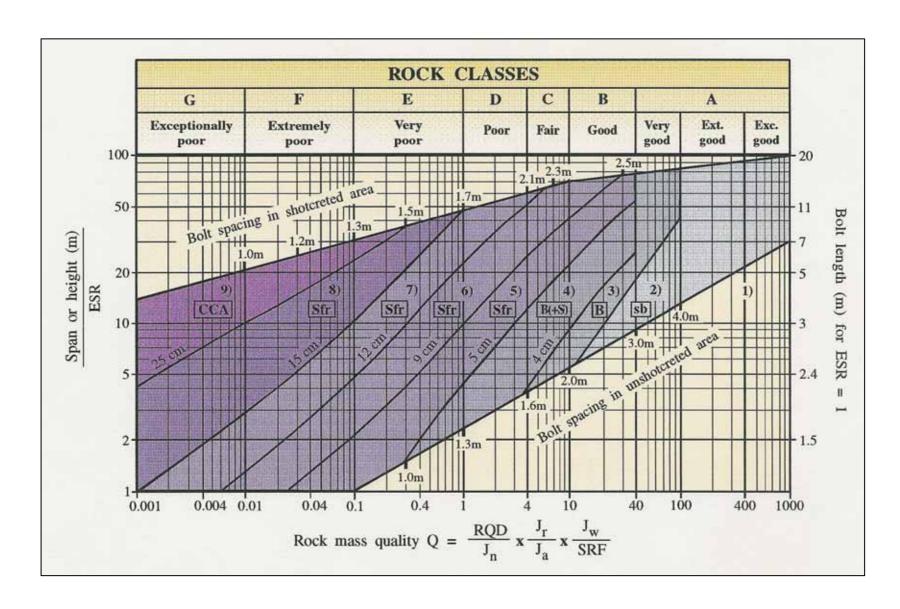


Some details concerning NMT. Tunnels are dry, drained, and PC-element cladded if required for road or rail use

Some of the key differences between NMT and (the sometimes misused term) NATM that were more obvious 10 years ago than today, following technology improvements. More NATM operations use S(fr) today than 10 years ago.

- NMT uses a predictive classification for support design.
- (NATM uses monitoring for support design.)
- NMT gives the permanent support which is not followed by concrete lining.
- (NATM gives the temporary support, which is followed by concrete lining.)
- NMT uses high capacity (10-25m3/hr) robotically applied wetmix, steel fibre reinforced shotcrete.
- (NATM uses hand placed steel mesh, and usually dry mix shotcrete which is often applied by hand held equipment.)





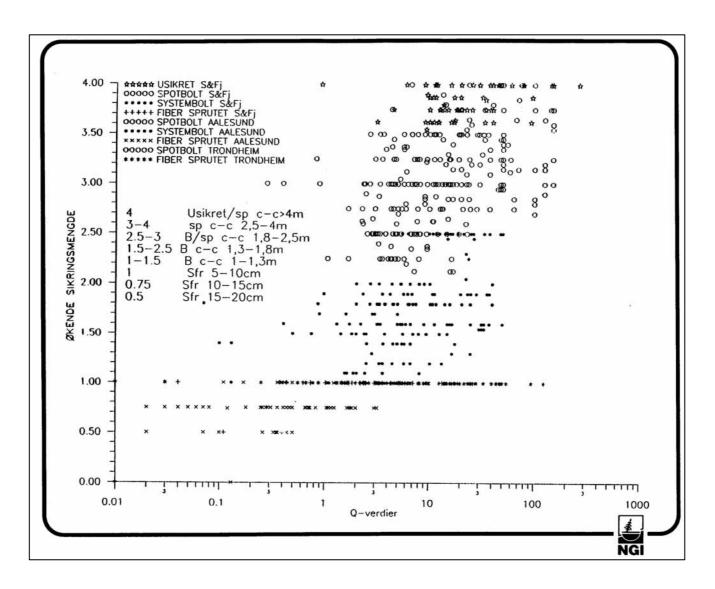
Grimstad and Barton, 1993

## **Design of Tunnels using NMT** and Verification with UDEC-BB

5

Table 2 Summary of recommended ESR values (updated) for selecting safety level.

Type of Excavation					
Α	Temporary mine openings, etc.	ca 2-5			
В	Permanent mine openings, water tunnels for hydropower (exclude high pressure penstocks), pilot tunnels, drifts and headings for large openings, surge chambers	1.6-2.0			
С	Storage caverns, water treatment plants, minor road and railway tunnels, access tunnels	1.2-1.3			
D	Power stations, major road and railway tunnels, civil defence chambers, portals, intersections	0.9-1.1			
Е	Underground nuclear power stations, railway stations, sports and public facilities, factories, major gas pipeline tunnels	0.5-0.8			



Grimstad's new case records

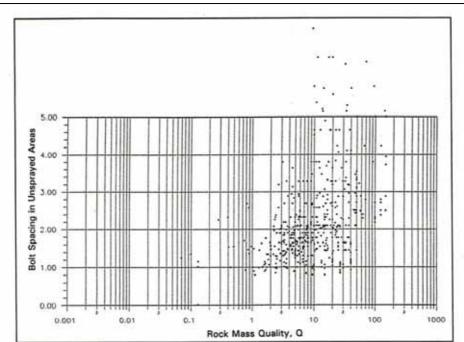
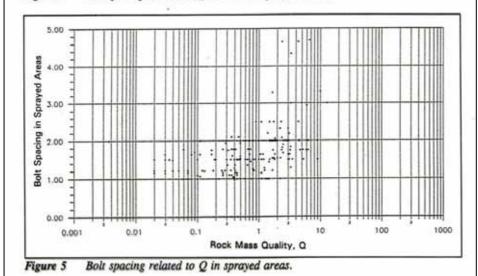


Figure 4 Bolt spacing related to Q-value in unsprayed areas.



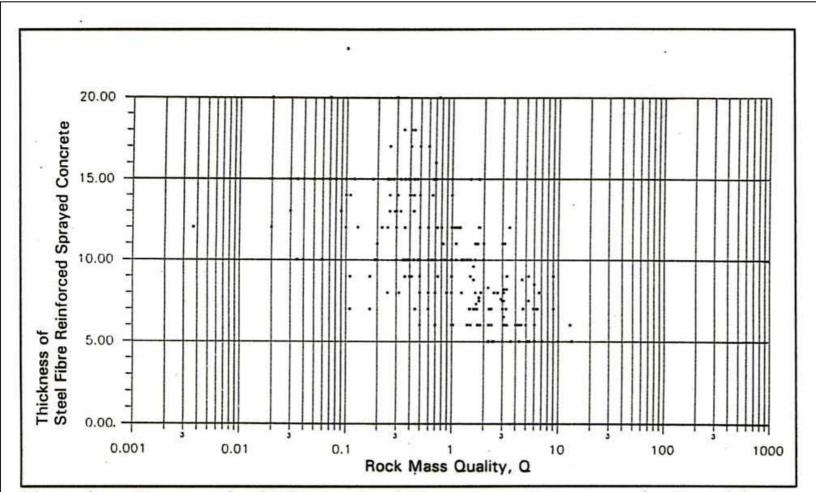
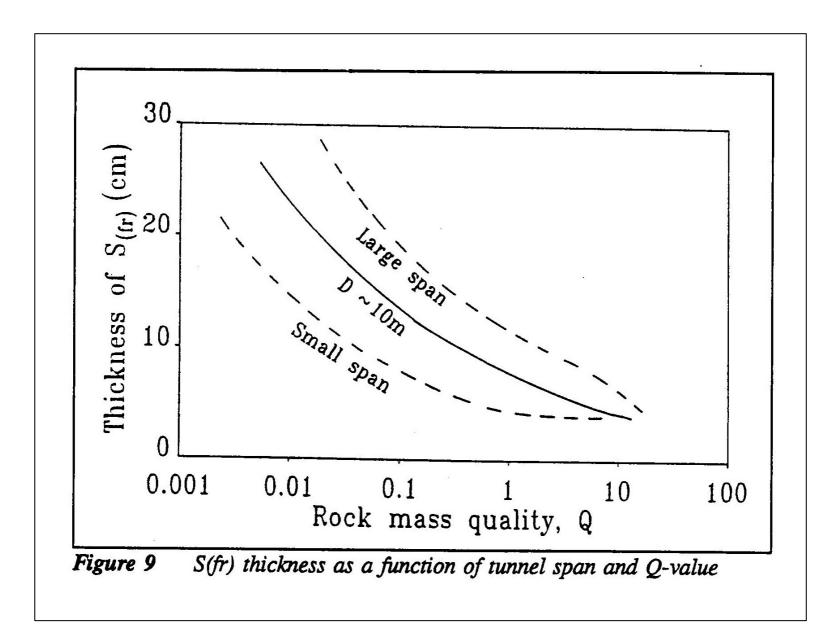
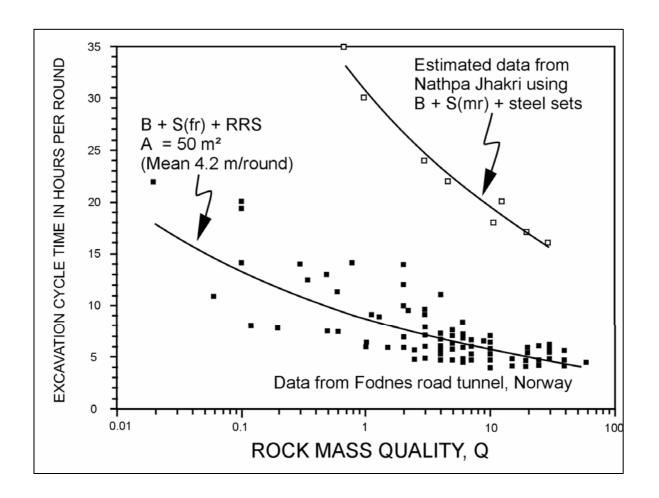


Figure 8 Case records of S(fr) showing thickness in centimetres as a function of the Q-value.





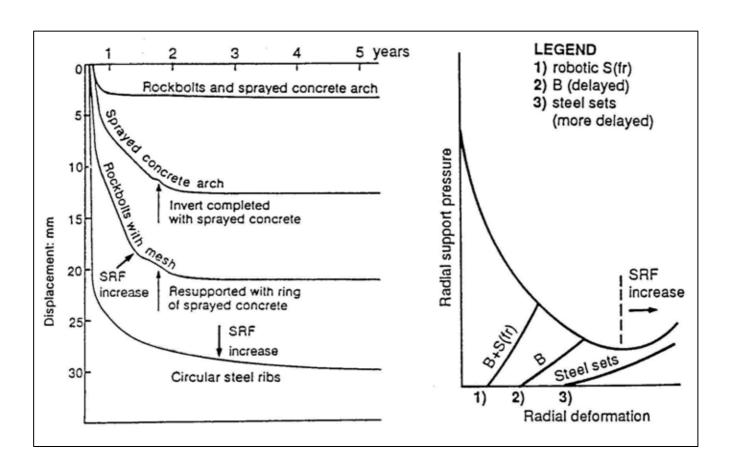
Cycle-time recordings (drilling the next round, blasting, waiting for gasses to clear, mucking, logging, bolting-if needed, shotcreting-if needed) versus Q-value. (Grimstad, NGI: pers com.1998).

### SOME IMPROVED TECHNOLOGY ASPECTS OF NMT

1. relevant shotcrete technology and equipment

2. relevant bolting technology (corrosion protected)

3. relevant water control (pre-injection and the free-standing liner)

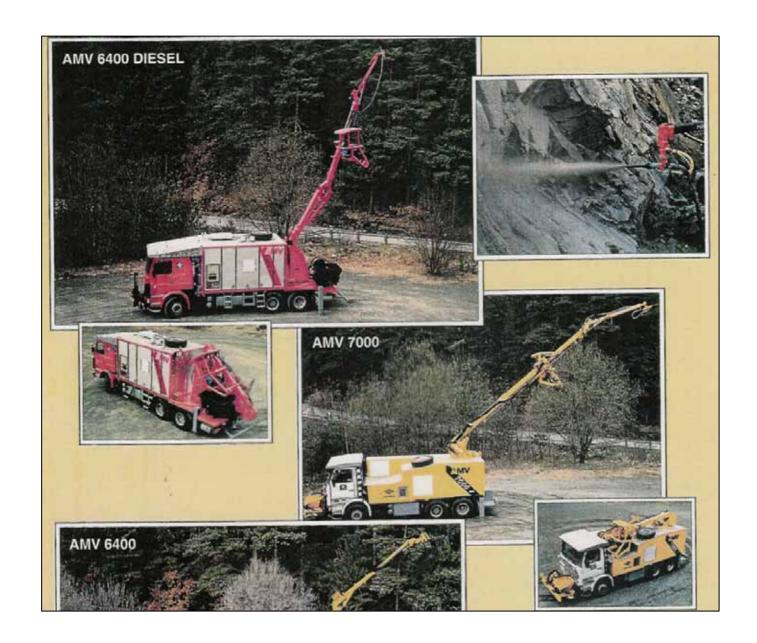


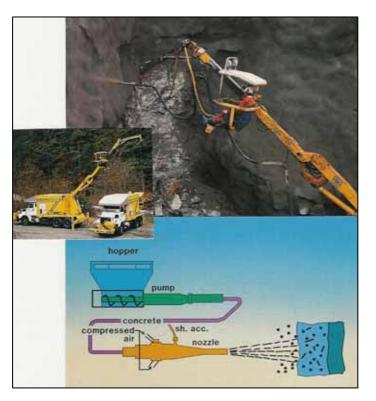
B+S (better still B+Sfr) gives by far the best tunnel-stabilizing result according to 5 years of deformation monitoring at an experimental tunnel in mudstone.

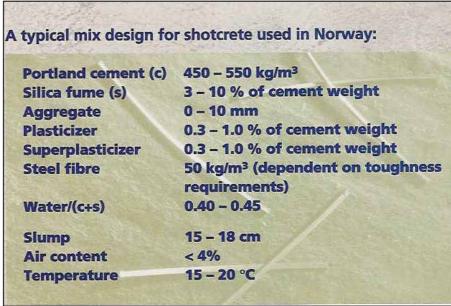
Ward et al. 1983, and Barton 1994.

## Relevant shotcrete technology and equipment

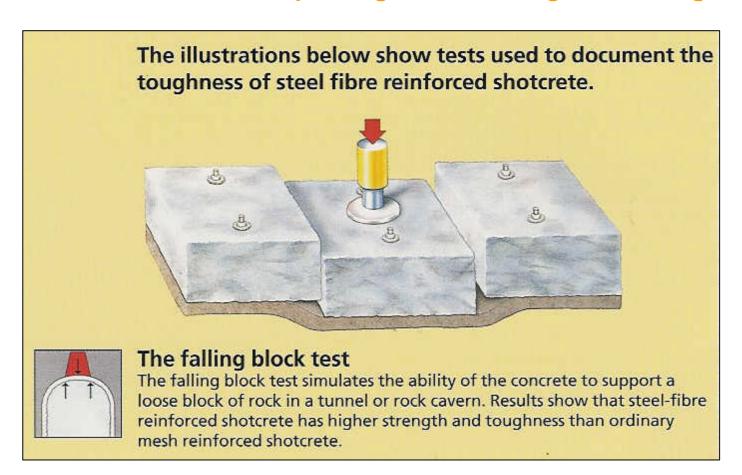
Road-licensed high-output robot trucks, which can serve several tunnel faces, Each are capable of 20 to 25 m<sup>3</sup>/hour on-the-tunnel-wall shotcreting with S(fr).

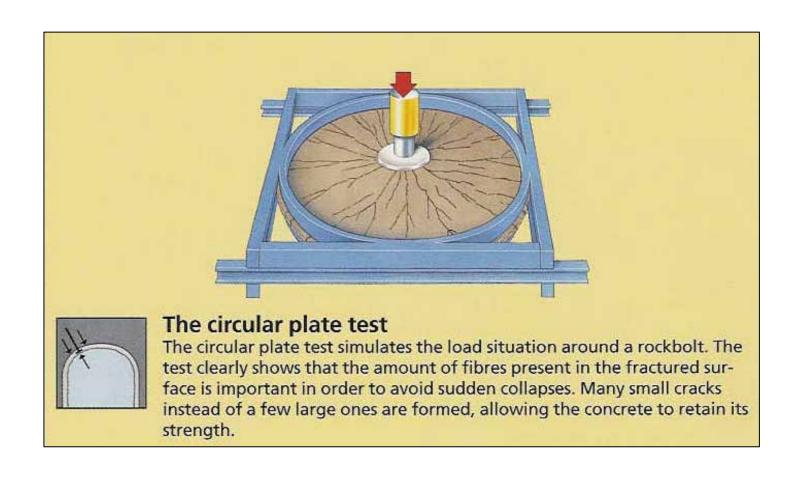


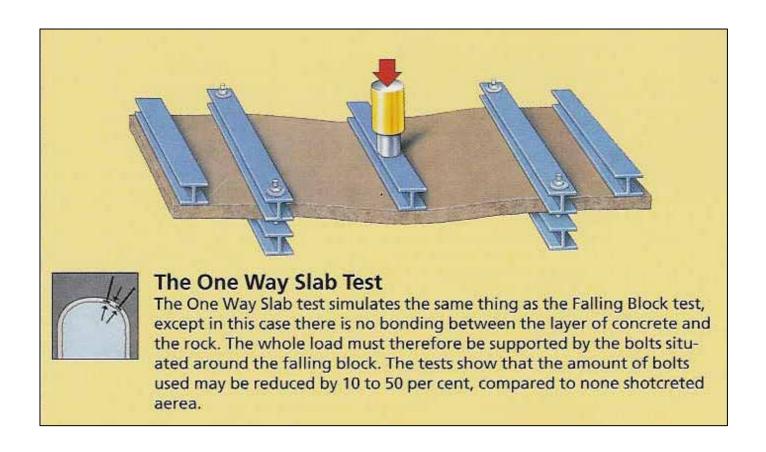


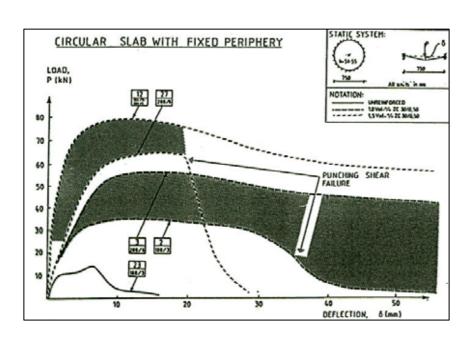


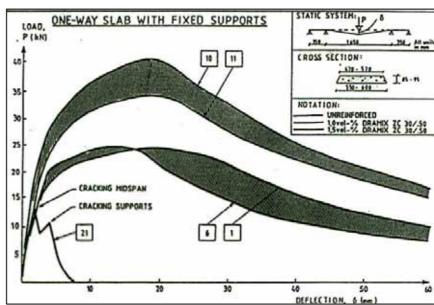
Typical S(fr) mix design for C45 to C55 (MPa) shotcrete. Note operator location close to nozzle, where rebounds of 4 to 6% (and almost dust-free air) make quality control very easy. Large-scale testing of S(fr) by Robocon in the mid-eighties. Fracture energy (area under load-deformation curves) was 60 to 80 times that of unreinforced shotcrete, depending on fibre dosage 40 or 60 kg/m<sup>3</sup>.



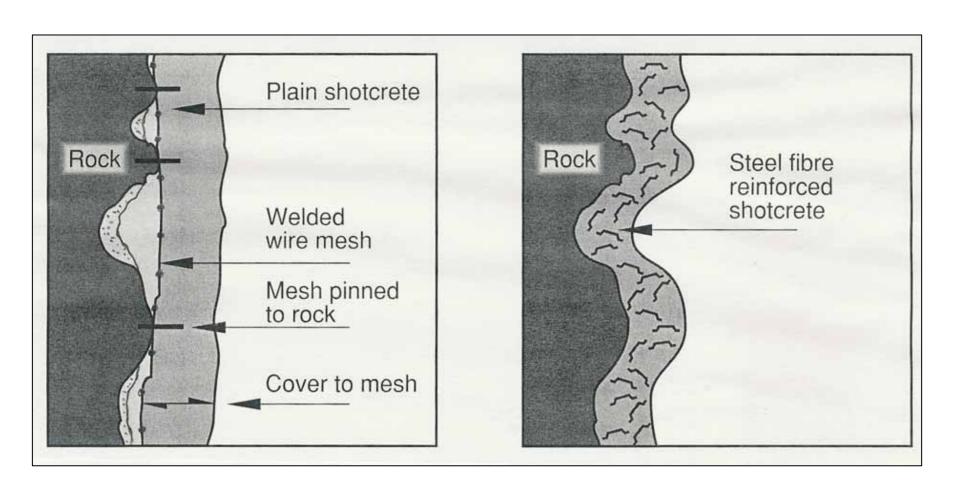






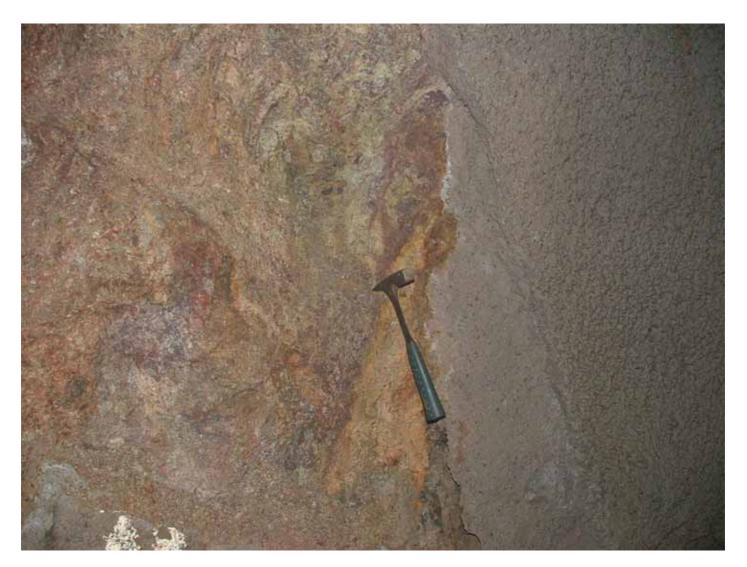


SLAB TEST LOAD-DEFORMATION BEHAVIOUR WITH S(fr) Torsteinsen and Kompen, 1983.



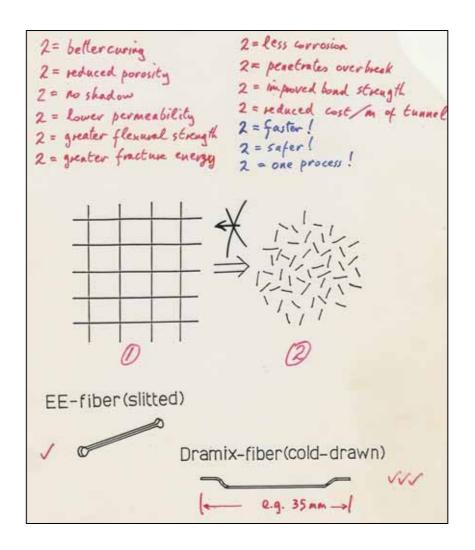
Vandevall (Bekært, Belgium)

## CONTRACTOR 'PAINTING' TO AVOID PROBLEMS

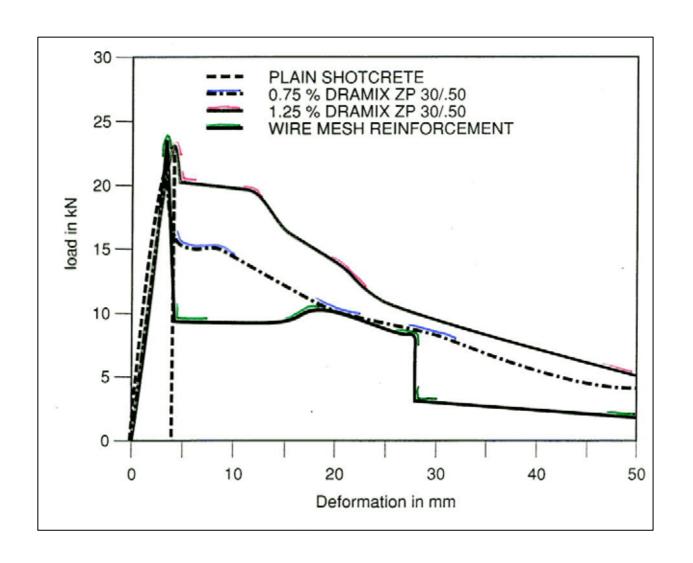


## ONE OF THE FIVE MAIN PROBLEMS



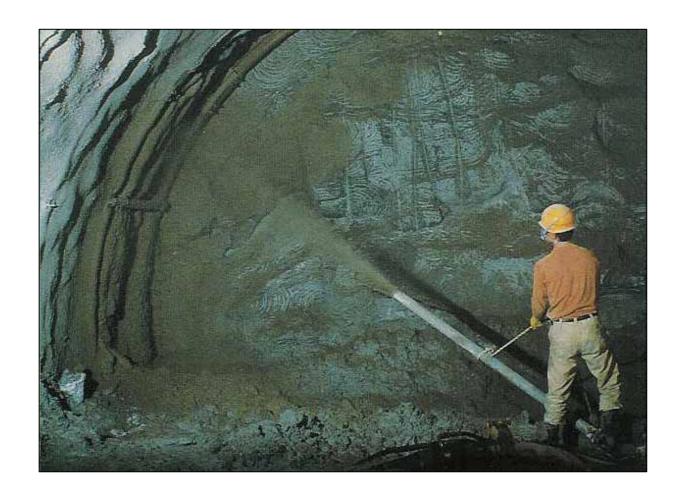


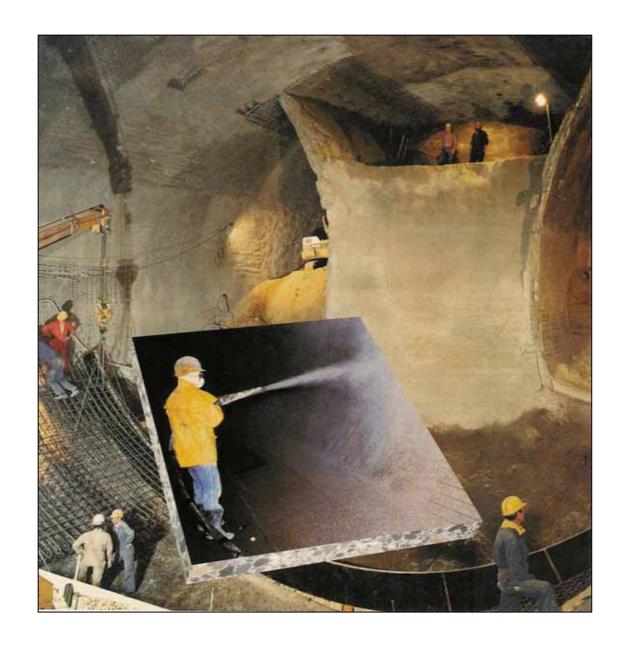
The advantages of S(fr) compared to S(mr). There is today the additional advantage of alkali-free accelerator, allowing thick layers of S(fr) to be built up rapidly, without the previous loss of long-term strength when using 'too much' accelerator.



LOAD-DERORMATION COMPARISON OF S (mr) and S (fr)







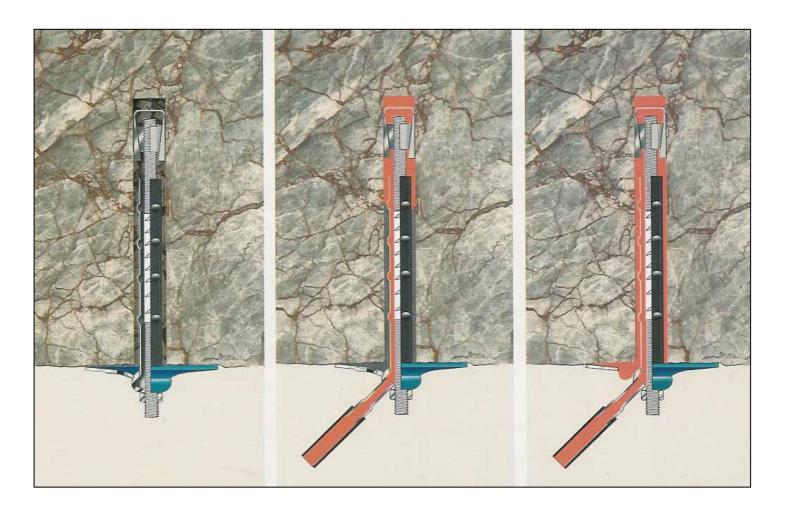


#### Relevant bolting technology (corrosion protected)

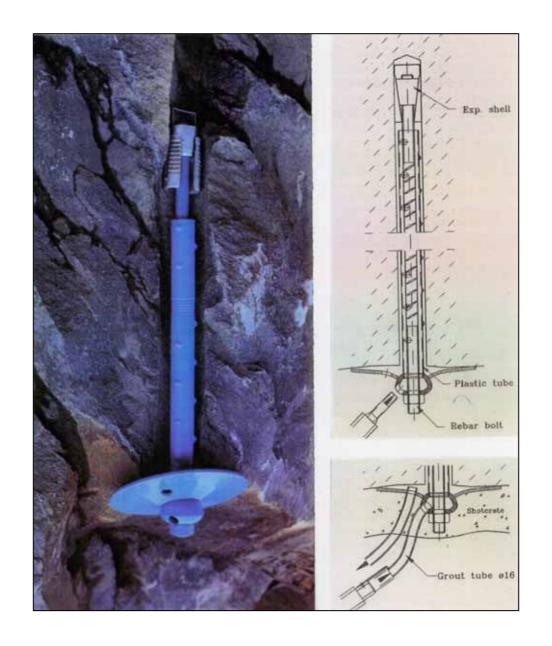
- ➢ Because NMT pre-supposes the use of S(fr)+B as the final support of tunnels and caverns (Barton et al. 1992, Barton and Grimstad, 1994), it is important that also the bolts are of good quality, with suitable longlife corrosion protection.
- The widespread use of NMT principles in Norway for the last 25 years (35 years if *S(mr)* is included) has meant that there has been an excellent development of corrosion protected bolts in this country.
- The CT-bolt, manufactured by Ørsta Stål, incorporates a simple endanchoring (wedge-lock) for easy installation and tensioning (if desired), followed by *double-annulus* grouting using a PVC-sleeve.
- With the layers: galvanising, Combi-coat (epoxy paint), grout, PVC-sleeve, grout: it has five layers of initial corrosion protection, and four are left when the outer layer of grout is cracked due to joint deformation. (This is the usual start of corrosion for conventional bolts).



The CT-bolt with PVC sleeve (many meters length in practice. Maximum load capacities are 33 and 30 tons in tension and shear, respectively, for the 20mm diameter bolt (22mm with thread).

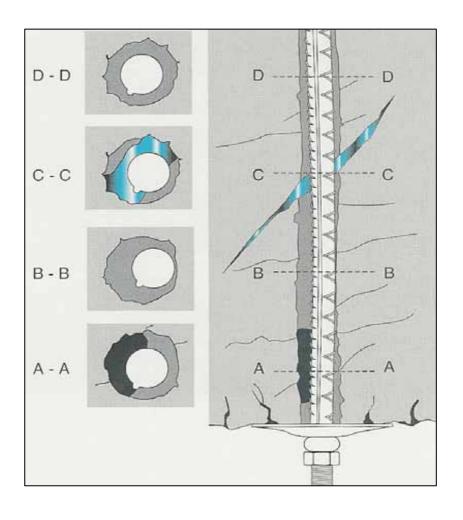


The double-annulus grouting (shown in red). Shotcreting can be performed after end-anchoring and before grouting of the bolt, if desired, using a tube extension. See <a href="https://www.CTbolt.com">www.CTbolt.com</a> for good 3D animation of process.

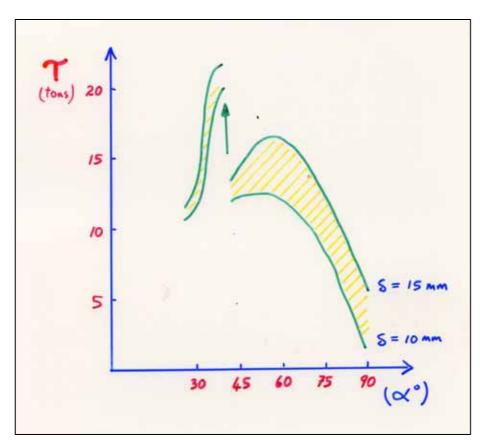


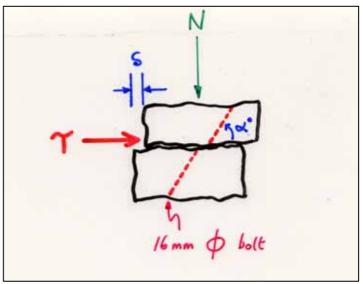


An over-cored CT bolt showing crack (joint) penetration to outer layer of grout – the usual commencement of corrosion for a conventional bolt.

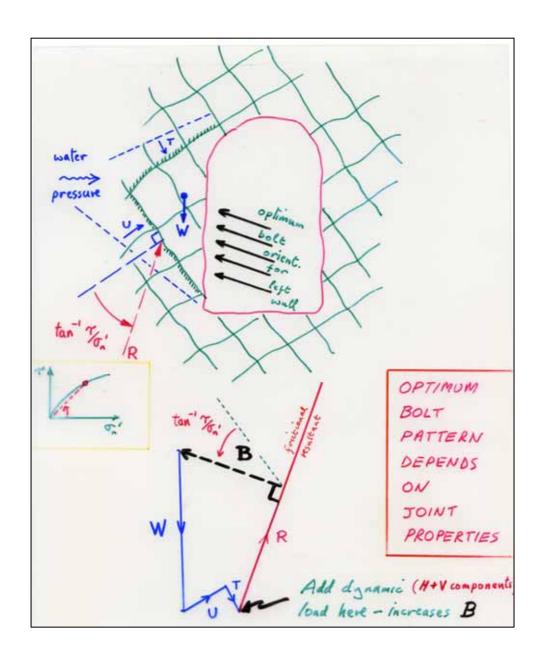


Some (slightly exaggerated) potential problems with un-sleeved conventional rock bolts. (Blue is lost grout due to water flow, black is void, DD is acceptable, BB).





BJØSTRØM 1976



#### Relevant water control

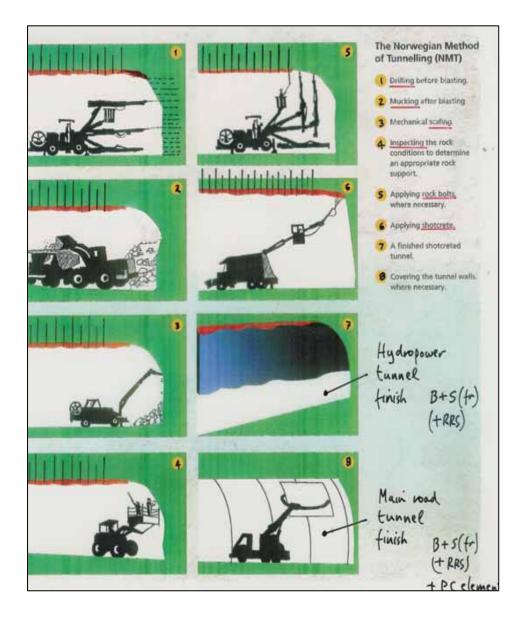
- hydrostatic liner and membrane
  - free-standing liner
    - pre-injection

There are several solutions to *the water* problem, and the different solutions tend to have widely different prices.





An example of one of the most expensive tunnelling solutions, like conventional NATM, with B+S(mr) for primary support, CCA (hydrostatic and membrane) for secondary support. This high-speed rail tunnel through jointed chalk in Southern England, had final costs of US\$ 128M /3.2 km, or \$ 40,000 per kilometre. This is three to four times higher than a typical NMT tunnel, with similar Q-value rock, using B+S(fr) as permanent rock support, and a PC-element+membrane liner, for a drained-but-dry solution.

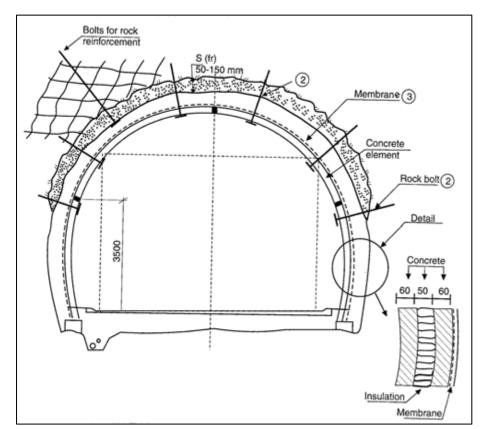


NMT concepts in diagrammatic form. Note that stage No. 6 must precede stage No. 2 if stability /stand-up time is very poor.

Concerning the 'dry-but-drained' final result (for road or rail), note the PC-element (free-standing but bolted) liner.

This has an outer membrane/sheet lying over it, if required due to continued water inflow or drips – e.g. if high pressure pre-grouting had not completely controlled the water.

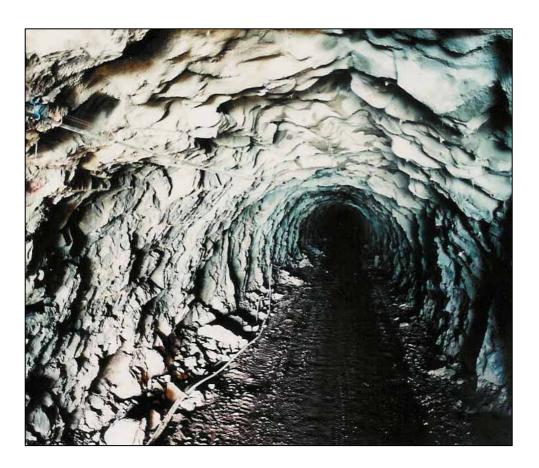
This is completed at rates up to 1000m per month, with suitable mounting machinery.





An example of a PC-element final liner, placed after cleaning of muck and fill in the invert. Membrane and frost insulation (sandwich) shown.

An example of PC-element mounting for a two-lane road tunnel. Note the primary B+S(fr) permanent support, and the mostly dry surface of the shotcrete,.



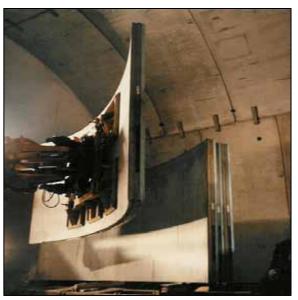
An example of the primary rock reinforcement and support.

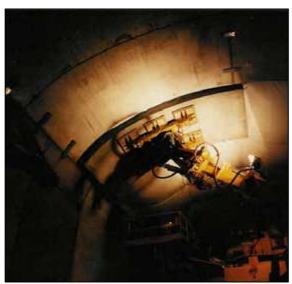
This consists of B+S(fr) in the arch and upper walls of a heavily jointed (and therefore heavily over-breaking) rock mass.

Photographed while checking the shotcrete for any signs of 'druminess'.

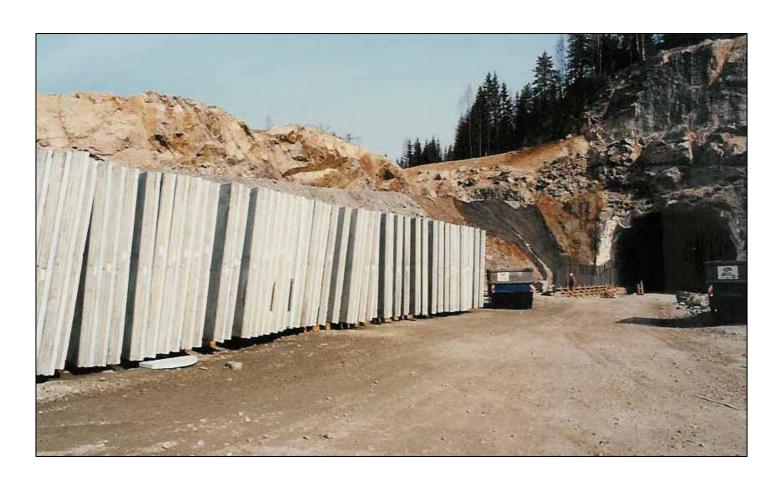
This was followed by a freestanding liner with outer membrane for this motorway tunnel.





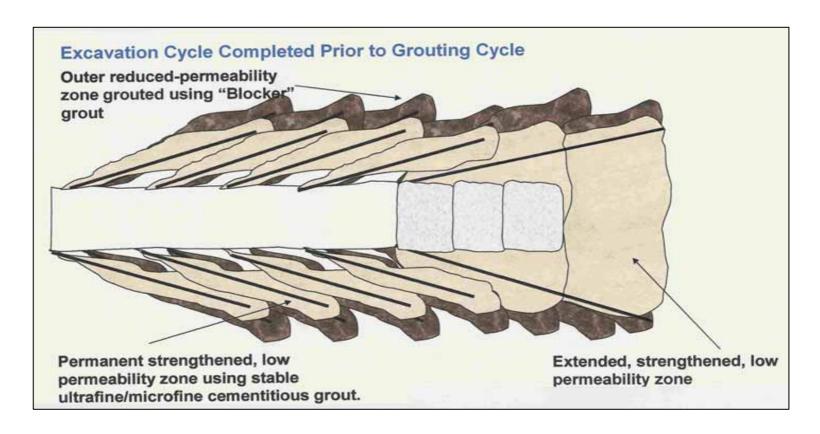






PC-elements stacked at rail tunnel portal ready for mounting with outer membrane sheet – at rates of 900m/month (after learning curve)

# THE GROUT PRE-INJECTION OPTION FOR WHEN INFLOW AND GROUNDWATER DRAWDOWN ARE UNACCEPTABLE



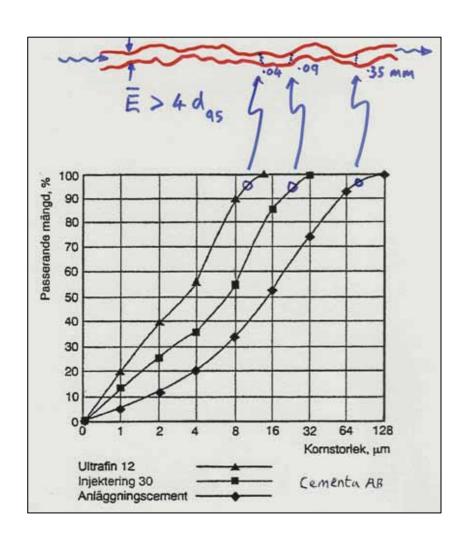
Pre-grouting 'umbrellas' for water control (and rockmass property improvement). Grout material choice will be industrial, micro or ultrafine cements, based on the rule-of-thumb  $E > 4 \times d_{95}$  concerning initial entry into the joints. Pre-grouting layout from Elkem.

#### Some pre-grouting results

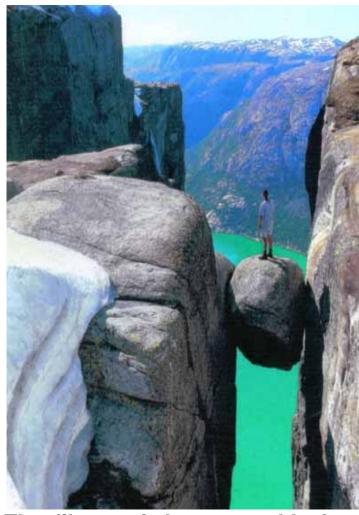
Pre-grouting data derived from Åndal et al., 2001.

Rock type	kg/m² tunnel surface	≈ kg/m³	≈ litres/m³
gneiss	11.0 to 16.5	1.8-2,8	1.0-1.6
granite	12.0 to 52	2.0-8.7	1.1-5.0
phyllite	26	4.3	2.5
rhomb porphyry	28 to (99)	4.7-(16.5)	2.7-(9.4)
syenite (dike)	30 to (186)	5.0-(31)	2.9-(17.7)
fracture zone	19 to 50	38.3	1.8-4.7

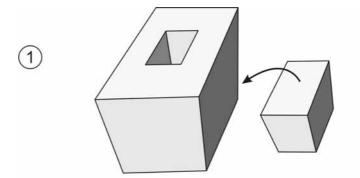
ASSUME 6M THICK CYLINDER (ON AVERAGE) IS GROUTED

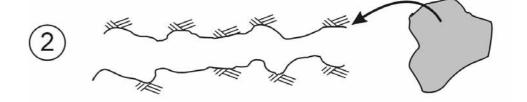


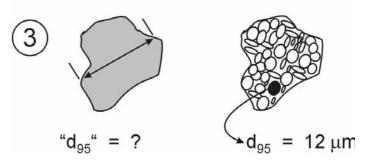
- Choice of a suitable grout depends on the estimated (e) and (E) values and then use of the rule-of-thumb that E > 4 x d<sub>95</sub>.
- It is normal to use micro-(or ultra-fine) cements with microsilica and plasticizers, to give the most stable grouts that give the best final results
- i.e. <10<sup>-8</sup>m/s or < about 2 or 3 liters/min/100m inflow.



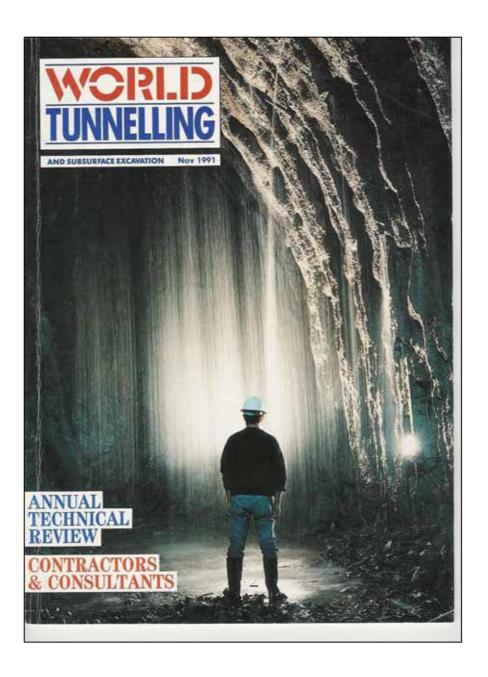
The dilemma is how to get blocks (i.e. particles) that are too large in joints that are too tight.

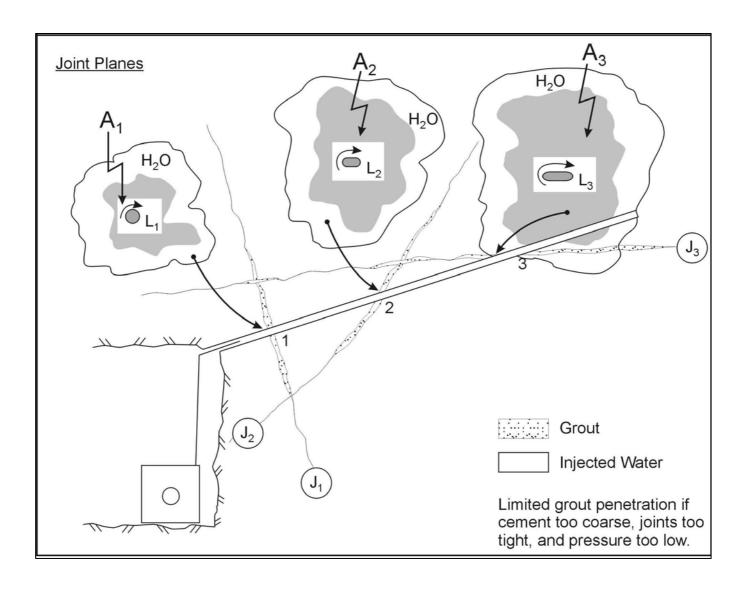






.....smaller particles! ..... wider joints!

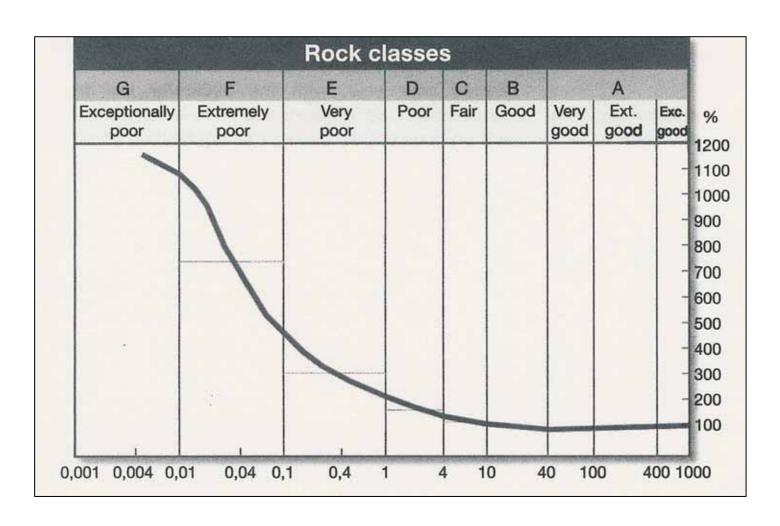




'Water-sick' rock, due to too coarse cement particles, too tight joints, and too low injection pressure.

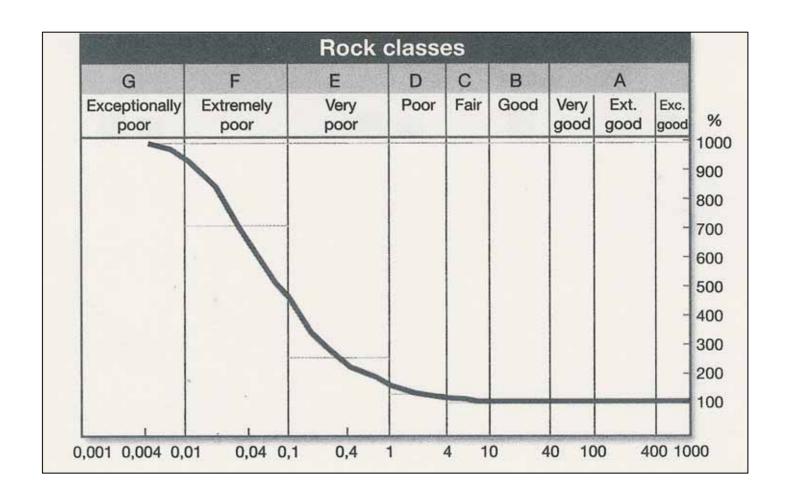


WATER-SICK ROCK - MORE WATER AFTER INJECTION THAN BEFORE



 $Q = RQD/Jn \times Jr/Ja \times Jw/SRF$ 

#### RELATIVE COST FOR TUNNELLING IN RELATION TO Q-VALUE



 $Q = RQD/Jn \times Jr/Ja \times Jw/SRF$ 

#### RELATIVE TIME-EXPENDITURE OF TUNNELLING IN RELATION TO Q-VALUE

### Improvements in rock mass 'quality' due to grouting

Joints are obviously opened more than in the preceding Lugeon tests, and many rock mass properties can apparently be improved if stable micro-cement based materials are used.

Pre-grouting may cause moderate, individual effects like the following:

RQD increases e.g. 30 to 50%,  $J_n$  reduces e.g. 9 to 6,  $J_r$  increases e.g. 1 to 2 (due to sealing of most of set No. 1),  $J_a$  reduces e.g. 2 to 1 (due to sealing of most of set No. 1),  $J_w$  increases e.g. 0.5 to 1 (even with  $J_w = 1$ , tunnel ventilation air may contain moisture), SRF (might increase in faulted rock with little clay, or if under low stress i.e. near-surface).

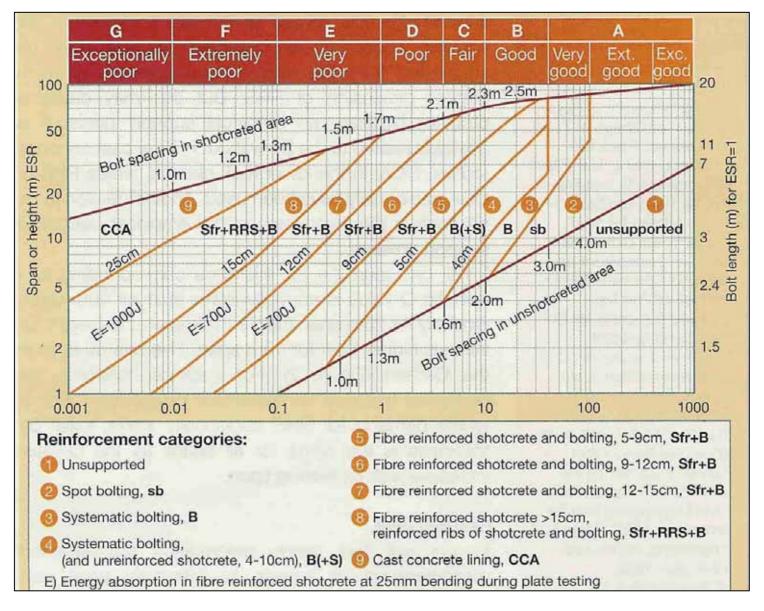
**Before pre-grouting** 
$$Q = \frac{30}{9} \times \frac{1}{2} \times \frac{0.5}{1} = 0.8$$

After pre-grouting 
$$Q = \frac{50}{6} \times \frac{2}{1} \times \frac{1}{1} = 17$$

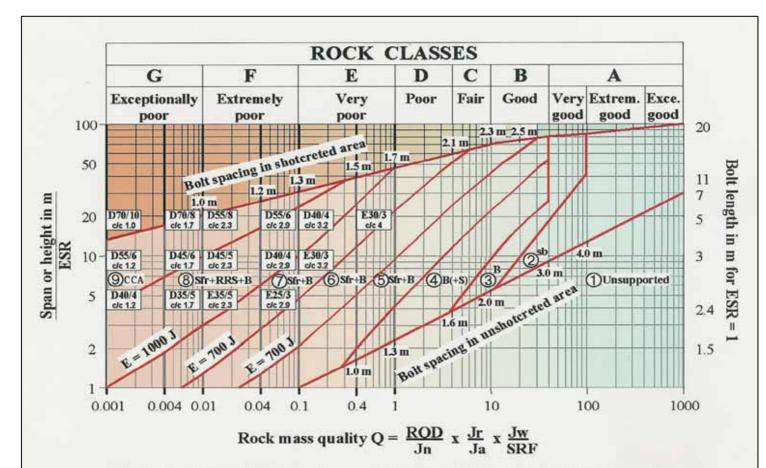
## RRS (rib-reinforced shotcrete arches) FOR VERY BAD ROCK CONDITIONS

(e.g. Q < 0.1)

- ➤ When Q-values are below approx. 0.1 (i.e. extremely poor), it can be expected that there will be the possibility of large overbreak, low stand-up time, and significant early deformations.
- The use of steel sets should be avoided in such situations, due to the actual relatively larger rock-block loosening that they allow, unless followed immediately by bolting or shotcrete, or both.
- ➤ It is for this category of problems that RRS (or rib-reinforced shotcrete) has been developed.
- This is a much more effective measure than steel arches or lattice girders when conditions are very bad, because it provides a more rapid and much stiffer support than these two 'solutions'.



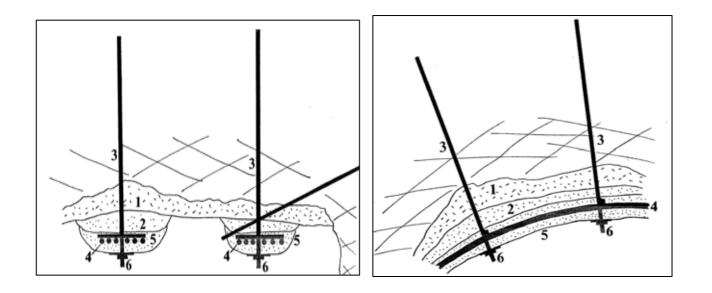
Latest Q-support diagram for permanent support of tunnels and caverns, with energy absorption classes for S(fr). Grimstad et al. NGI, Tunnels and Tunnelling International, 2003.



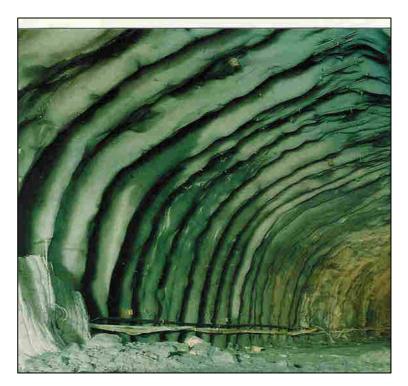
#### REINFORCEMENT CATEGORIES

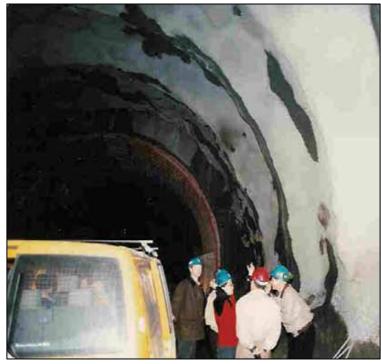
- 1) Unsupported
- 2) Spot bolting, sb
- 3) Systematic bolting, B
- Systematic bolting, reinforced ribs of shotcrete
   (and unreinforced shotcrete, 4-10 cm), B(+S)
   Cast concrete lining, CCA
- 5) Fibre reinforced shotcrete and bolting, 5-9 cm, Sfr+B
- 6) Fibre reinforced shotcrete and bolting, 9-12 cm, Sfr+B
- 7) Fibre reinforced shotcrete and bolting, 12-15 cm, Sfr+B
- 8) Fibre reinforced shotcrete > 15 cm + reinforced ribs of shotcrete and bolting, Sfr+RRS+B
- e) Energy absorbtion in fibre reinforced shotcrete at 25 mm bending during plate testing

= RRS with 6 reinforcement bars in double layer in 45 cm thick ribs with centre to centre (c/c) spacing 1.7 m. Each box corresponds to Q-values on the left hand side of the box. (See text for explanation)



RRS or steel-reinforcing-bar reinforced shotcrete arches, for the next-to-worst categories of rock mass, e.g. 0.01 < Q < 0.1. 1= first layer of general S(fr) – accelerated with non-alkali additive, 2 = build-up local, smooth but not necessarily circular arch (or arches) of non-alkali accelerated S(fr), 3 = drill bolt holes at e.g.1m centres round arch, and install end-anchored bolts with pre-fabricated, welded cross-bars. (Grout bolts later), 4 = attach (wire and weld) 6x16mm reinforcing bar 'steel-arches' to each bolt-head cross-bar (pre-fabricate in bundles, for easier attachment. (Note: these bars can be bent into overbreak zone, therefore requiring less shotcrete volumes than with e.g. stiff lattice girder), 5 = spray over reinforcing bars with shotcrete, to complete arch and provide foundation for 6 = bolts and washer, tensioned (bolt thread pre-protected with plastic caps. Optional – spray in bolt heads to complete RRS arch.



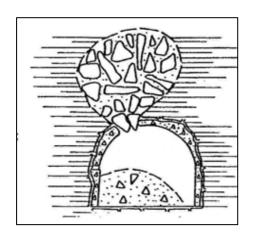


Appearance of ('bent') RRS in subway station location where central pillar was excavated after side-cuts, and in road tunnel ( CCA in background).

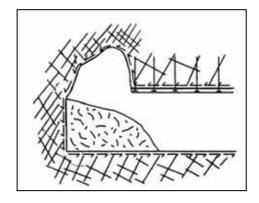
The consequences of insufficient attention to the details of immediate rock support when conditions are extremely poor, can be illustrated by three 'failure' scenarios.

The day invested in forming RRS arches, preferably with spiling bolts inclined into the arch ahead of the next excavation step, can save weeks of struggling to recover 'unnecessary situations' as those illustrated.

Recent dimensioning suggestions for RRS that are based both on case records and careful modelling are shown in next figure.







Uncontrolled developments due to failure to correctly pre-treat and support the 0.01<Q<0.1 ground ahead of the face.

#### **FINALLY:**

COST ESTIMATION
IN
RELATION TO
THE
Q-VALUE

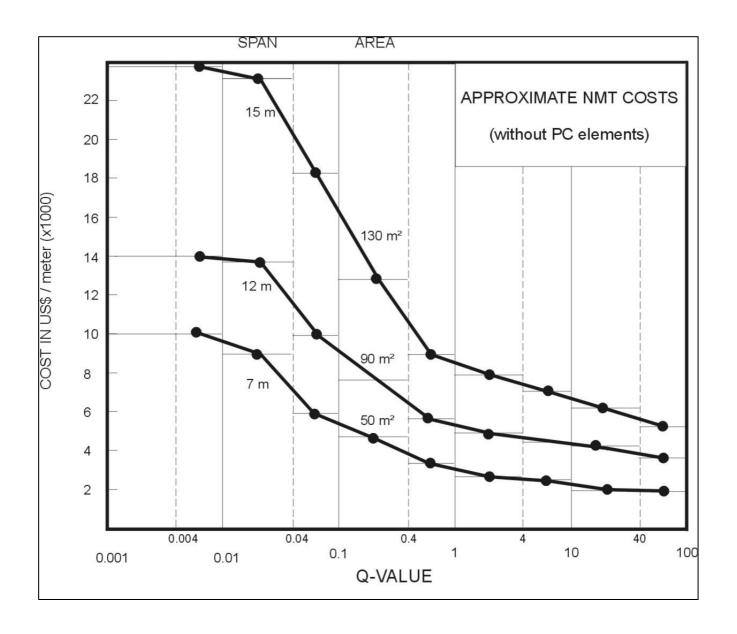


Figure A2.18 Cumulative histograms for 1093 m of logged core from a total of 17

