

# Detrimental Effects of Lateral Soil Movements on Pile Behaviour

**SEAGS 50<sup>th</sup> Anniversary Symposium**

**14 – 15 September 2017**

**Asian Institute of Technology, Bangkok**

**Assoc. Prof. Ir. Dr. Dominic E.L. Ong**  
*Director, Centre for Sustainable Technologies*

**SWIN  
BUR  
NE**

SWINBURNE  
UNIVERSITY OF  
TECHNOLOGY  
SARAWAK CAMPUS

Swinburne Sarawak Research Centre

**Sustainable  
Technologies**

science + technology + innovation

**KNOW  
ING**

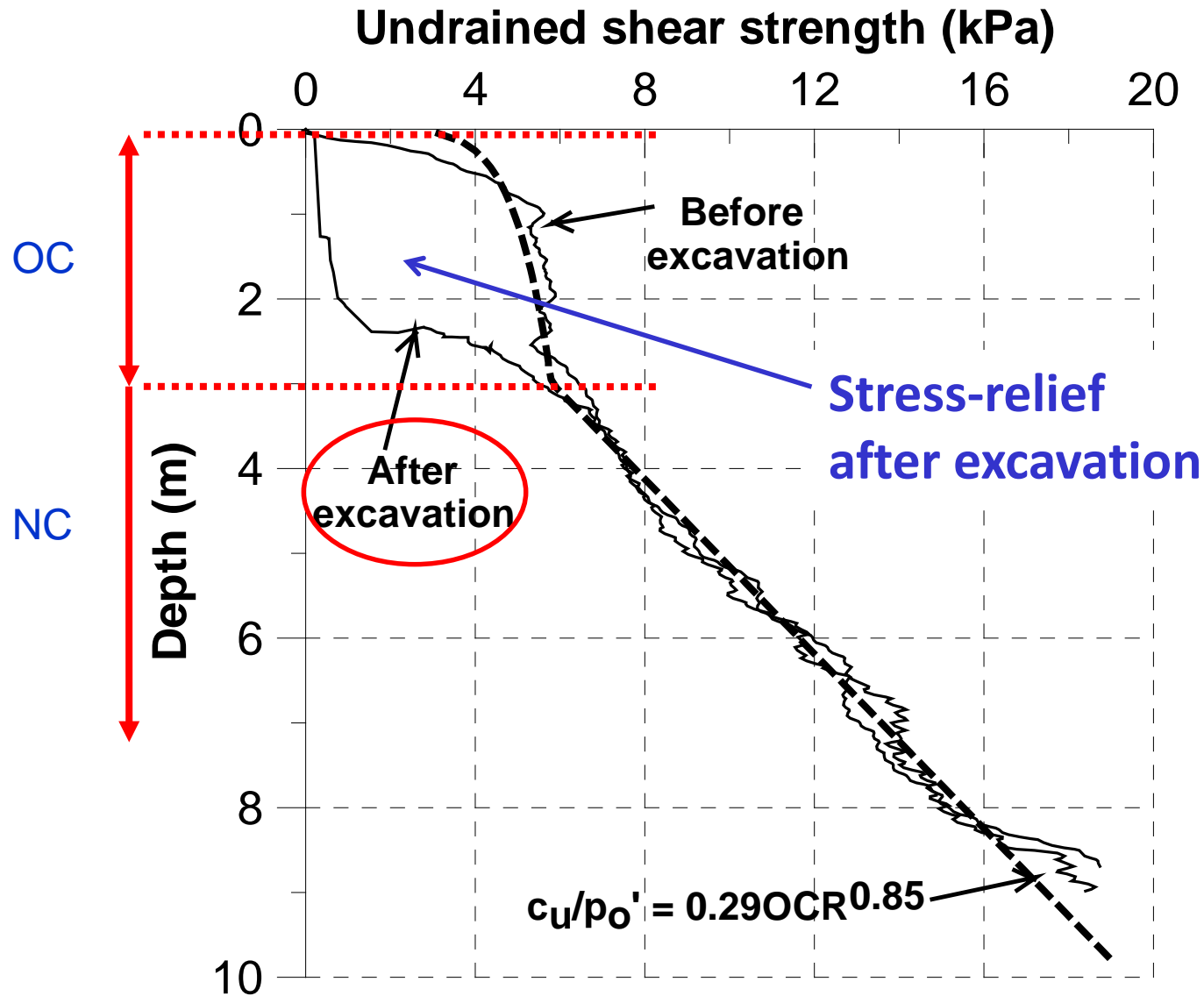
# Active vs Passive piles

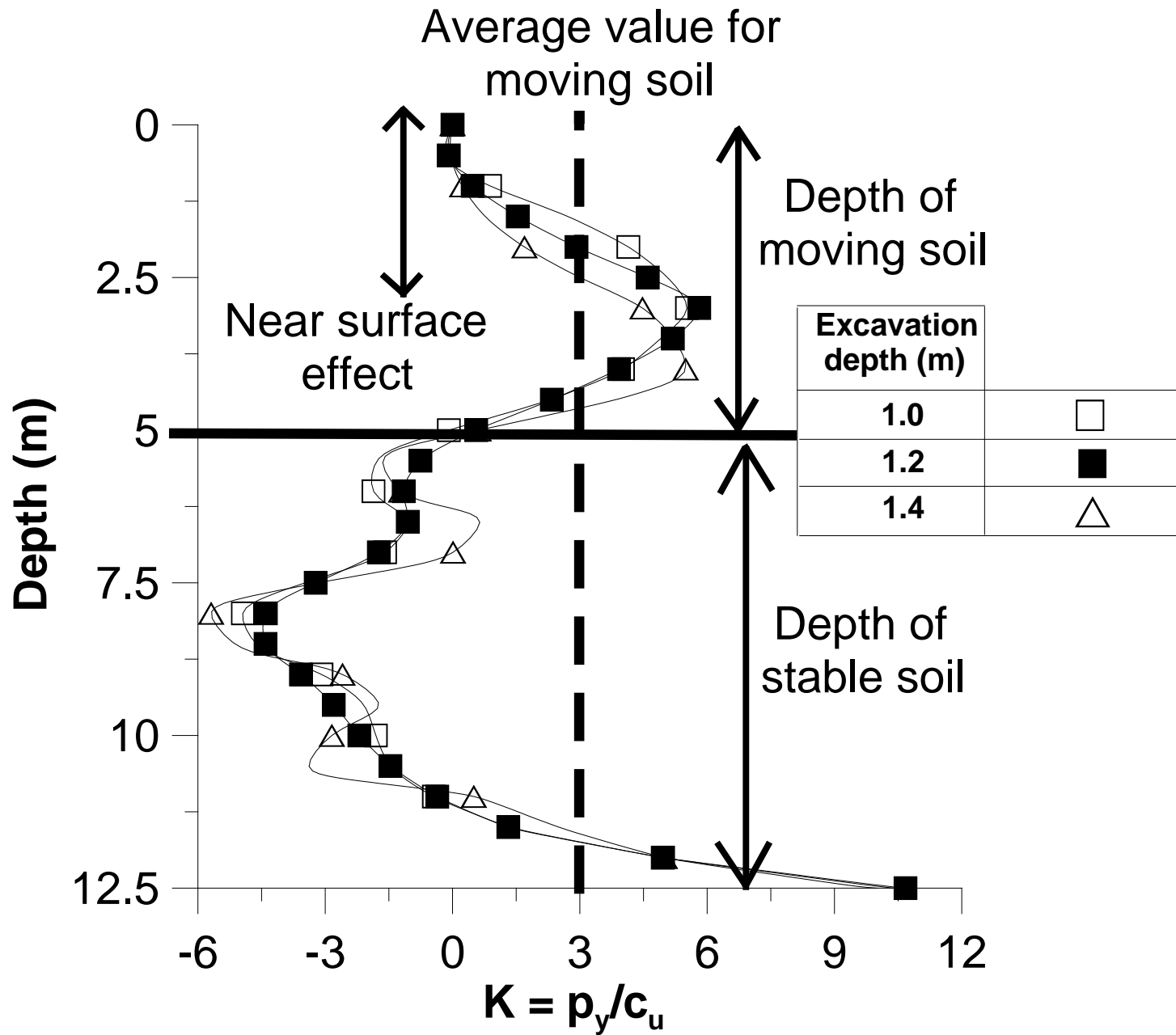
- a) Relatively few attempts have been made to distinguish pile behaviour subjected to active and passive loadings quantitatively
- b) Limiting soil pressure  $p_y$  proposed by Poulos and Davies (1980) and Broms (1964) for a laterally loaded pile (active) have been used for the analyses of passive piles subjected to lateral soil movement caused by embankment loading (Goh et al., 1997) and excavation (Poulos and Chen, 1997), even though the former is a loading process while the latter is an unloading process
- c) The main reason many researchers tried to relate  $p_y$  to the methods proposed by Poulos and Davies (1980) and Broms (1964) is because of its simplicity of use
- d) **But are the  $p_y$  for active and passive piles similar?? If not, how are they different?**

# “Controversial” issues regarding limiting soil pressures on piles

Reference	$K = p_y/c_u$ value	Method of analysis	Situation	Type of loading on pile
Chen and Poulos (1994)	11.4 for piles near a cut	2-D FEM	Similar to piles used for landslide stabilisation	Passive
Viagianni (1981)	2.8-4 (sliding soil) 8 (stable soil)	Empirical	Piles used for landslide stabilisation	Passive
Maugeri et al. (1994)	3.33 (sliding soil); 6.26 (stable soil)	Empirical, field data	Piles used for landslide stabilisation	Passive
Chow (1996)	3-4 (sliding soil); 8- 12 (stable soil)	Empirical, numerical	Piles used for landslide stabilisation	Passive
Poulos and Chen (1997)	9	Empirical	Piles adjacent to an <u>excavation</u>	Passive
Goh et al. (1997)	9	Empirical	Single pile adjacent to <u>embankment</u>	Passive

## T-bar tests carried out in the centrifuge





## Some comparison of K values for the analysis of active and passive piles

### 1) General application

Active;  $K=8.24 - 11.14$

Passive;  $K=4 - 11.94$

### 2) Laterally loaded piles

Active;  $K=8.28 - 12.56$

### 3) Small scale test

Passive;  $K=1.7 - 8.6$

### 4) Embankment loading

Passive;  $K=4 - 10$

### 5) Landslide and creeping slopes

Sliding soil - Passive;

$K=3 - 6.26$

Closer to the case of landslide

Stable soil

$K=8 - 12$

### 6) Collapsed excavation

Soil flow, tension crack;

Passive;  $K=???$

$K=0$  (near surface effect) – 6.5 with average of about 3.0



Failed slope

Instrumented  
pile group

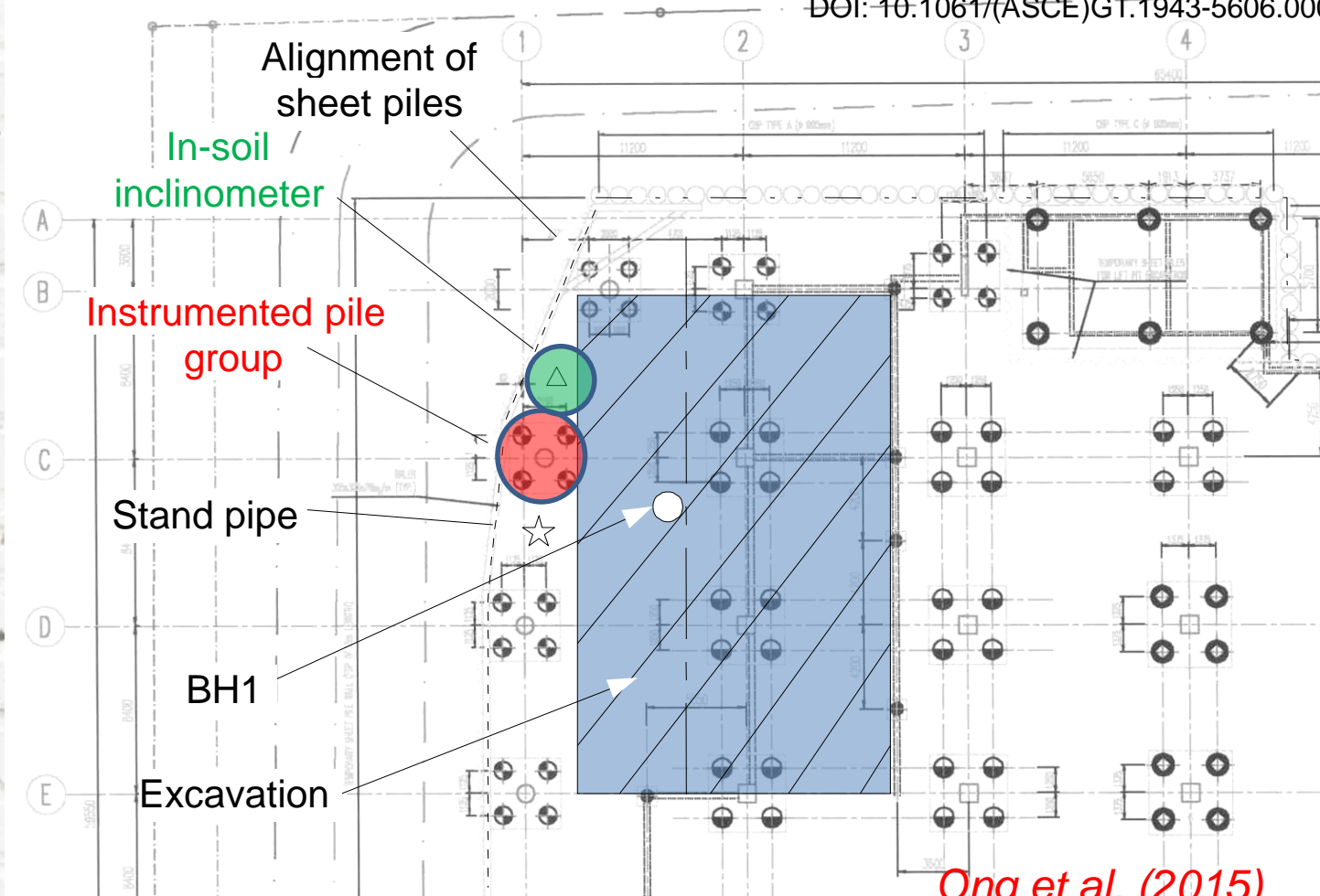
## Case Study 1

Failure of 4-pile group due to slope movement



# Site layout

Ong, D.E.L., Leung, C.F., Chow, Y.K. and Ng, T.G. (2015). "Severe Damage of a Pile Group Due to Slope Failure". Journal of Geotechnical and Geoenvironmental Engineering, American Society of Civil Engineers (ASCE), Vol. 141, No. 5, 04015014, DOI: 10.1061/(ASCE)GT.1943-5606.0001294



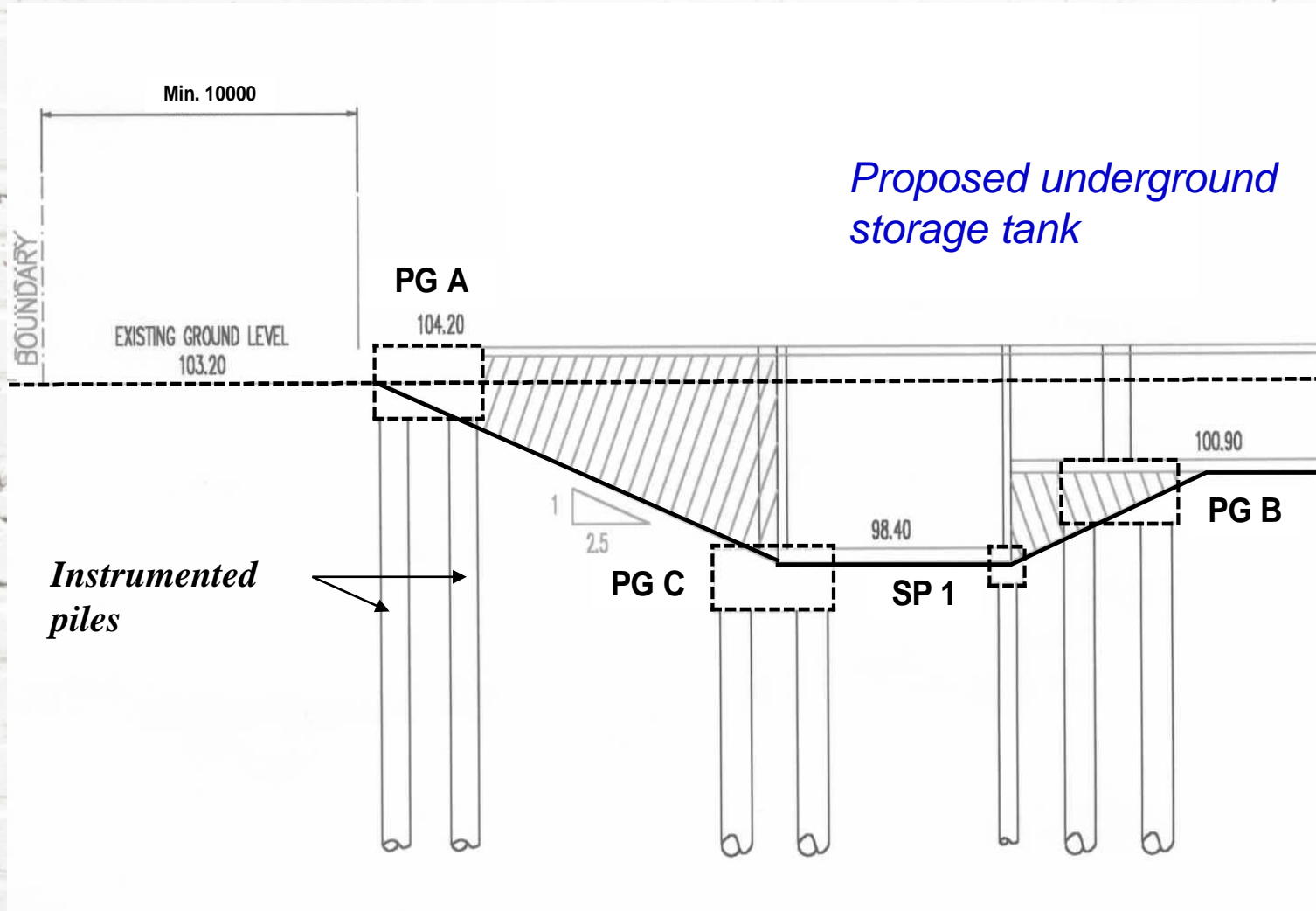
*Ong et al. (2015)*

**Plan view showing the locations of instruments and instrumented pile group at the site**

**KNOW  
ING**

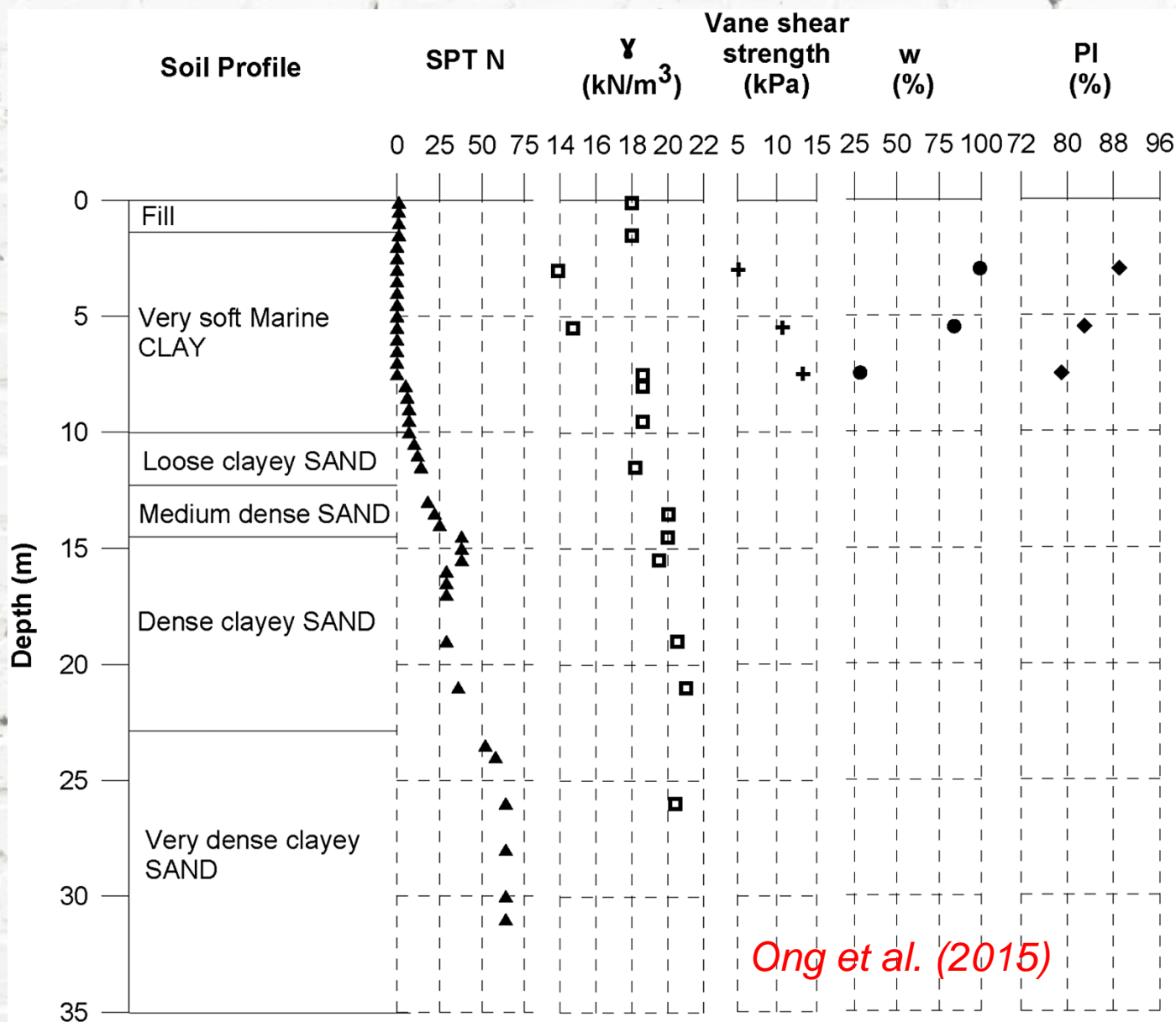


# Proposed excavation for construction of underground storage tank



Ong et al. (2015)

KNOW  
ING



**Interpreted subsurface soil profile at site**

## ***Instrumentation – strain gauges***



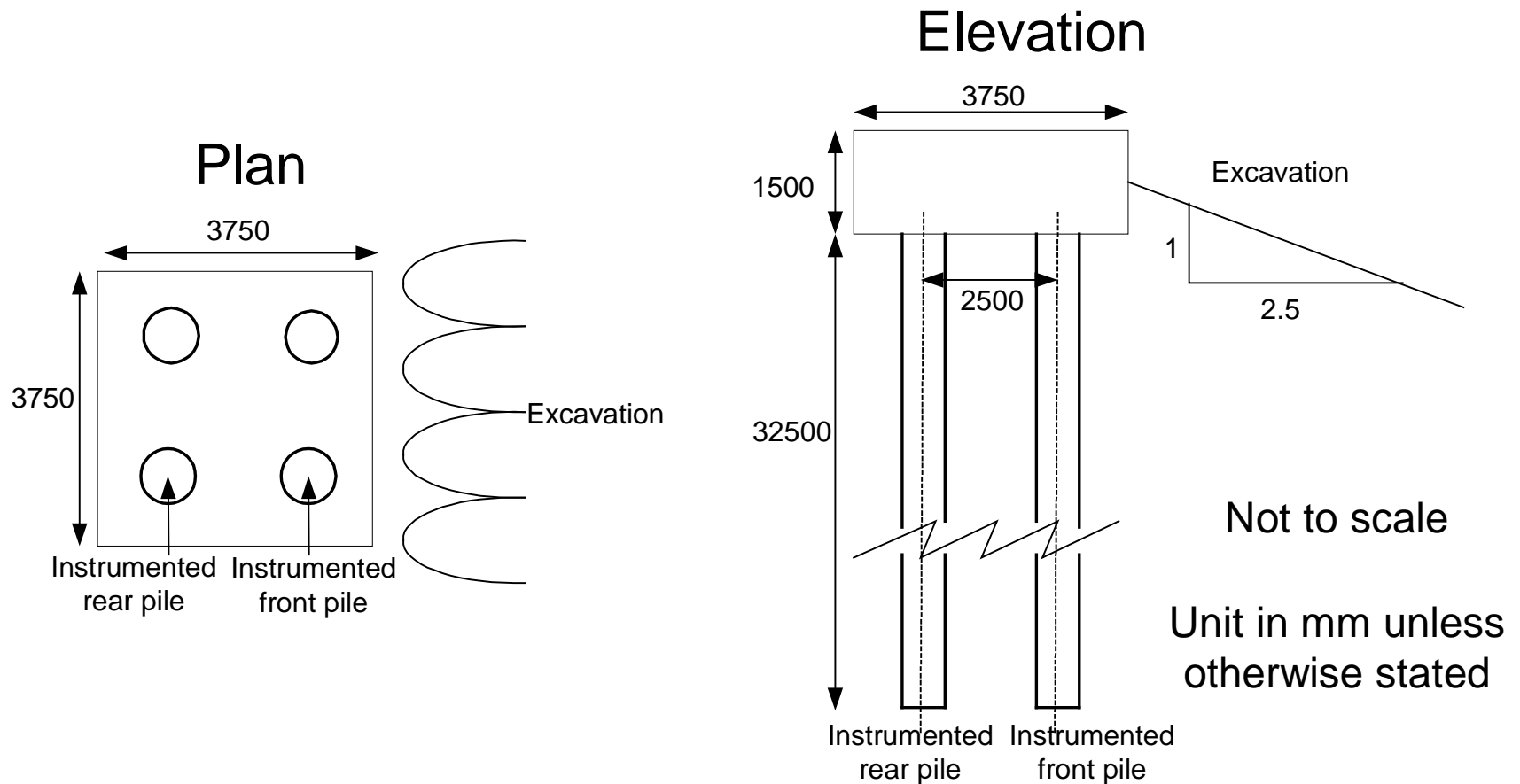
***Strain gauges fastened on reinforcement cage of bored piles***

*Ong et al. (2015)*

**KNOW  
ING**



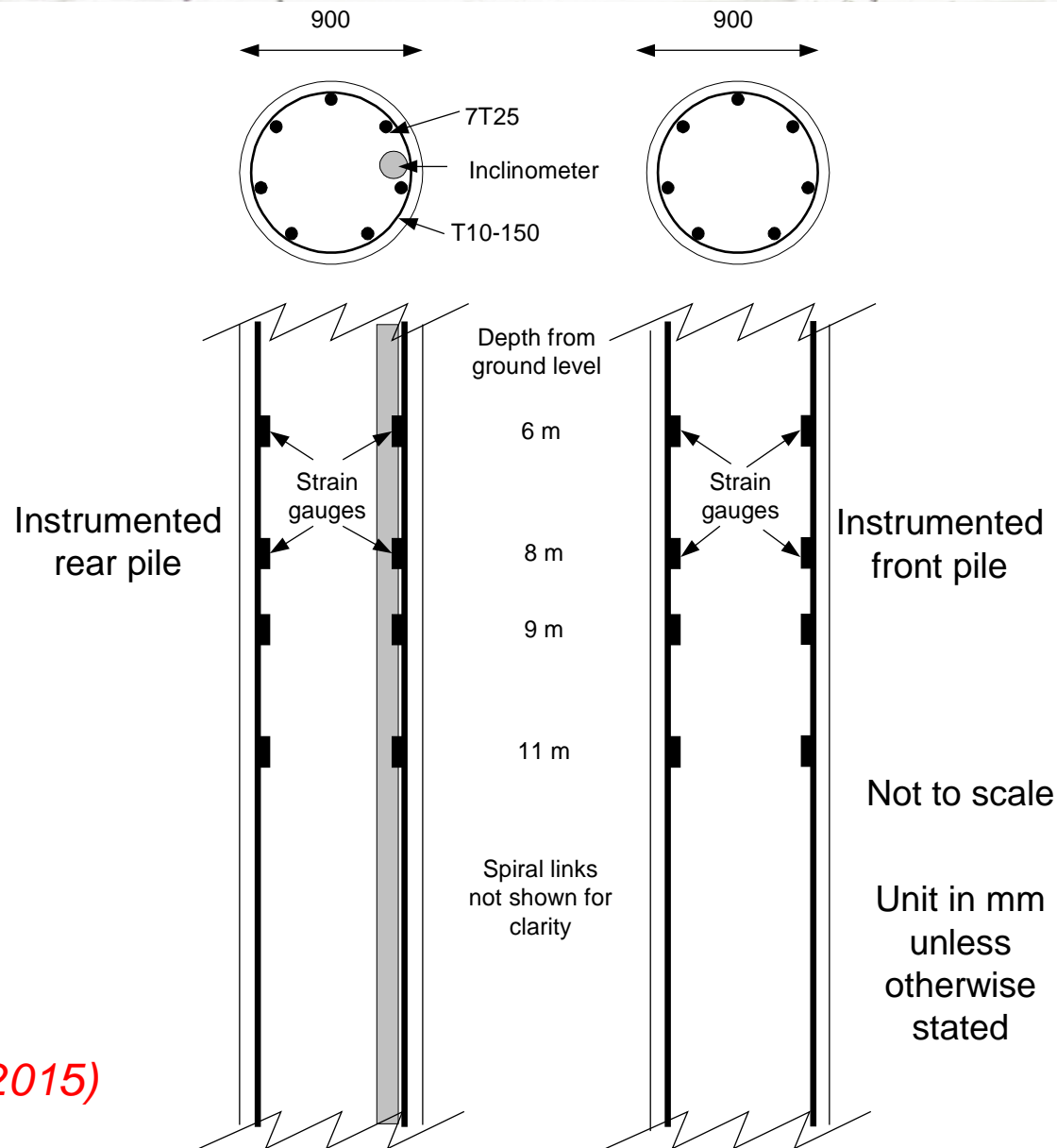
# Configuration of instrumented piles



*Ong et al. (2015)*

**KNOW  
ING**

# Layout of instruments



*Ong et al. (2015)*

**KNOW  
ING**



## ***Construction sequence (2) – Unanticipated slope failure around instrumented pile group***



***Unexpected slope failure next to instrumented pile group  
due to heavy overnight rain***

*Ong et al. (2015)*

**KNOW  
ING**



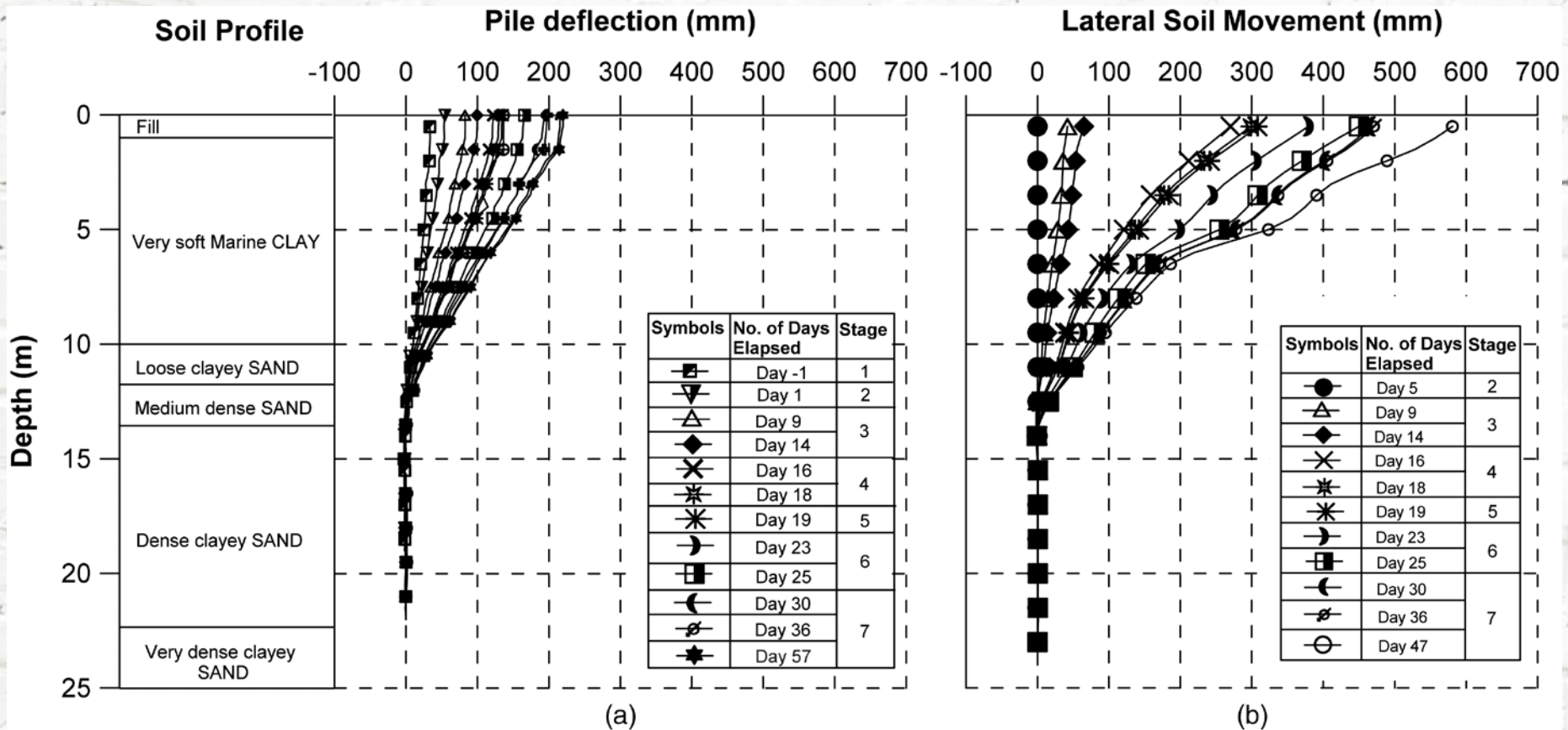
## Construction sequence (4) - Unanticipated large soil movement



**Struts** are installed when soil movement showed no sign of reduction (neither sheet piles nor struts were proposed in the original design)

KNOW  
ING

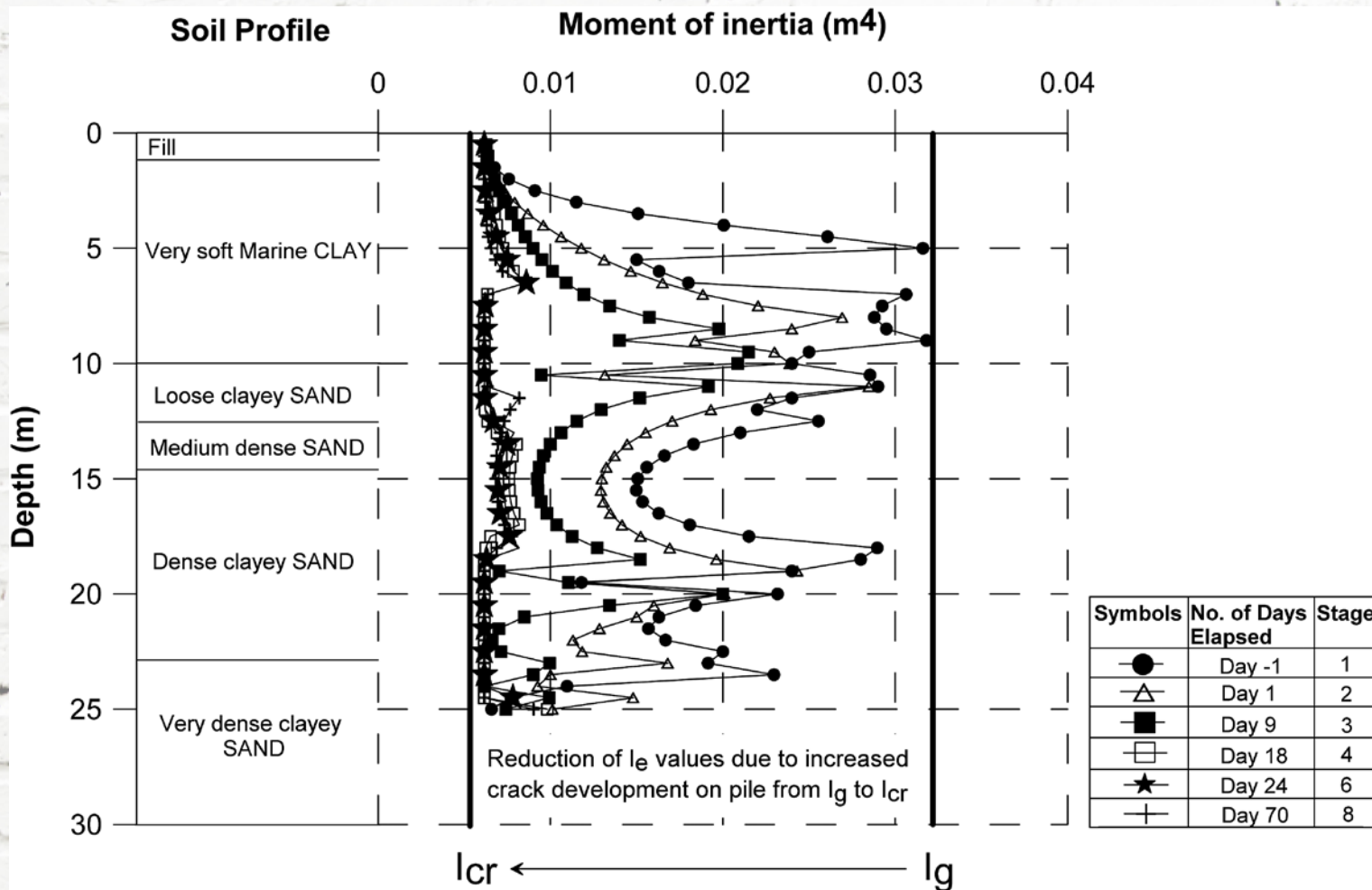




Measured (a) rear pile deflection (b) lateral soil movement profiles over the excavation period

Ong et al. (2015)

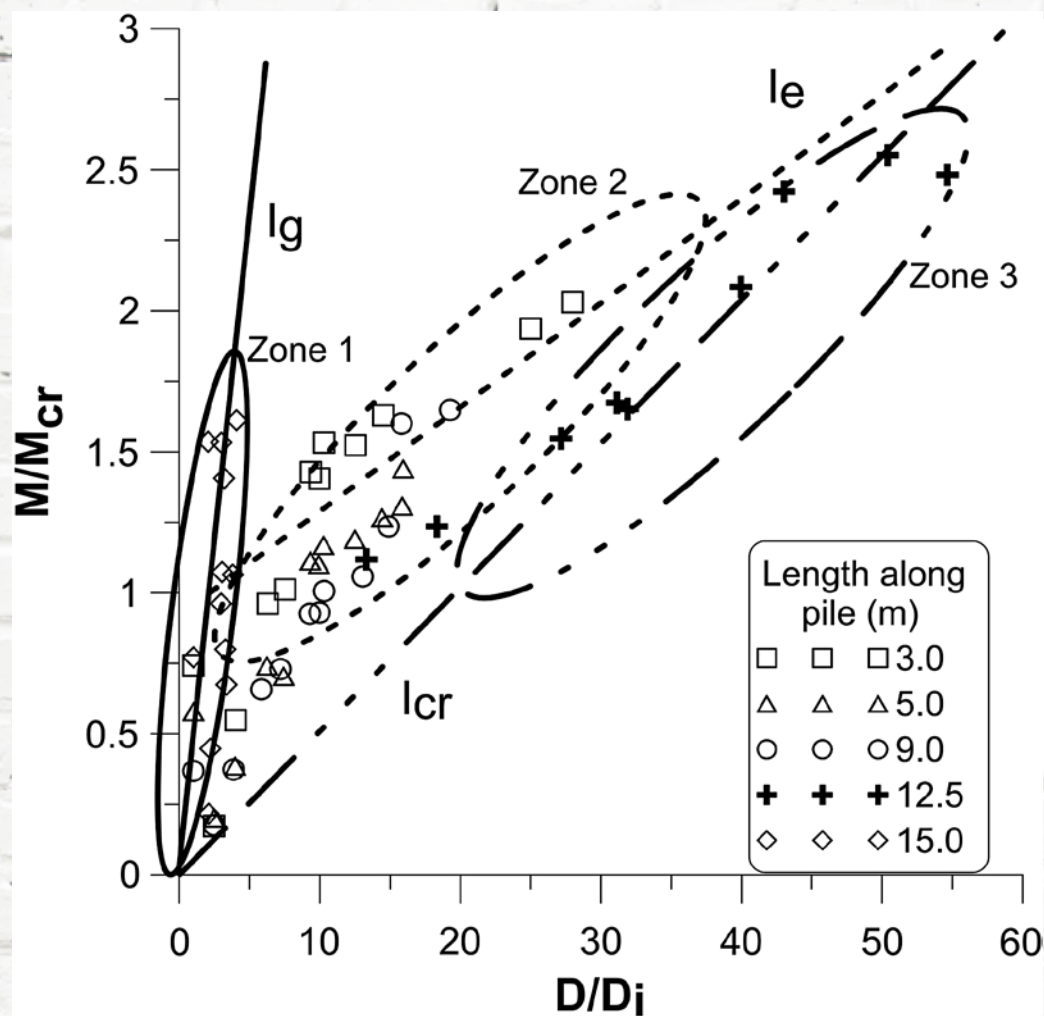
KNOW  
ING



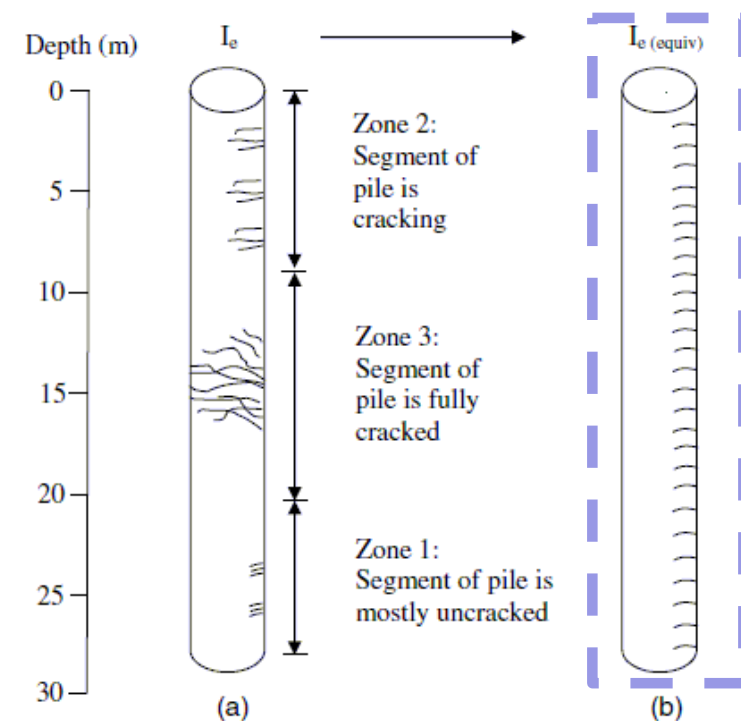
Computed profiles of effective moment of inertia,  $I_e$ , along the instrumented rear pile over the excavation period

Ong et al. (2015)

KNOW  
ING



Interpreted **bilinear moment-deflection** curve



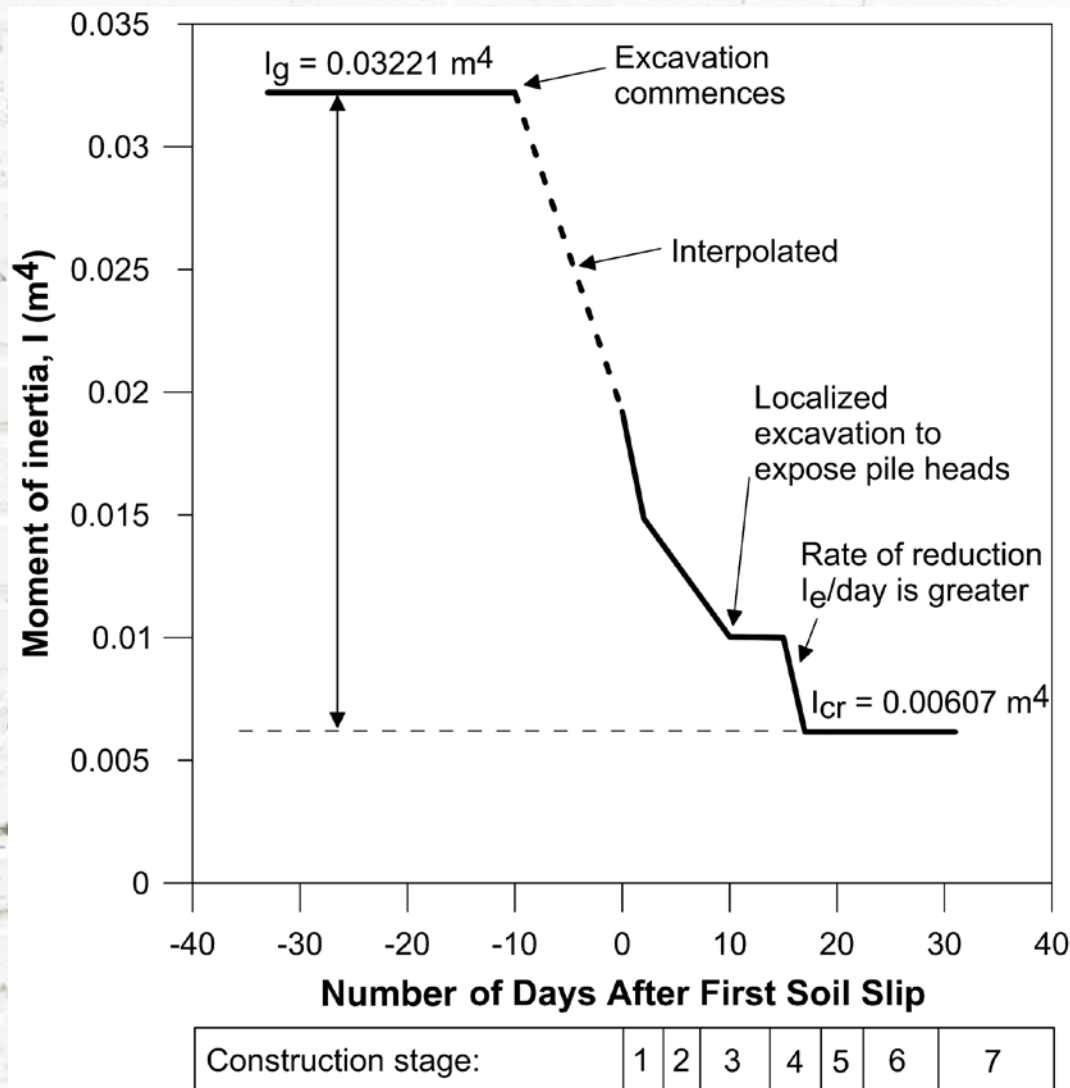
a) Possible development of **different crack intensities** on the instrumented pile;

(b) **idealized** cracked pile used for back-analysis

*Ong et al. (2015)*

KNOW  
ING





**Ong, D.E.L.,** Leung, C.F., Chow, Y.K. and Ng. T.G. (2015). "Severe Damage of a Pile Group Due to Slope Failure". Journal of Geotechnical and Geoenvironmental Engineering, American Society of Civil Engineers (ASCE), Vol. 141, No. 5, 04015014, DOI: 10.1061/(ASCE)GT.1943-5606.0001294

**Deterioration of pile moment of inertia after soil slip**

*Ong et al. (2015)*

**KNOW  
ING**

## *Attributes of various analytical methods*

<i>Methods of analysis</i>	<i>Source of soil movement as input</i>	<i>Limiting soil pressure</i>
<u>Method 1:</u> <i>2-D FE analysis &amp; method of smearing of 3-D pile properties</i>	<i>FE analysis</i>	<u>Cannot</u> be considered
<u>Method 2:</u> <i>Established numerical method</i>	<i>Field in-soil inclinometer</i>	<u>Can</u> be considered

## Method 1

### 2-D FE analysis & method of smearing of 3-D pile properties

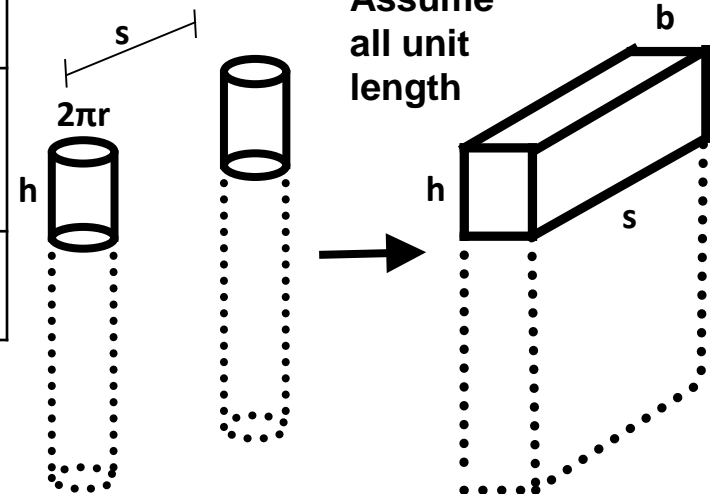
#### Pile group

Pile property	2-D equivalent wall
Axial rigidity	$n(E_p A_p)/[(n-1)(s)]$
Bending rigidity	$n(E_p I_p)/[(n-1)(s)]$

$n = \text{no. of piles}$

$s = \text{pile spacing in plane-strain direction}$

Pile response	Quantity per linear m of wall as output	Conversion to quantity per pile
Bending moment	BM in kNm/m	$\text{BM} * [(n-1) * s] / n$ to obtain kNm
Axial or shear forces	F in kN/m	$F * [(n-1) * s] / n$ to obtain kN



Ong, D.E.L., Leung, C.F., and Chow, Y.K. (2007). "Effect of Horizontal Limiting Soil Pressures on Pile Behaviour". 16th South-East Asian Geotechnical Conference (SEAGC), 8-11 May 2007, Kuala Lumpur, Malaysia. pp. 427-437.

## Method 2

*Established numerical method (Ong et al., 2006)*

*Concept of analysis*

*Single pile (Chow and Yong, 1996)*

- based on FEM where pile is represented by beam elements and soil is idealised using modulus of subgrade reaction*

$$([K_p] + [K_s])\{y\} = \int_0^L K_h \{N\} y_o(z) dz$$

*Pile element matrix*

*Soil element matrix*

*Lateral soil movement*

*Induced lateral forces acting on the pile as a result of lateral soil movement*

**Ong, D.E.L.**, Leung, C.F. and Chow, Y.K. (2006). "Pile behaviour due to excavation-induced soil movement in clay: I: Stable wall". *Journal of Geotechnical and Geoenvironmental Engineering*, American Society of Civil Engineers (ASCE), Vol. 132, No. 1, pp. 36-44.



# Pile BM analysis

## Differentiation

Pressure  $\xleftarrow{\text{red}} \xrightarrow{\text{green}}$  Shear  $\xleftarrow{\text{red}} \xrightarrow{\text{green}}$   $\psi$   $\xleftarrow{\text{red}} \xrightarrow{\text{green}}$  Rotation  $\xleftarrow{\text{red}} \xrightarrow{\text{green}}$  Deflection

## Integration (with BCs)

Curvature; obtained from measured deflection profile or from SG

$$M = \psi E_c I$$

Young's modulus of concrete

Moment of inertia; will vary according to degree of cracking

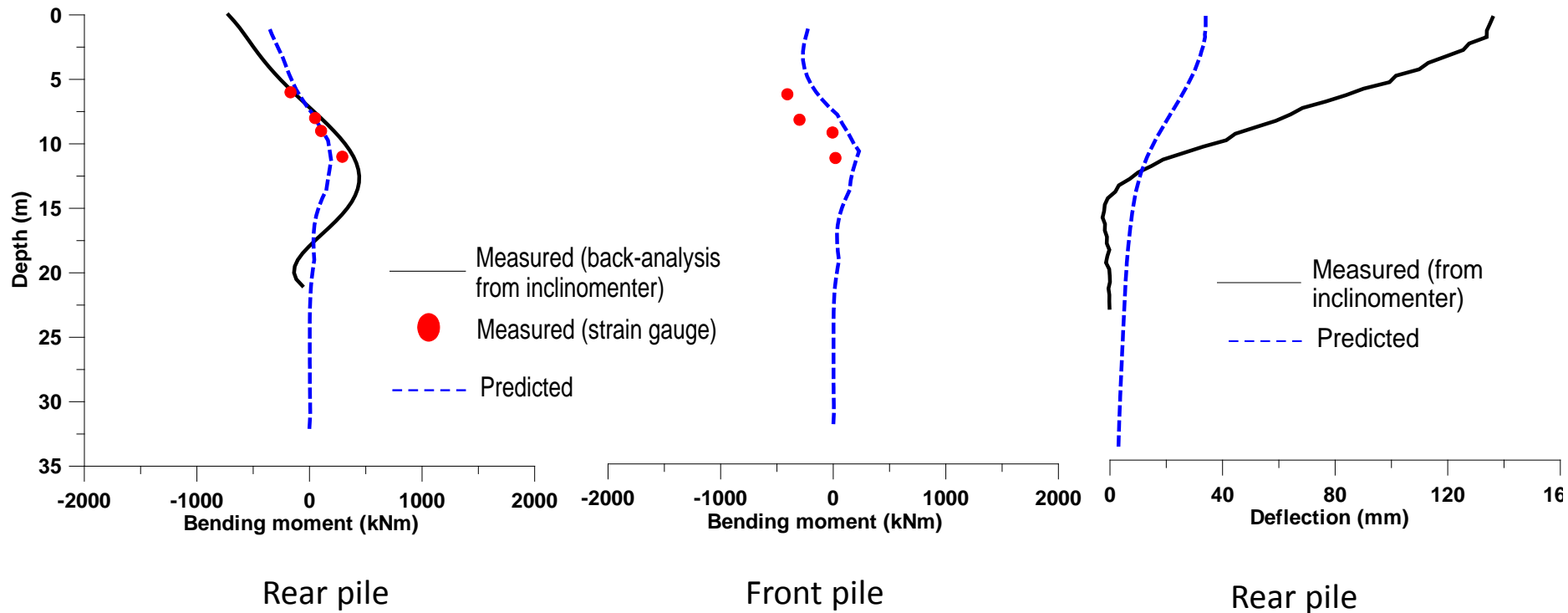
# Analyses of cases performed

<i>Analysis cases</i>	<i>Pile: <math>I_g</math> or <math>I_{cr}</math></i>	<i>Limiting pressure, <math>p_y</math></i>
<b>Case 1 (Method 1):</b> <i>simulates ignorance of soil flow phenomenon</i>	$I_g$	<i>Not considered</i>
<b>Case 2 (Method 2):</b> <i>simulates available knowledge on <math>I</math> and <math>p_y</math></i>	$I_{cr}$	$p_y = 6c_u$
<b>Case 3 (Method 2):</b> <i>simulates available knowledge on <math>p_y</math> but not on <math>I</math></i>	$I_g$	$p_y = 6c_u$
<b>Case 4 (Method 2):</b> <i>simulates absence of knowledge on <math>I</math> and <math>p_y</math></i>	$I_g$	$p_y = K_h$

**Ong, D.E.L.,** Leung, C.F. and Chow, Y.K. (2010). "Effect of limiting soil pressure on pile group adjacent to a failed excavation". Proc. of International Conference on Geotechnical Challenges in Megacities, Vol. 3, pp. 785-792, 7-10 June 2010, Moscow, Russia.

## *Case 1 (Method 1): FEM – 2-D smearing of pile*

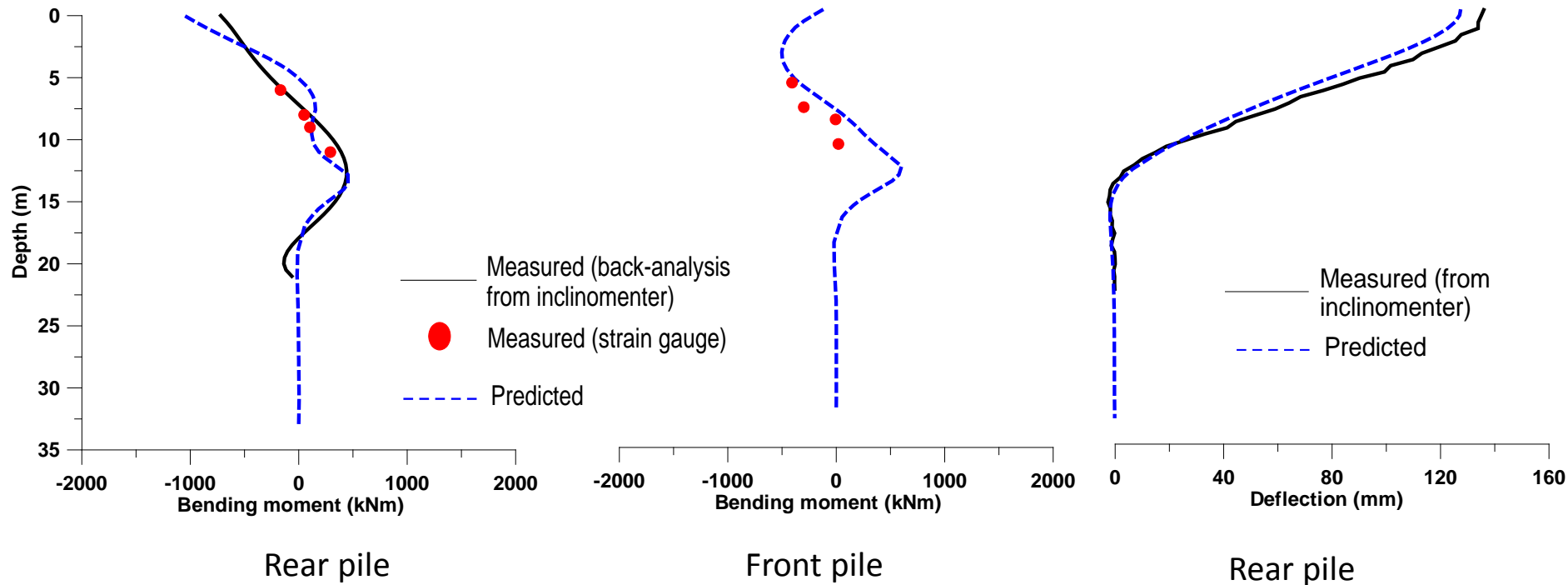
*Simulates ignorance of soil flow phenomenon*



Predicted pile responses (BM and deflection) are both very much under-predicted, leading to inappropriate design of pile to resist lateral soil movement.

## Case 2 (Method 2): Established numerical method

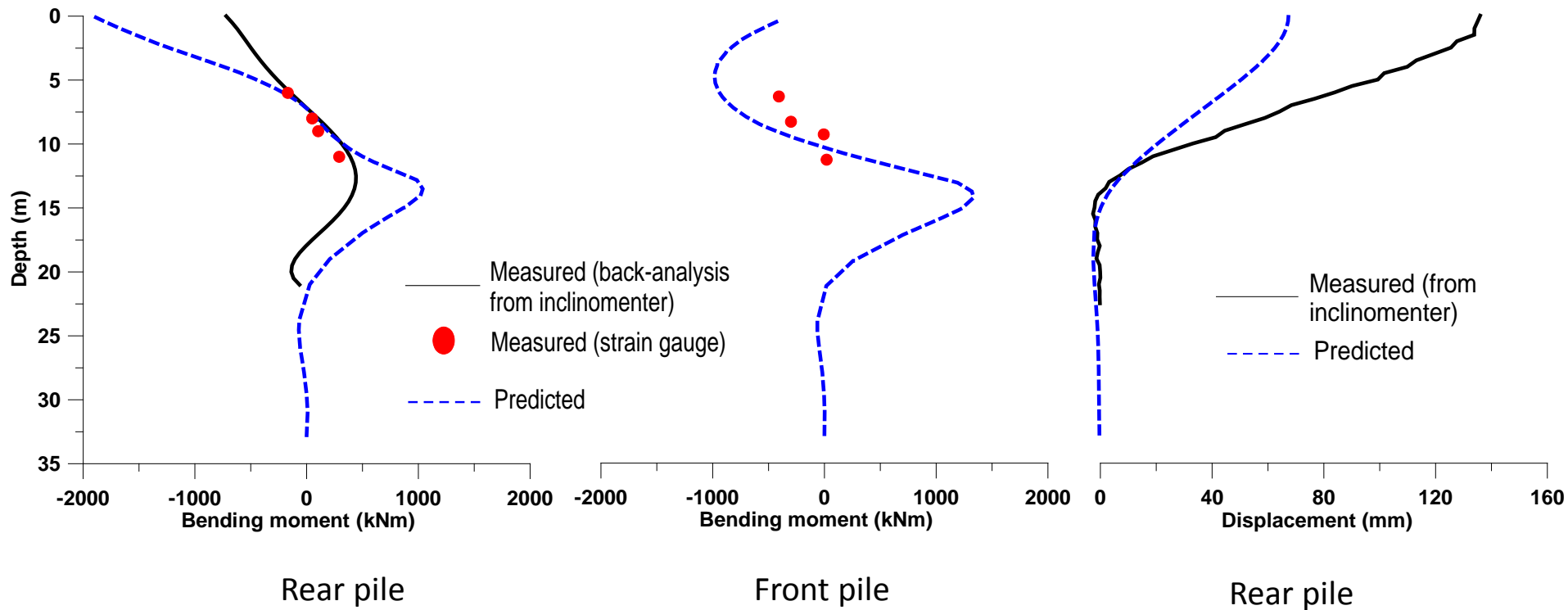
*Simulates available knowledge on  $I$  and  $p_y$*



If both  $I_{cr}$  and  $p_y$  are correctly adopted, the prediction of pile responses is very reasonable. This simulates the available and appropriate level of understanding of the back-analysis carried out considering the development on site.

### Case 3 (Method 2): Established numerical method

*Simulates available knowledge on  $p_y$  but not on  $I$*



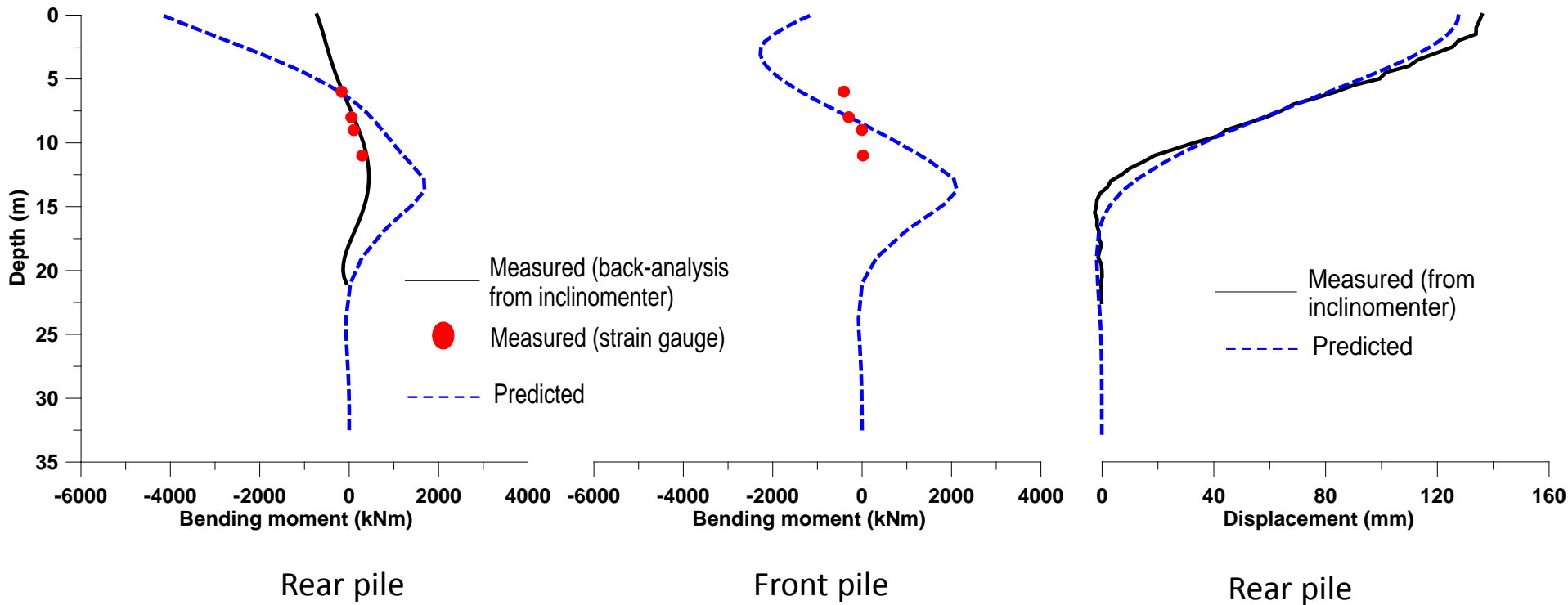
The pile BM tends to be over-predicted, but the deflection is under-predicted. This is due to the pile being assumed to be uncracked (much stiffer) thus attracting high BM and low deflection, which does not simulate the behaviour on site as the pile cracking capacity has already been exceeded (as shown previously).

This highlights the importance of estimating the pile condition on site when performing back-analysis.

*Ong et al. (2010)*

## Case 4 (Method 2): Established numerical method

*Simulates absence of knowledge on  $I$  and  $p_y$*



If the back-analysis is carried out without having prior knowledge of estimating limiting soil pressure and pile moment of inertia,  $I$  on site, the predicted pile bending moment will be grossly over-predicted. The 'reasonable' estimation of pile deflection is merely a coincidence.



## Case Study 2: Challenges in riverine construction





# Challenges in riverine construction



*"Remains" of a collapsed wharf*



## Challenges ahead

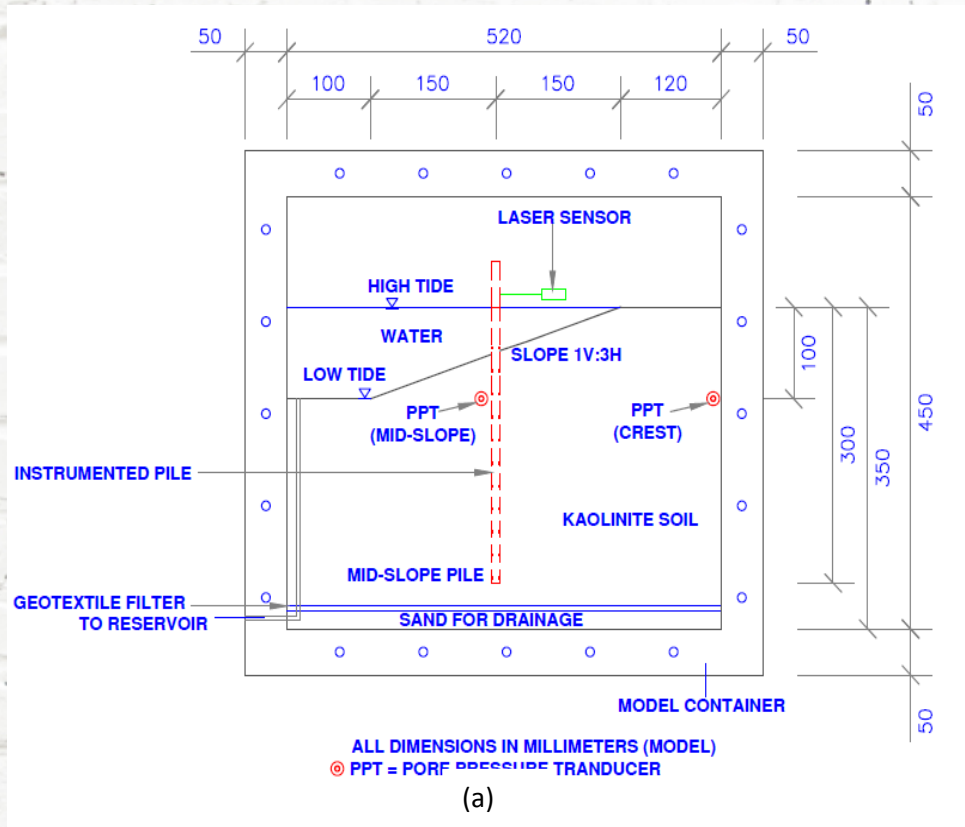
- Riverine infrastructure failures pose **risks to public** and require **high remedial costs**
- Only few studies examined the effects of **repetitive soil movements due to tidal fluctuations** on piles

## Objective

- Understand the response of an individual single pile subjected to repetitive soil movements due to **tidal fluctuations**



# Centrifuge model



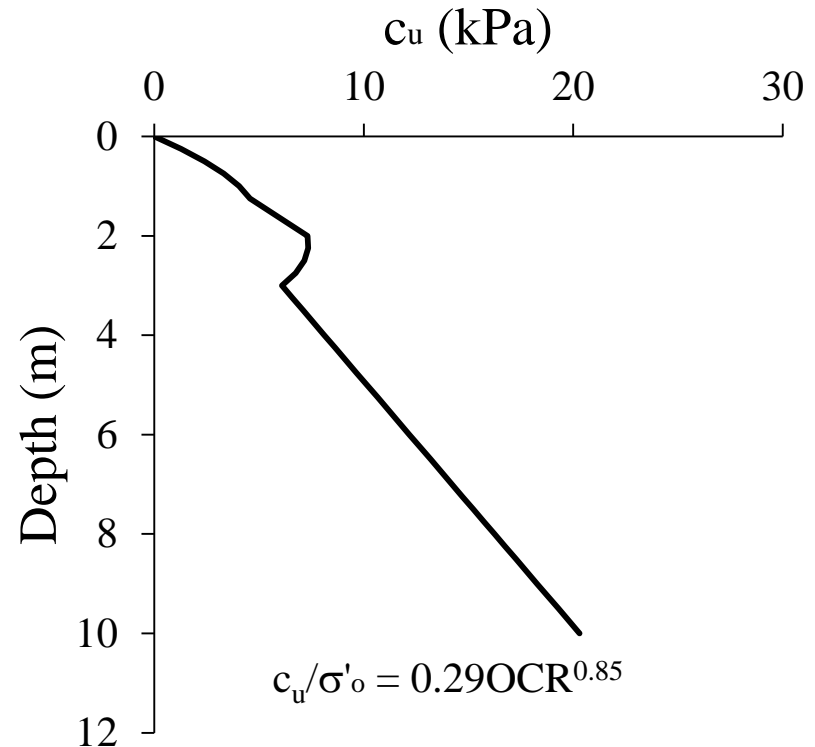
# Test Procedure

## Model pile

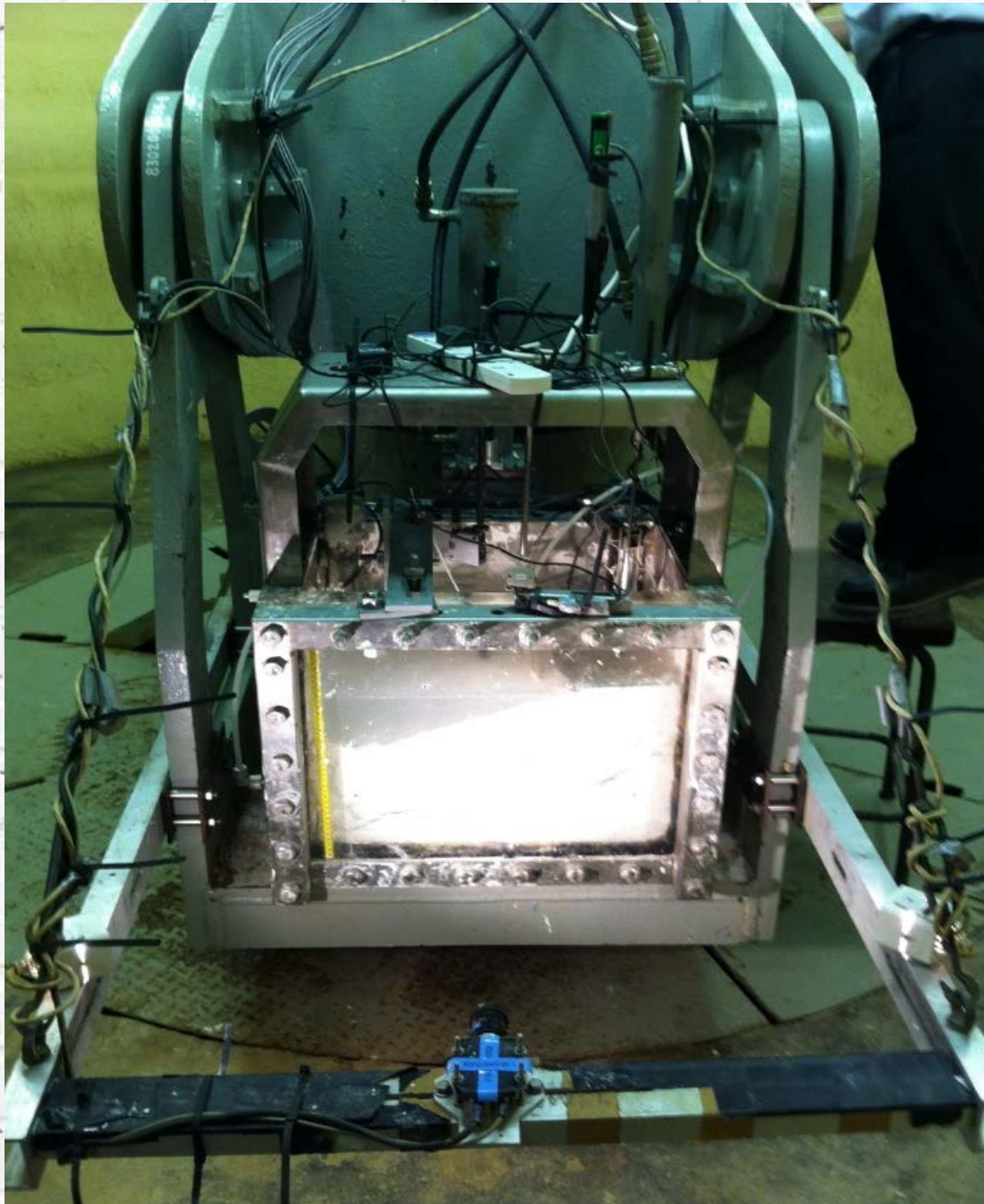
- Hollow square **aluminium tube**: 10 mm sides, 1 mm thick
- 10 strain gauges
- Pile is 350 mm (17.5 m) with an embedment depth of 250 mm (12.5 m) at mid-slope
- $EI = 212.2 \text{ kNm}^2$
- Simulates a **600 mm dia.** G35 concrete **bored pile**

## Model soil

- OC crust
- Mainly NC
- Slope gradient 1V:3H
- 5m height & 15m length
- Beads for **PIV** analysis



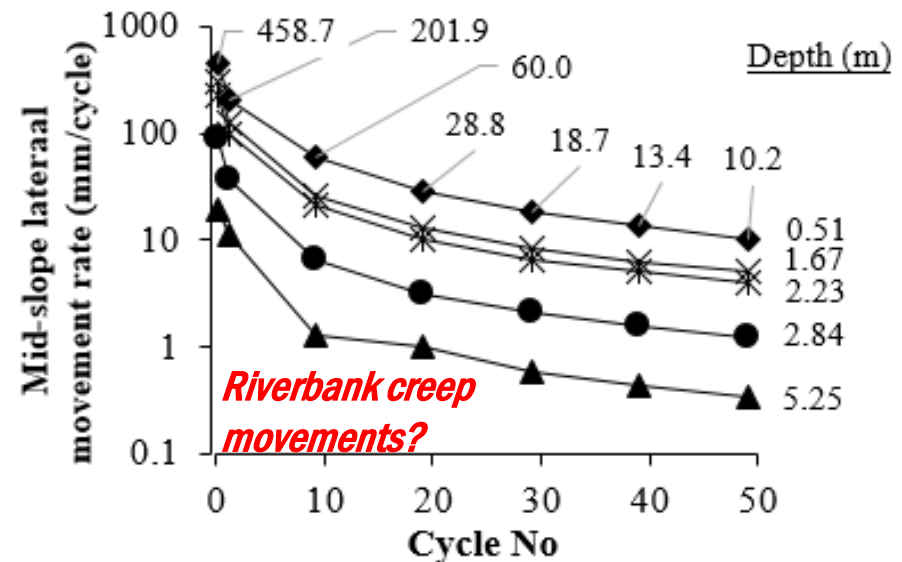
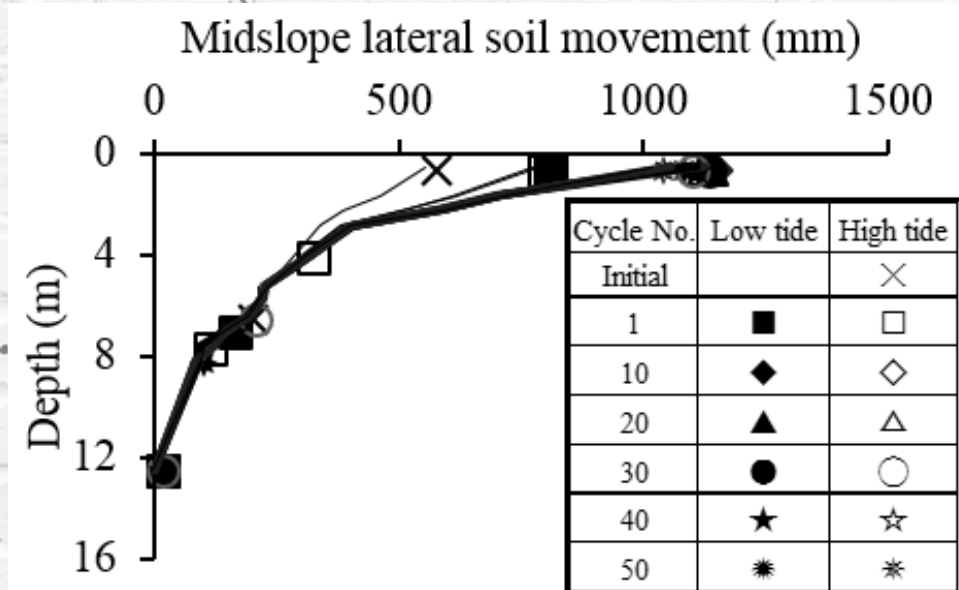
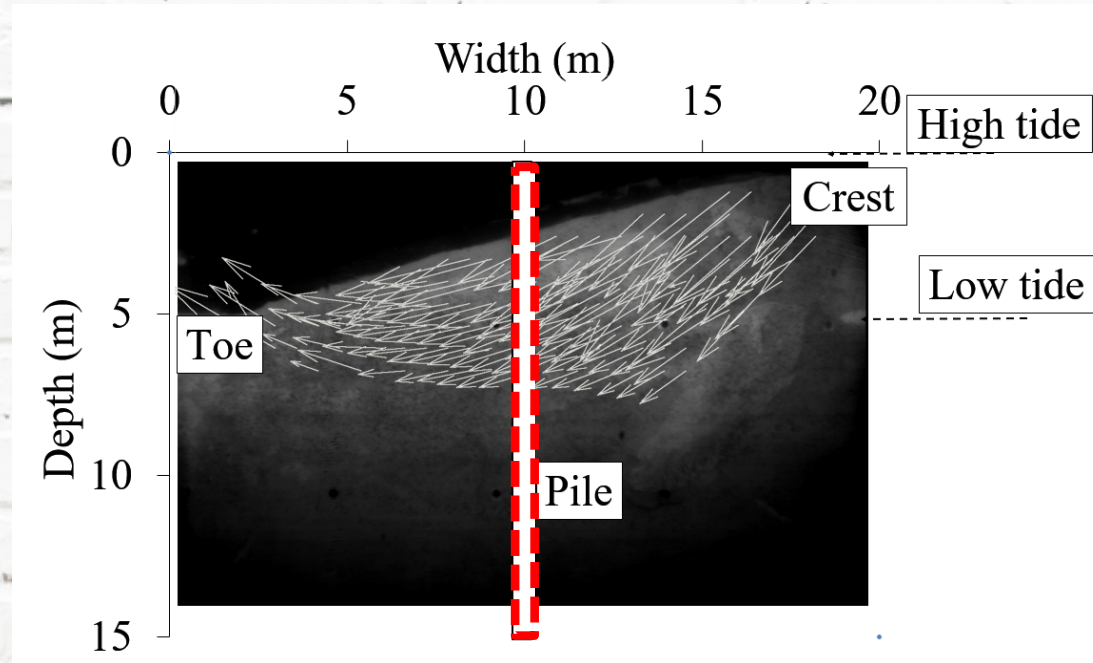




**Complete model set up**

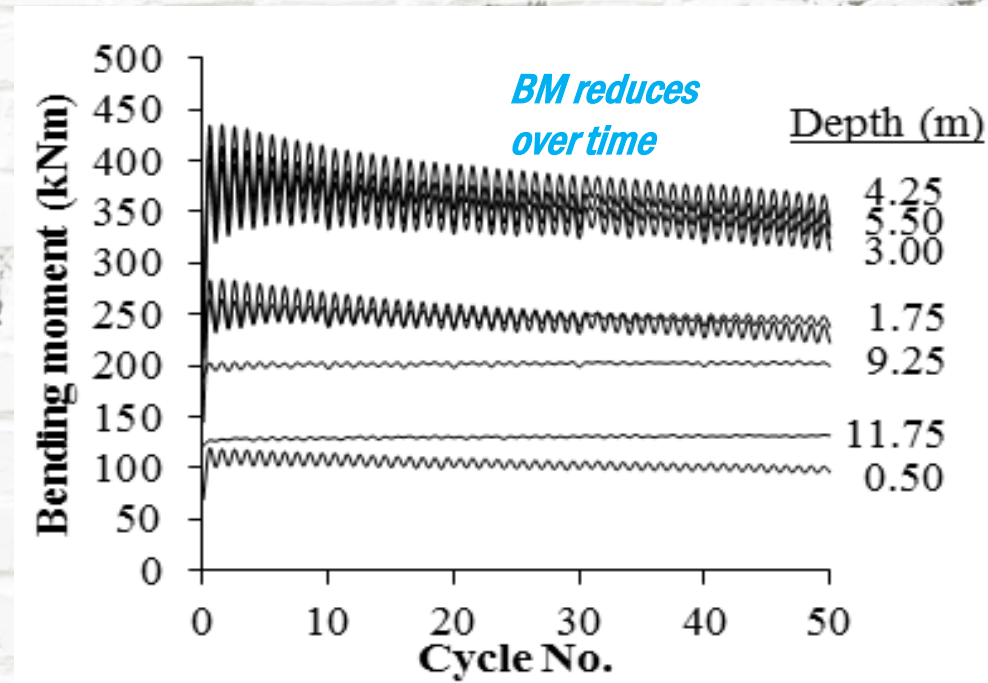
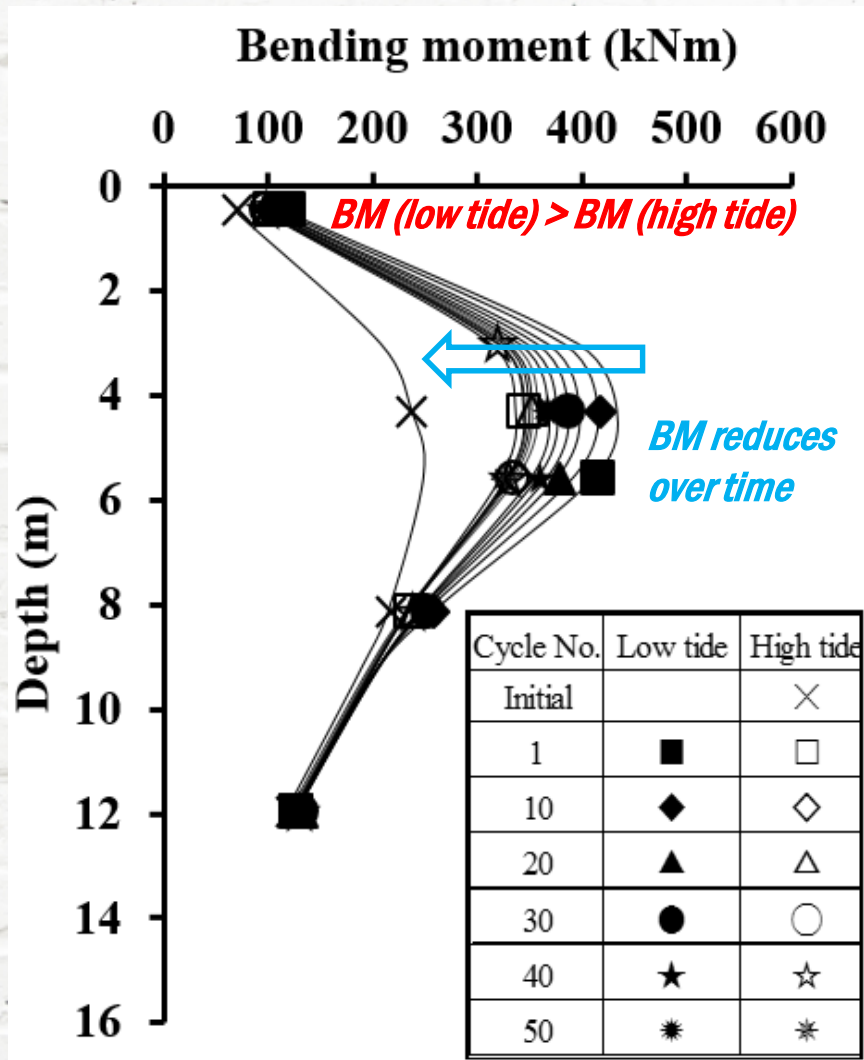
**KNOW  
ING**

# Free-field lateral soil movement by PIV





# Time-dependent pile BM

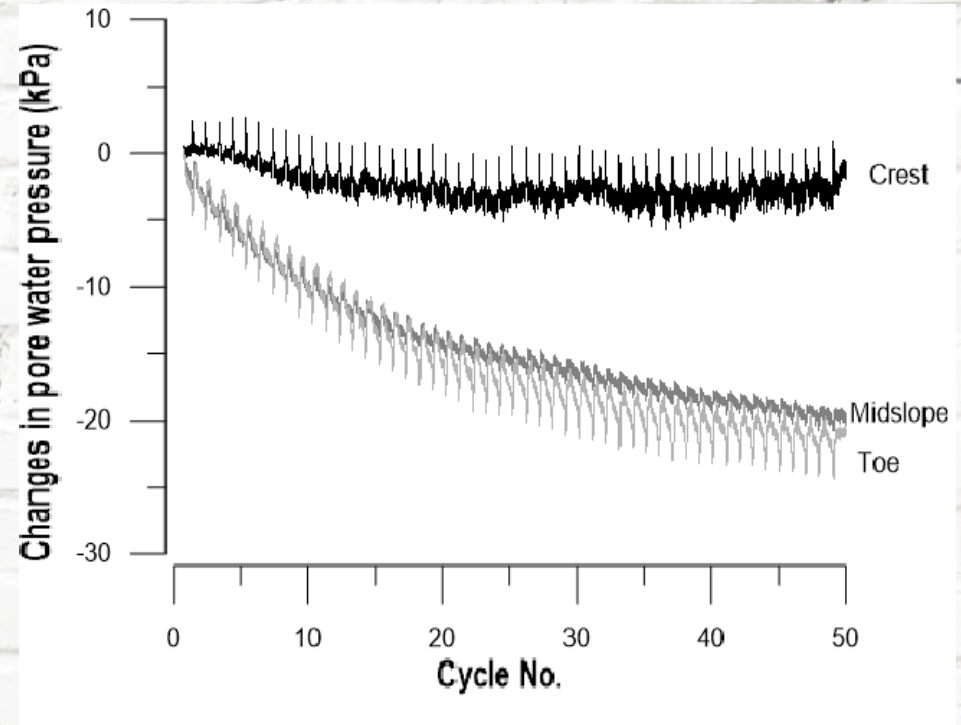




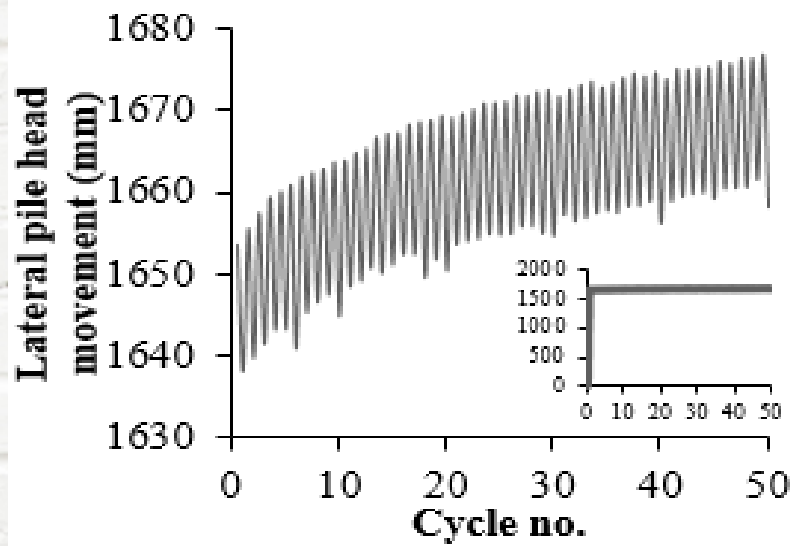
# Dissipation of excess PWP

## PWP

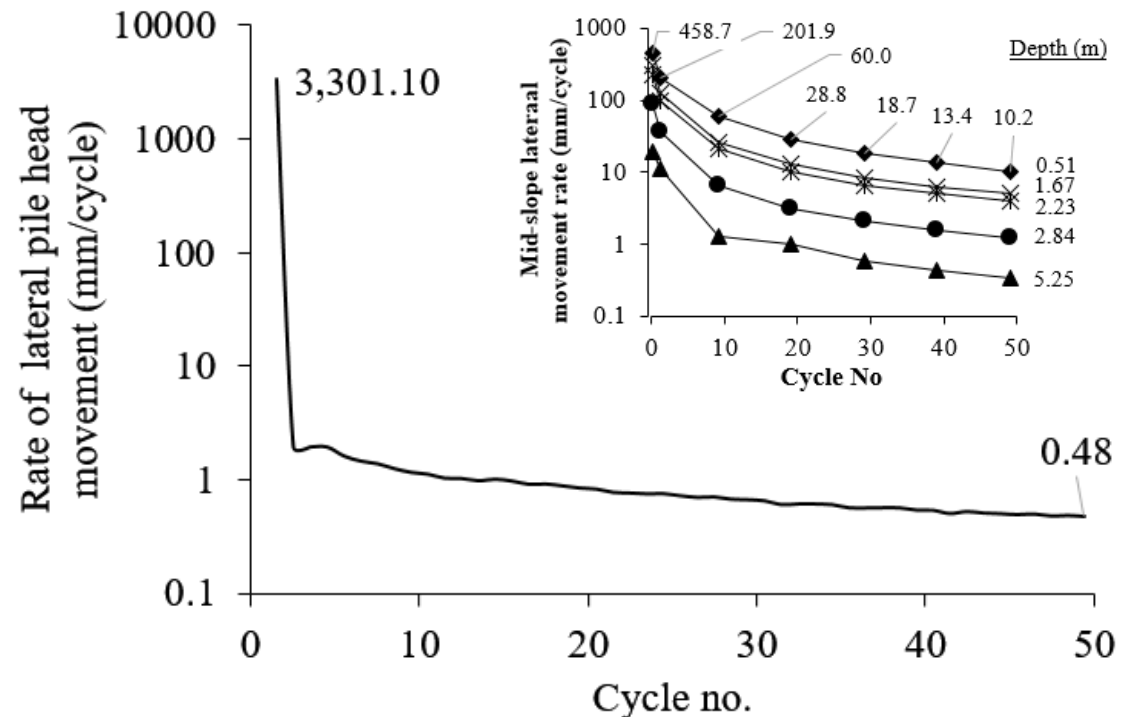
- **Slope crest** shows only slight decrease in the excess PWP, thus mainly **undrained**
- **Mid-slope** excess PWP continues to decrease, thus **soil shear strength** expected to **increase**
- This explains why pile **BM reduces over time**



# Time-dependent pile head movements



*Riverbank creep movements*



# Conclusions

## Pile BM

- Pile BM largest at first low tide as the soil body was in an **undrained condition** and thus, largest lateral soil pressures acting on pile
- **Pile BM reduces** due to the dissipation of excess PWP that causes **gradual increase in soil strength over time**
- Thus, pile BM is **short-term critical**
- Need to design for **strength**

## Pile head deflection

- Pile head increases with number of tidal fluctuations
- Rate of pile head movement reduces over time, but **does not approach zero** due to **creeping riverbank slopes** as a result of tidal fluctuation
- Thus, pile head deflection is **long-term critical**
- Need to design for **serviceability**



**Thank you!**

---

**KNOW  
ING**