

# Designs Factors for Flexible and Rigid Pavement Design

**Workshop & Lectures on Pavement Engineering, Maintenance and Management**

## References

- *Pavement Analysis and Design*, Y.H. Huang, 2004
- *Principles of Pavement Design*, Yoder and Witczak, 1975
- *Manual for Professor Training Course in Asphalt Technology*, National Center for Asphalt Technology
- National Highway Institute (NHI) Training Course 131064A, *Introduction to Mechanistic Design of New and Rehabilitated Pavements*

## Design Factors

- Traffic and Loading
- Materials
- Environment
- Failure Criteria
- Reliability

## Traffic

- Primary Design Input
- Consideration
  - Traffic Volume
  - Mixed Traffic
  - Variable Vehicle and Axle Weights
  - Predicting Future Traffic
  - Lane Distributions



4

## Traffic Volume

- AADT is the average daily traffic volume in all lanes in both directions
- $AADT = (\text{total yearly traffic volume}) / 365$
- T = percentage of truck

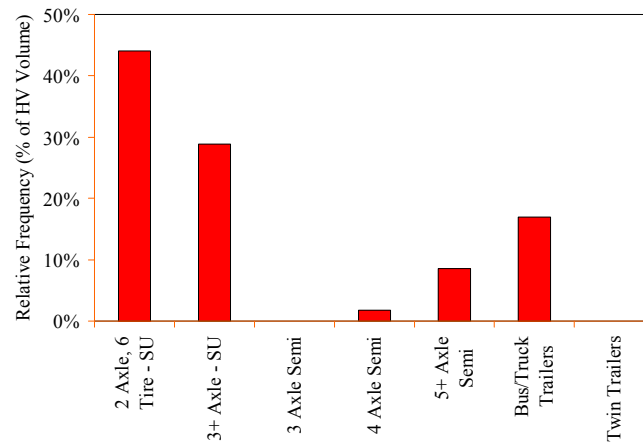
5

## Mixed Traffic



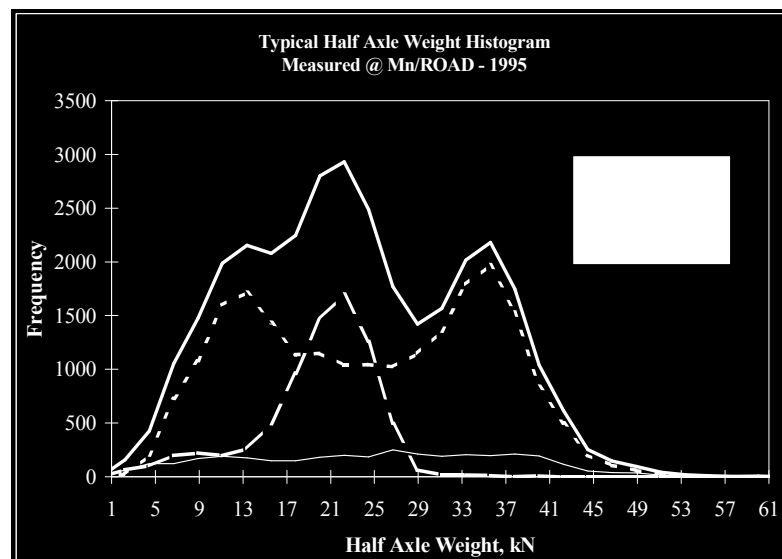
6

## Mixed Traffic – Vehicle Distributions



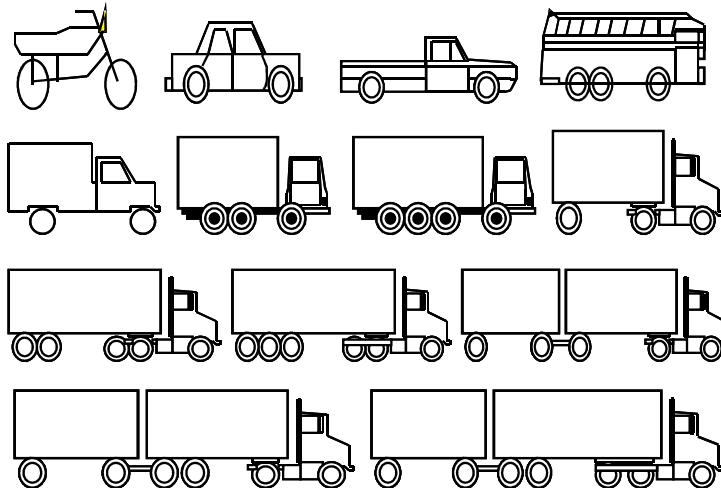
7 Mn/DOT 1994 Geotechnical and Pavement Manual, Rural CSAH or County Roads

## Mixed Traffic – Variable Axle Weight



8

## Vehicle Classification



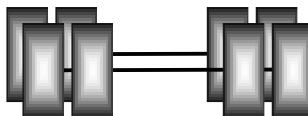
9

## Mixed Traffic – Axle Configurations

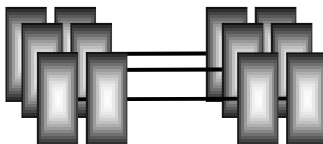
- Single



- Tandem

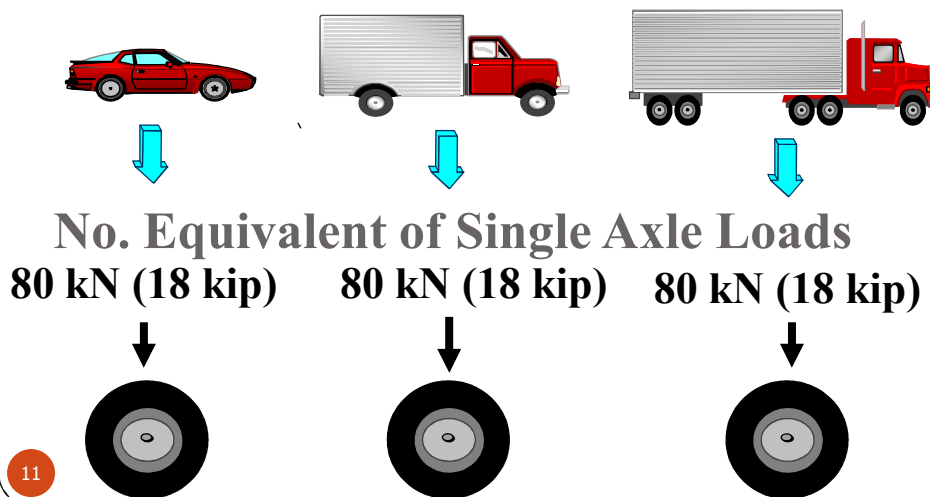


- Tridem



10

## Conversion of Mixed Traffic to Equivalent of Single Axle Loads



11

## Concept of Equivalent Single Axle Loads (ESALs)

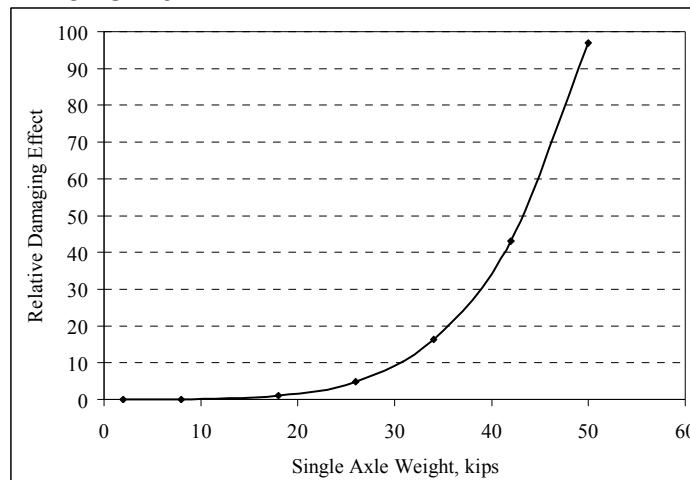
- Convert mixed traffic into equivalent 80-kN (18-kip) single axles
- Equivalent axles based on loss in serviceability measured at the AASHO Road Test
- Load equivalent factors used for the conversion

12

## Relative Damage

### AASHO Road Test – Empirical Relationship

- 4<sup>th</sup> Power Law



13

## Equivalent Axle Load Factor

- Defines the damage to pavement by any axle load relative to the damage induced by a single load (18 kip).
- Design is based on number of passes of single axle load.
- Equivalent load factor used depends on pavement conditions.
- Load factors are based on experience but can be derived theoretically.
- AASHO is the most commonly used procedure.

$$ESAL = \sum_{i=1}^m F_i n_i$$

m = number of axle group,

i = axle load group,

F<sub>i</sub> = equivalent axle load factor,

n = number of passes.

14

## Flexible Pavement

AASHTO Equivalent Factors:

$$\log\left(\frac{W_{tx}}{W_{t18}}\right) = 4.79\log(19) - 4.79\log(L_x + L_2) + 4.33\log L_2 + \frac{G_t}{\beta_x} - \frac{G_t}{\beta_{18}}$$

$$EALF = \left(\frac{W_{t18}}{W_{tx}}\right)$$

Theoretical Analysis: there are different criteria proposed by different organizations.

- Asphalt Institute (failure criterion):
- Deacon (1960) (layer theory):

$$N_f = f_1(\varepsilon_t)^{-f_2} (E_1)^{-f_3}$$

$$EALF = \left(\frac{W_{t18}}{W_{tx}}\right) = \left(\frac{\varepsilon_x}{\varepsilon_{18}}\right)^4$$

15

## Theoretical Analysis (cond't):

$$EALF = \left(\frac{L_x}{18}\right)^4 \quad EALF = \left(\frac{L_x}{L_s}\right)^4$$

## criterion based on permanent deformation:

$W_{t18}$  = number of single loada pplication to time, t

$W_{tx}$  = number of x - axle load applications at the end of time, t

$\varepsilon_x$  = tensile stress at the bottom of asp. layer due to x - axle load,

$\varepsilon_{18}$  = tensile stress at the bottom of asp. layer due to 18 kip axle load,

$L_x$  = the load in kip on one signle axle,

$L_2$  = axle code, 1,2,..

$$N_d = f_4(\varepsilon_c)^{-f_5}$$

16



## Determining Vehicle Factors

- Average damaging effect of vehicle
- Consider axle weight distribution for particular vehicle type

17

## Example – Truck Equivalency Factor

Axle Load, kips	LEF	Number of Axles			A18 Kip EAL's
Singles					
3-5	0.002	x	1	=	0.002
5-7	0.01	x	5	=	0.05
7-9	0.034	x	15	=	0.51
9-11	0.088	x	57	=	5.016
11-13	0.189	x	63	=	11.907
13-15	0.36	x	17	=	6.12
23-25	3.03	x	3	=	9.09
Tandems					
27-29	0.495	x	50	=	24.75
29-31	0.658	x	72	=	47.376
31-33	0.857	x	85	=	72.845
33-35	1.09	x	120	=	130.8
35-37	1.38	x	25	=	34.5
Total A18s				=	342.966
ESAL Vehicle Factor=	Total A18s	=	342.966	=	2.078
	# of Trucks		165		↑

18

ESALs/Vehicle

## Predicting Future Traffic

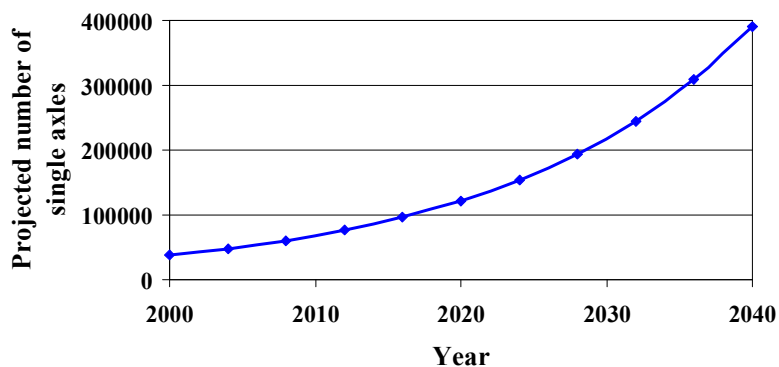
- How fast will traffic grow?
- What is the design level of traffic?
- Examine historical trends
  - Develop best estimate of future growth rate
- Apply growth factor to current volume

$$\text{Growth Factor} = \frac{(1 + g)^n - 1}{g}$$

- Assumptions
  - There is steady growth in traffic volumes
  - All other distributions remains relatively constant over the design period

19

## Example of Single Axle Growth



## Lane and Directional Distributions

- Typically design for 'heaviest' loaded lane
- Develop best information regarding lane distribution

21

## Lane and Directional Distributions

- Typical Assumptions
  - Directional distribution = 50%
  - Lane Distribution

# Lanes/Direction	%Traffic In Design Lane
1	100
2	80-100
3	60-80
4	50-75

22

## Example of Lane Distribution

ADT 20,000

ADT 60,000

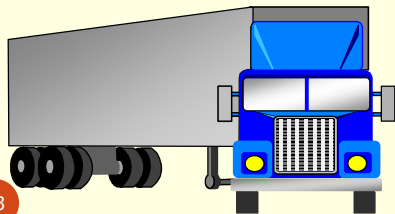
75% trucks →

53% trucks →

25% trucks →

39% trucks →

8% trucks →

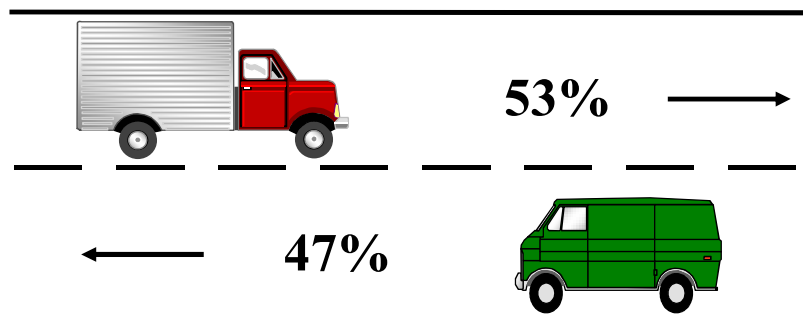


23

**Design for  
worst case!!**

## Example of Directional Distribution

Percentage of trucks traffic traveling  
in one direction



24

## Total Design Life ESAL

- The design life or performance period is the cumulative expected 18-kip ESAL

$$ESAL = \left( \sum_{i=1}^m p_i F_i \right) (ADT)_0 (T)(A)(G)(D)(L)(365)(Y)$$

$p_i$  = percentage of total repetitions for the  $i$ th group

$F_i$  = EALF for the  $i$ th load group

$(ADT)_0$  = average daily traffic at the start of the design period

$T$  = percentage of trucks in the ADT

$A$  = average number of axles per truck

$G$  = growth factor

$D$  = directional distribution factor

$L$  = lane distribution factor

$Y$  = design period in years

25

## Load Spectra

- Deal with load variability directly
  - Load configurations
  - Tire pressures
  - Axle spacing
- Use mechanistic analysis to predict state of stress beneath each load
  - Empirically relate stresses to performance

26

## Sources of Traffic Data

- Traffic Data Monitoring Systems
  - Automatic traffic recorders (ATR)
  - Automatic vehicle classification (AVC)
    - Determine configuration of vehicle and divide vehicles into different classes
  - Weigh-in-motion (WIM)
    - Axle weights/counts and vehicle classification

27

## Materials

- Asphalt Materials
- PCC Materials
- Cementitiously Stabilized Materials
- Non-stabilized granular base/subbase
- Subgrade soils
- Bedrock

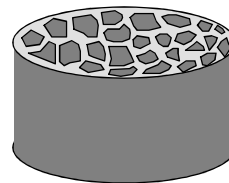
28

## Asphalt Materials

- ♦ Resilient Modulus
- ♦ Dynamic Modulus
- ♦ Fatigue Characteristics
- ♦ Permanent Deformation

## Asphalt Modulus

- Function of
  - Temperature
  - Rate of loading
  - Age
  - Volumetric properties
- Use of time-temperature superposition to determine "master curve"
- As the temperature increases, the modulus decreases
- As loading time increases, the modulus decreases
- As HMA ages with time, the modulus increases



## Asphalt Modulus

- Resilient Modulus
  - Compression
  - Indirect Tension
- Dynamic Modulus
  - Measured-Compression
  - Calculated from regression equation

31

## Resilient Modulus

$$M_r = \frac{\sigma_D}{\epsilon_r}$$

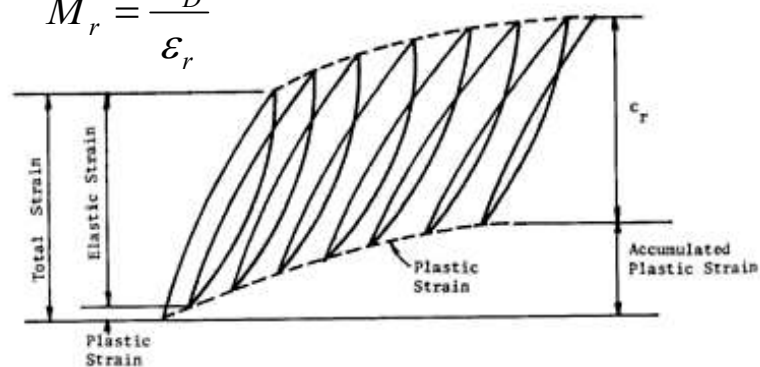
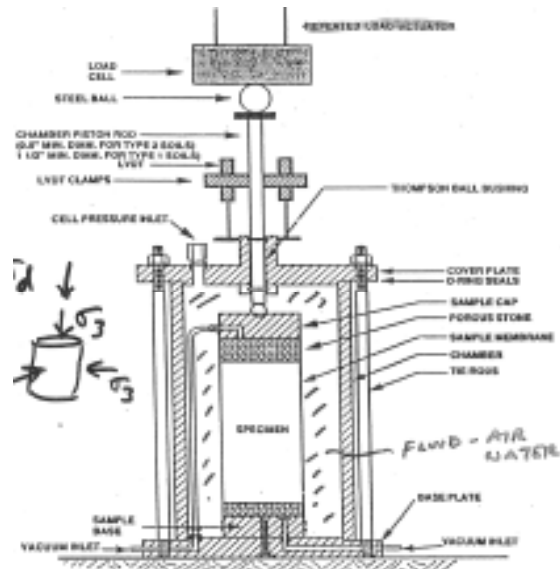


Figure 7.1 Strains under repeated loads.

32



# Compression Test for Mr



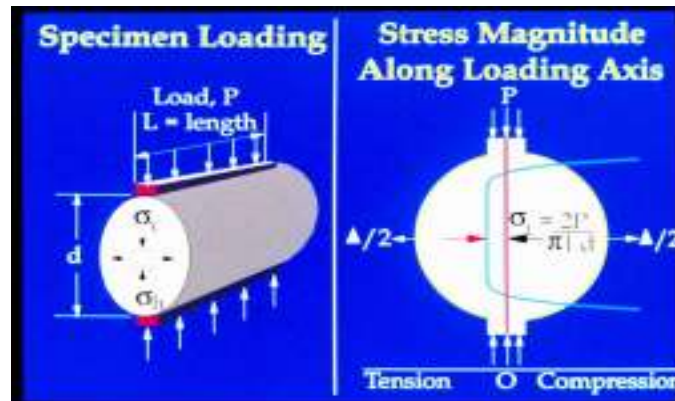
33

## Test Condition

- Sample size: 4in. (102mm) in diameter and 8in. (203mm) in height
- Sample conditioning 50-200 cycles to ensure uniform deformation
- Test at 3 temperatures: 41F, 77F, and 104F (5, 25, and 40C)
- 20psi (138kPa) haversine loading with a duration of 0.1s and a rest of 0.9s

34

## IDT Test for $M_r$



$$M_r = \frac{P(\nu + 0.2734)}{\delta t}$$

35

## Test Condition

- ASTM(1989b) D4123-82
- Sample size: 4in. (102mm) in diameter and 2.5in. (64mm) thick
- Sample conditioning 50-200 cycles to ensure uniform deformation
- Test at 3 temperatures: 41F, 77F, and 104F (5, 25, and 40C)
- $P = 40$  to  $60\text{lb.}$  ( $180$  to  $270\text{kN}$ ) with a load duration of  $0.1\text{s}$  applied every  $3\text{s}$

36

## Dynamic Modulus $E^*$

- Difference between MR and  $E^*$ 
  - MR: use any waveform with a given rest period
  - $E^*$ : use sinusoidal or haversine loading with no rest period
- $E^*$  is used to describe the stress-strain relationship of visco-elastic materials

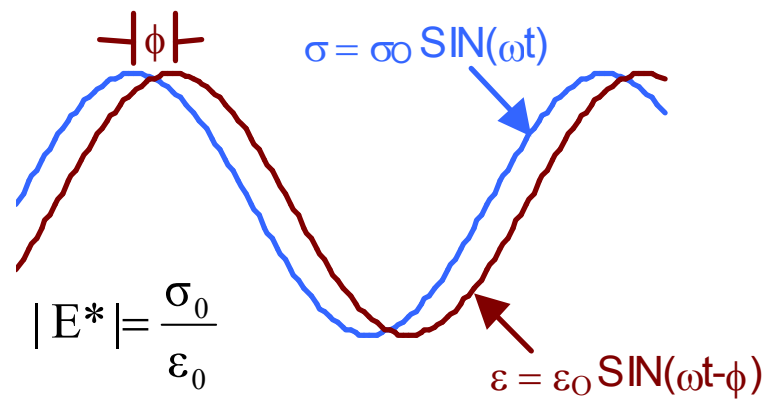
37

## Dynamic Modulus Test

- ASTM (1989b) D3497-79
- Compressive haversine loading
- At temperatures of 41, 77, and 104F (5, 25, and 40C)
- At frequencies of 1, 4, and 16Hz for each temperature
- $E^*$  is the ratio between the axial stress and the recoverable axial strain

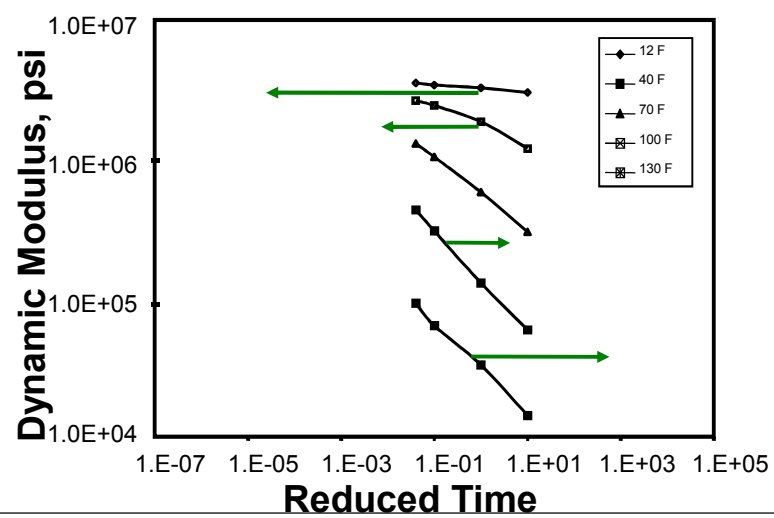
38

## Dynamic Modulus



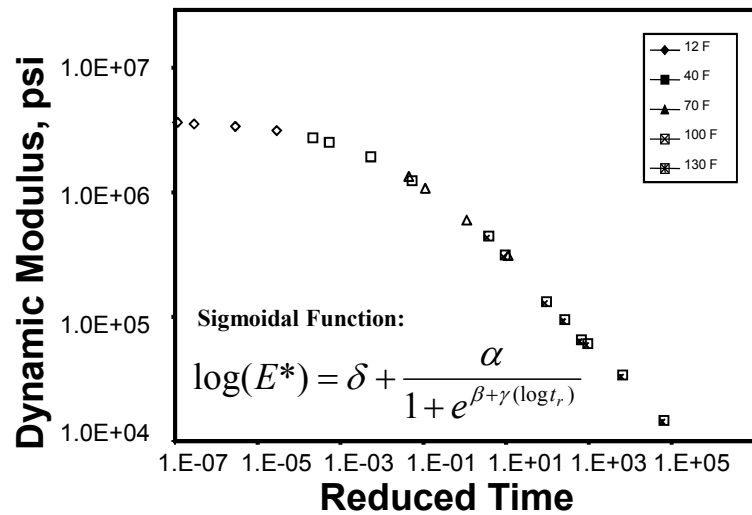
39

## Dynamic Modulus Master Curve



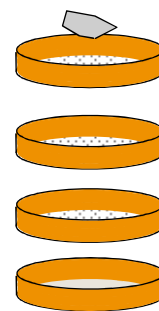
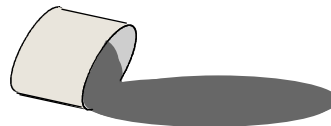
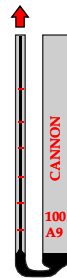
40

## Dynamic Modulus Master Curve



## Dynamic Modulus Equation

- Function of:
  - Asphalt binder viscosity
  - Loading frequency
  - Air void content
  - Effective asphalt content
- Cumulative percent retained on
  - 19-mm
  - 9.5-mm
  - 4.75-mm
- Percent passing 0.075-mm sieve



42

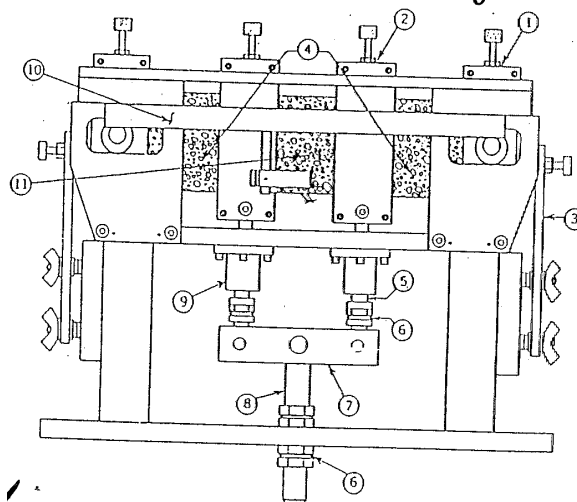
## Fatigue Characteristics

### Fatigue testing

- Four-point bending beam (third-point bending)
- Three-point bending beam (center-point bending)
- Cantilever beam
- Indirect tensile (IDT)

43

## Four-Point Bending Beam Fatigue Test (AASHTO T-321)

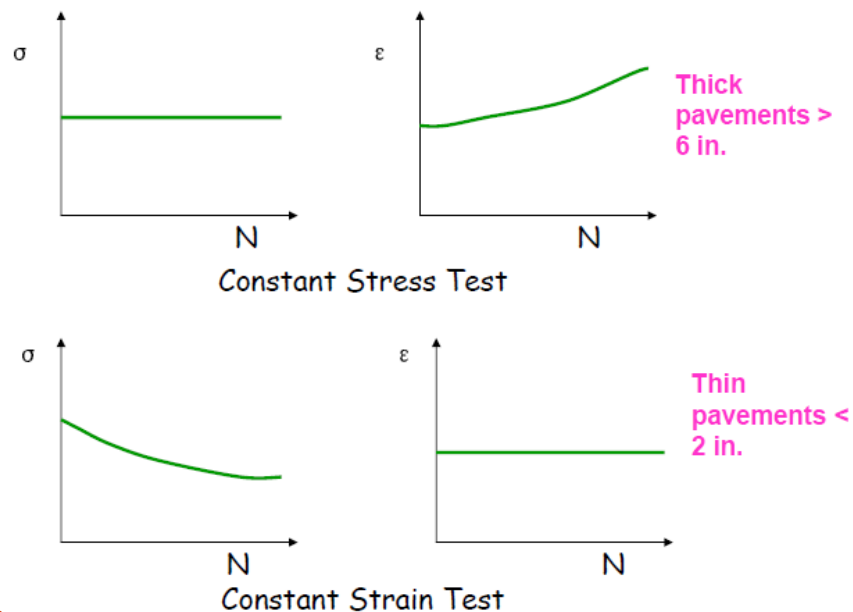


44

## Testing Conditions

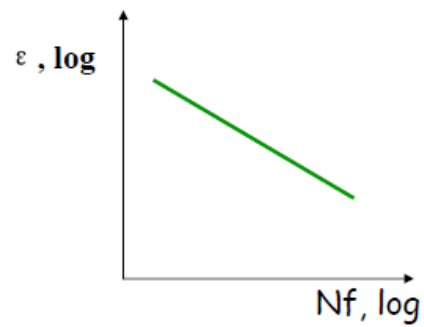
- Loading modes
  - Controlled stress & controlled strain
- Temperature: 20C
- Haversine wave shape @ 10Hz frequency
- Test results
  - For each cycle, report: stress, strain, flexural stiffness, phase angel, temperature, energy...
- Failure criteria:
  - Controlled stress: complete fracture
  - Controlled strain: 50% initial flexural stiffness reduction

45



46

## Fatigue Data Analysis



$$N_f = k_1 \epsilon^{-k_2}$$

$$N_f = k_1 E^{-k_3}$$

47

## Permanent Deformation

- Asphalt rutting
- Granular material rutting
- Subgrade rutting

48



## Testing Method

- Repeated load test
  - Similar as resilient modulus test except that loads up to 100,000 repetitions
  - Record the deformation at a number of designated cycles

49

## Rutting Models

### Two categories

1. Subgrade strain model: Control subgrade rutting by limiting subgrade compressive strain on top of subgrade
2. Permanent deformation model: Account for the permanent deformation properties for each layer in determining the total deformation occurs at the pavement surface

50

## 1. Subgrade strain model

*control subgrade rutting*

$$Nf = f_4 (\epsilon_v)^{-f_5}$$

Organization	$f_4$	$f_5$	Allowable Rut Depth, mm (in)
Asphalt Institute	$1.365 \times 10^6$	4.477	13 (0.5)
Shell (revised 1985)			
50% Reliability	$6.15 \times 10^7$	4.0	
85% Reliability	$1.94 \times 10^7$	4.0	13 (0.5)
95% Reliability	$1.05 \times 10^7$	4.0	
U.K. Transport and Road Research Laboratory — (85% Reliability)	$6.18 \times 10^6$	3.95	10 (0.4)
Belgian Road Research Center	$3.05 \times 10^6$	4.35	10 (0.4)

(after Huang 1993)

51

## 2. Permanent Deformation Model

*control permanent deformation in AC layers*

$$\log(\epsilon_p) = a + b(\log N)$$

$$\epsilon_p = AN^b$$

Where :

$\epsilon_p$  = permanent strain

N = number of repeated loads repetitions

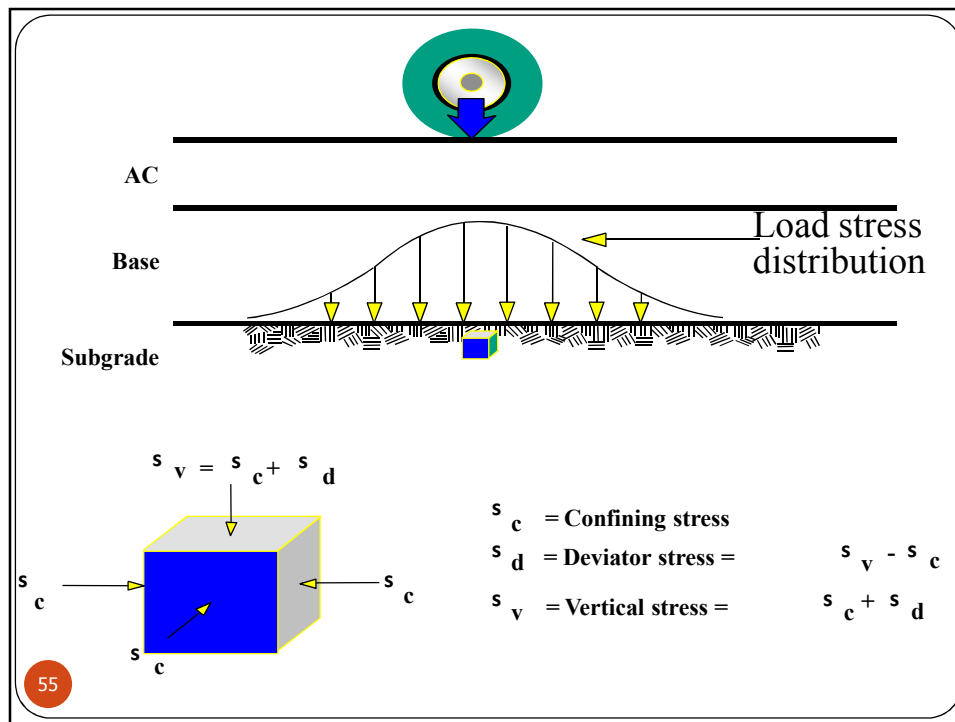
A, a, b = regression coefficient

52

## Resilient Modulus of Unbound Materials and Soils

### Resilient Modulus – Unbound Materials & Soils

- Nonlinear, elastic-plastic material
- Stress dependent behavior
  - Stress softening (fine-grained soils)
  - Stress hardening (coarse-grained materials)
- Resilient (= Recoverable) deformation
- Rapidly applied loads
  - Similar to those from wheel loads
- Relates to elastic component of response only



## Determining Resilient Modulus

- Lab Test: AASHTO T 294-92 (SHRP)
  - Undisturbed
  - Disturbed, remolded and compacted
  - Input to AASHTO design procedure
- Estimate from various procedures
  - Backcalculation of deflections
  - Soil properties
  - Unconfined compressive strength
  - CBR

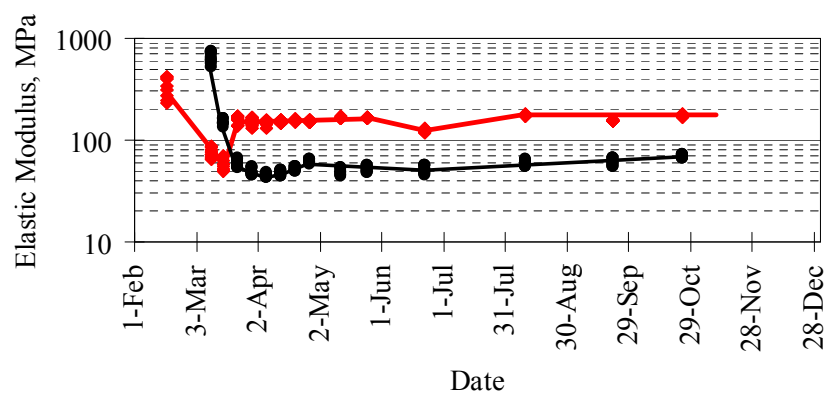
## Resilient Modulus – Unbound Materials & Soils

- Typical load pulse
  - Haversine loading
  - 0.1 second loading time
  - 0.9 second rest period



57

## Seasonal Effects on Unbound Layers



58

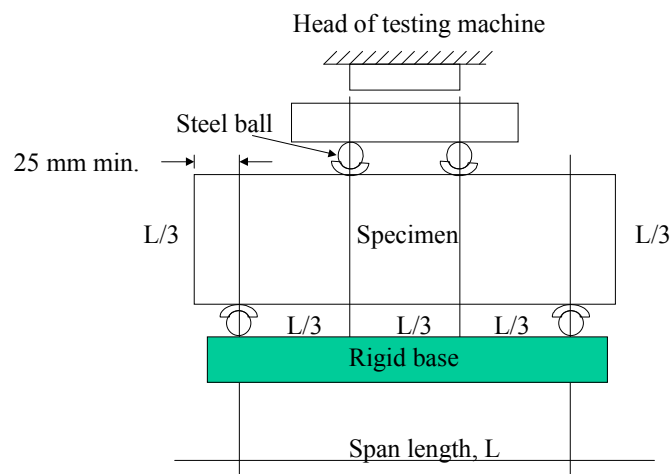
## PCC Materials

- ♦ Modulus of Rupture or Flexural Strength
  - ♦ Split Tensile Strength
  - ♦ Compressive Strength
    - ♦ Elastic Modulus
    - ♦ Interrelationships

## Modulus of Rupture

- Indicator of tensile strength
- Profound effect on fatigue cracking potential of PCC slab
- Test method ASTM C78
  - Simple beam
  - Third point loading

## Modulus of Rupture— Third Point Loading



61

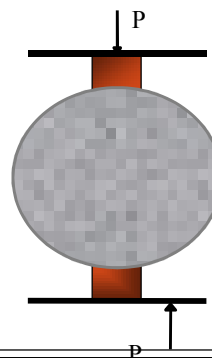
## Factors Affecting PCC Flexural Strength

- Mix constituents
    - Cement type, cement content, aggregates
  - Presence and type of admixtures
  - w/c ratio
  - Curing conditions
  - Age
  - Test method and equipment
- } Maturity

62

## Splitting Tensile Strength

- Lower than  $M_R$  from modulus of rupture test
- Ratio between two typically ranges from 0.6 to 0.7
- ASTM C496



63

## Compressive Strength

- Universal indicator of PCC quality
- Used in process control, but not as primary input in pavement design
- Function of :
  - Aggregate size, shape, and type
  - Cement composition
  - Water-cement ratio
  - Admixtures
  - Curing

64



## Elastic Modulus

- Static modulus of elasticity
- Static modulus approximately 0.8 of modulus from rapid load applications
- ASTM C469

65

## Factors Affecting PCC Elastic Modulus

- The relative proportions of paste and aggregate
- Ratio of water to cementitious materials ( $w/(c+p)$ )
- Aggregate characteristics

66

### Relation of Flexural Strength to Compressive Strength for PCC

$$MR = 9.5f_c^{0.5}$$

MR = Flexural Strength, psi (Modulus of Rupture)  
 $f_c$  = Compressive Strength, psi

67

### Relation of Elastic Modulus to Compressive Strength for PCC

$$E = 0.043\rho^{1.5}f_c^{0.5}$$

E = Elastic modulus, psi  
 $f_c$  = Compressive Strength, psi  
 $\rho$  = PCC unit weight, pci

68

## Other Material Properties

### Other PCC Properties

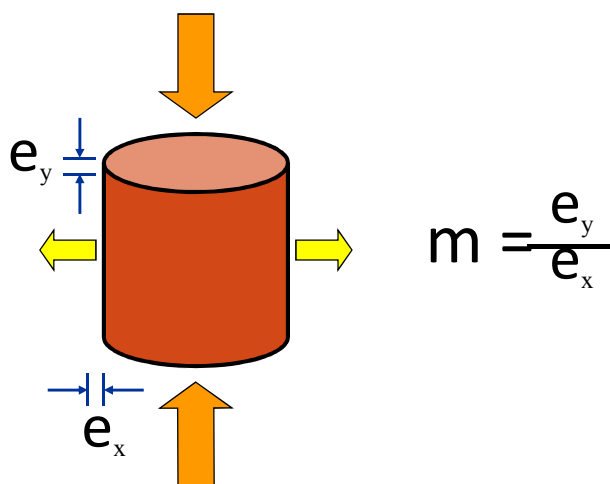
- Coefficient of Thermal Expansion
- Coefficient of Drying Shrinkage (ASTM C490)

## Poisson's Ratio

- ♦ Ratio of lateral strain to axial strain
- ♦ Generally insensitive to stress and strain in response of asphalt pavement system
- ♦ Determined using static test, dynamic test, or wave propagation

71

## Poisson's Ratio



72

## Typical Poisson's Ratios

- ♦ Bituminous Road Materials
  - ♦  $0.15 \leq \mu \leq 0.50$
- ♦ Unbound Base
  - ♦  $0.30 \leq \mu \leq 0.40$
- ♦ Subgrade
  - ♦  $0.10 \leq \mu \leq 0.50$
- ♦ Portland Cement Concrete (static value)
  - ♦  $0.15 \leq \mu \leq 0.18$

73

## Environment/Climatic Factors

- Precipitation/Moisture
- Temperature
- Wind
- Sunshine
- Freeze-thaw cycles

74

## Environment / Climatic Conditions

- Environmental conditions affect
  - HMA strength and modulus
  - PCC strength and modulus
  - PCC slab curvatures
  - Frost-susceptible soil behavior
  - Pavement construction

75

## Moisture Effects

- Moisture-related damage falls into these categories
  - Weakening of pavement layers
  - Degradation of pavement material (stripping and erosion of AC, erosion of other materials, D-cracking of PCC)
  - Loss of bond between layers

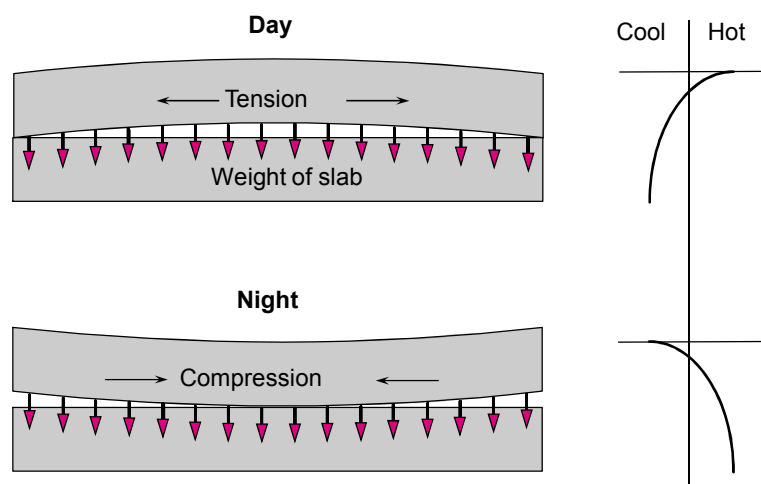
76

## Effect of Temperature on Material Properties

- Freeze-Thaw effects
  - Impact on frost-susceptible soils
  - Material durability
- Temperature effect on asphalt modulus
  - High temperatures lead to lower moduli and vice versa
- Temperature gradients in PCC
  - Significantly affect stresses

77

## Thermal Gradients in PCC Slabs



78

## Failure Criteria

- Functional Failure
  - Ride Quality / Serviceability
- Structural Failure
  - Fatigue Cracking
  - Rutting

79

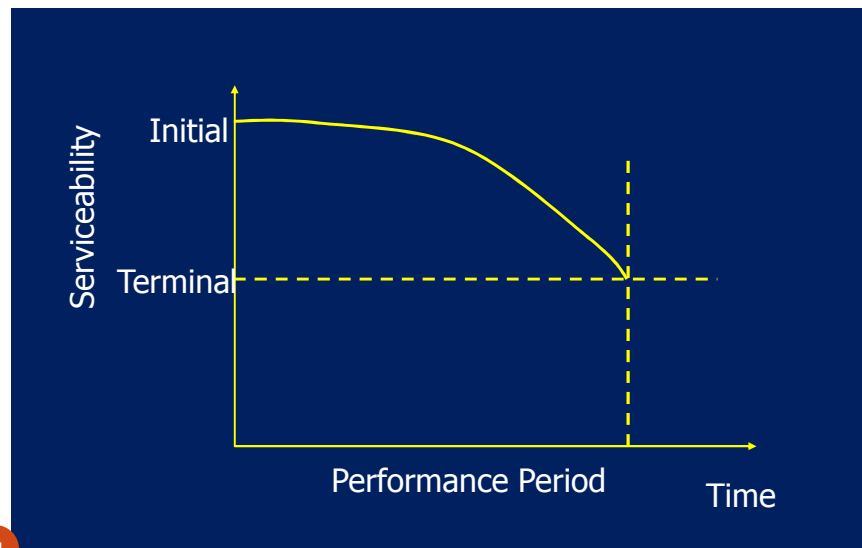
## Serviceability-Performance Assumptions

- Highways are for comfort & convenience of users
- Highways may be subjectively rated by users
- Serviceability can be expressed as mean rating by all users
- Physical distress can be related to subjective evaluation
- Performance can be expressed by serviceability history

80



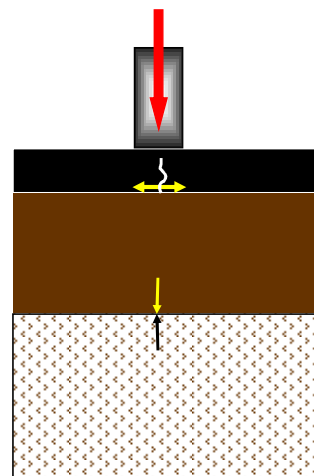
## Serviceability-Performance



81

## Structural Performance

- Fatigue Cracking
  - Tensile strain at bottom of HMA
- Rutting
  - Compressive and Shear Stresses
  - May occur in ANY pavement layer
    - Typically control stresses at top of subgrade



82

## Flexible Pavement Distresses

- Fatigue Cracking
- Rutting
- Thermal Cracking
- Thermal Fatigue Cracking

83

## Structural Performance - Fatigue



84

## Structural Performance - Rutting



85

## Structural Performance – Thermal Cracking



86

## Rigid Pavement Distresses

- Fatigue Cracking
- Pumping or Erosion
- Faulting, Spalling, and Joint Deterioration

87

## Structural Performance-Joint Faulting



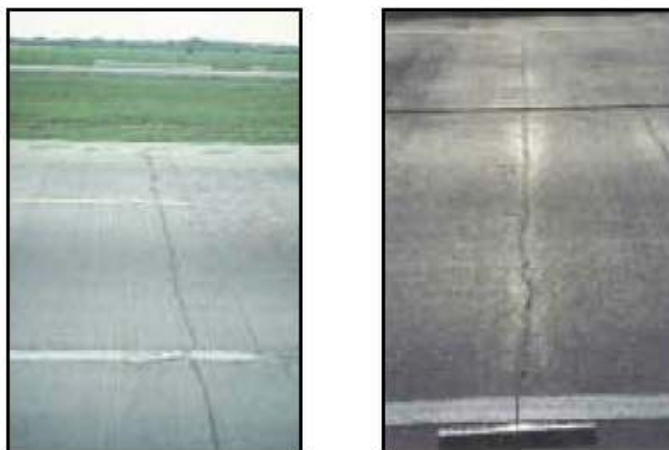
88

## Structural Performance-Spalling



89

## Structural Performance-Joint Deterioration



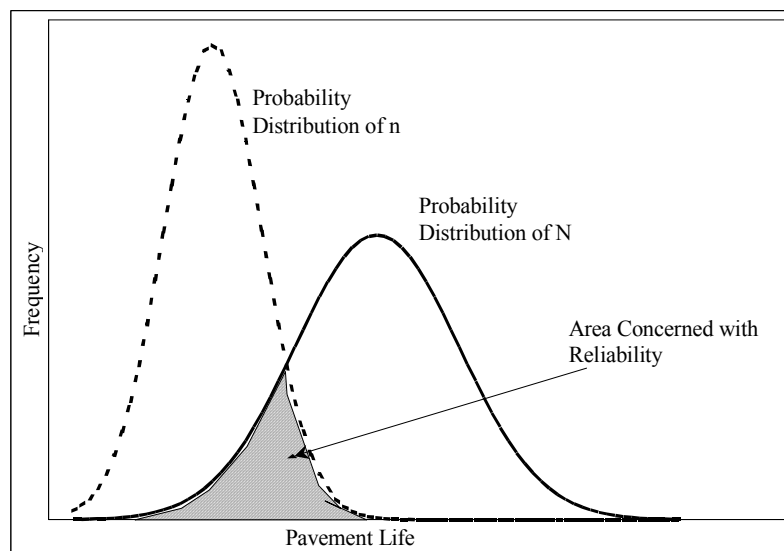
90

## Reliability

- Definitions
  - Reliability =  $1 - P[\text{Failure}]$
  - “The reliability of a pavement design-performance process is the probability that a pavement section designed using the process will perform satisfactorily over the traffic and environmental conditions for the design period.”
    - 1993 AASHTO Guide

91

## Reliability



92

## Summary of Design Factors

- Traffic
  - Types and variability of loads
- Materials
  - Material categories and related properties
- Environment/Climate
  - Moisture and Temperature
- Types of distress
  - Serviceability
  - Specific modes of distress
- Reliability