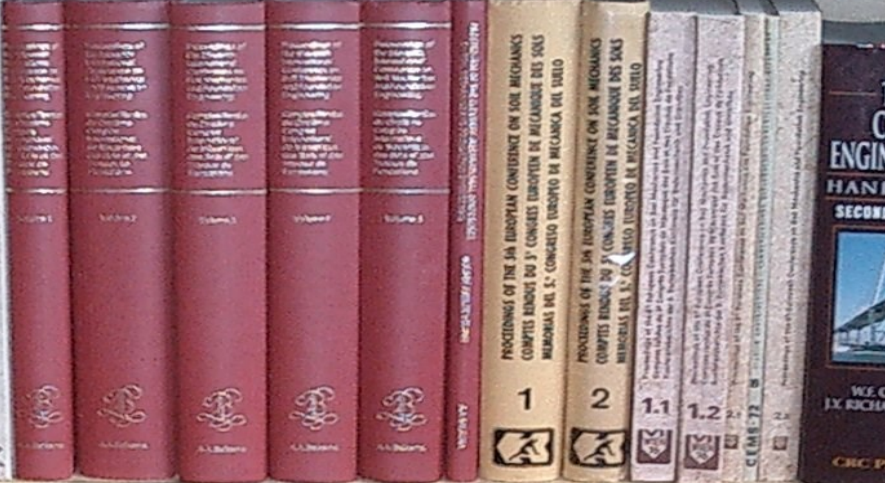
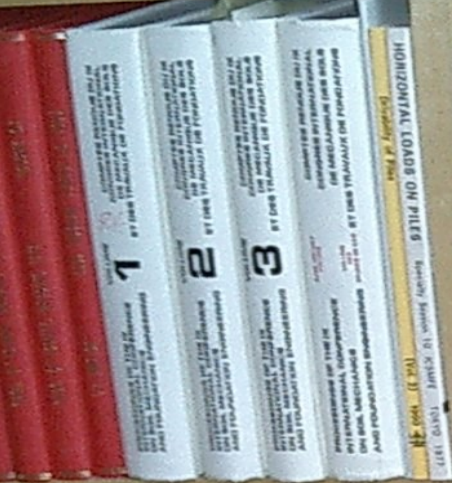


# BASICS OF DESIGN OF PILED FOUNDATIONS

*Bengt H. Fellenius*

**Case Histories of Results from  
Instrumented Piles — and Soil**

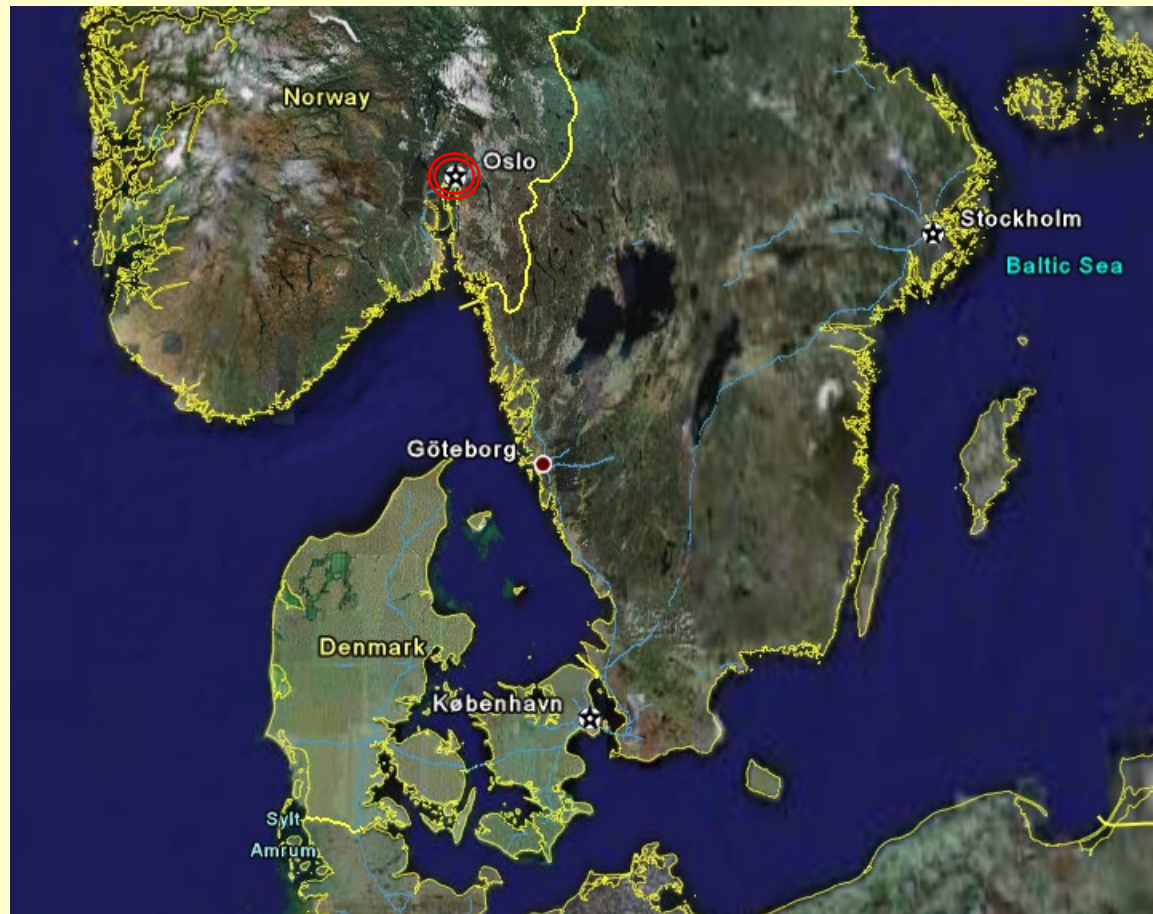


- Design of piles requires understanding of how load is transferred from pile to soil and, less obvious but equally important, from soil to pile.
- The current state-of-the-art has evolved from a few ground-breaking case histories that appeared in the late 1960s and early 1970s.

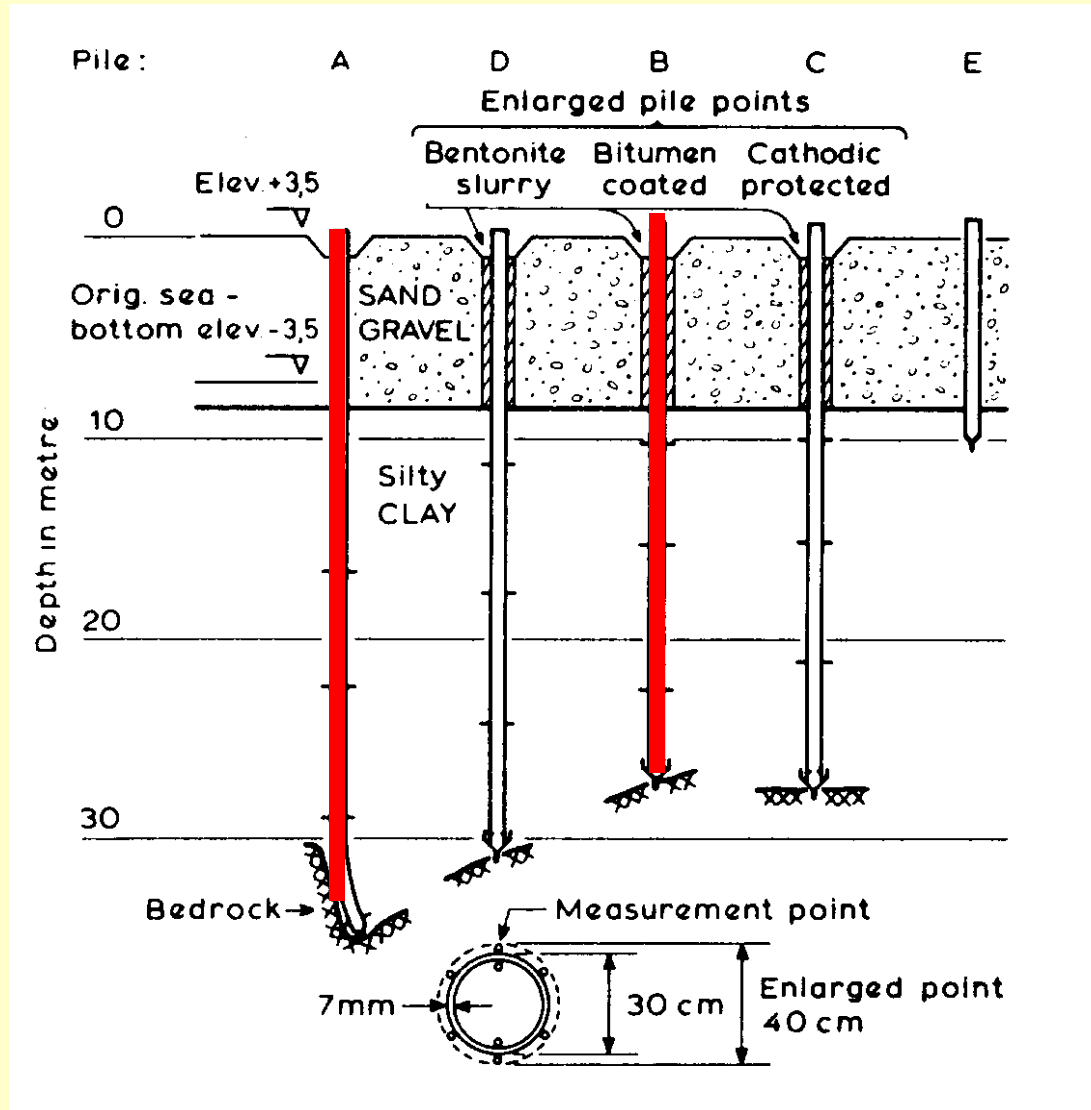


## CASE #1

Bjerrum et. al.,  
(1965; 1969)  
presented case  
histories of 300-mm  
diameter steel piles  
driven through  
**marine clay**  
deposited directly on  
bedrock in Oslo  
Harbor, Norway.

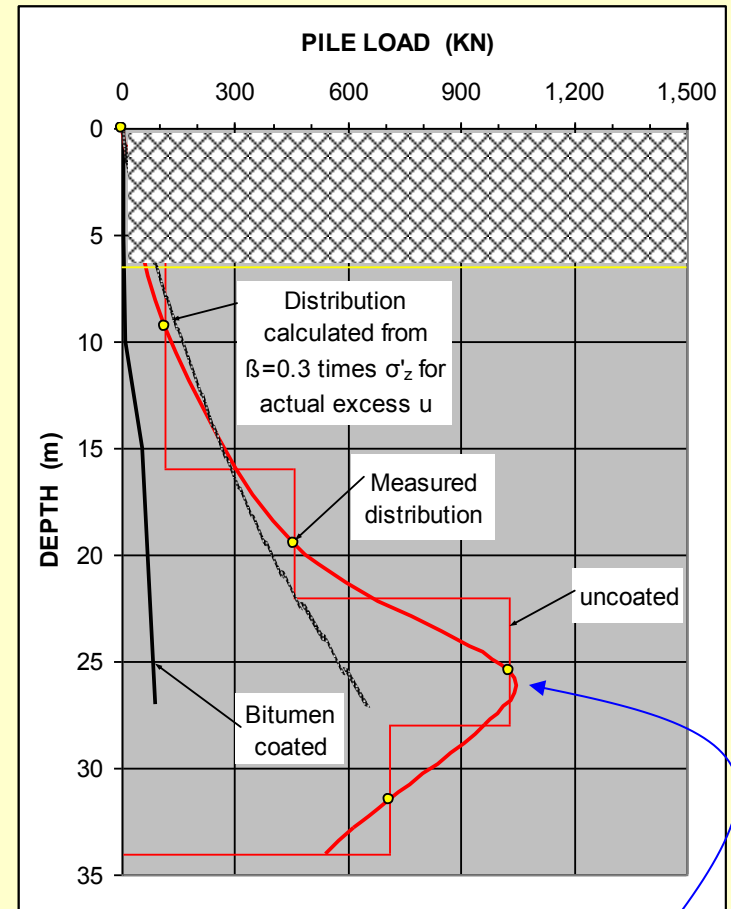
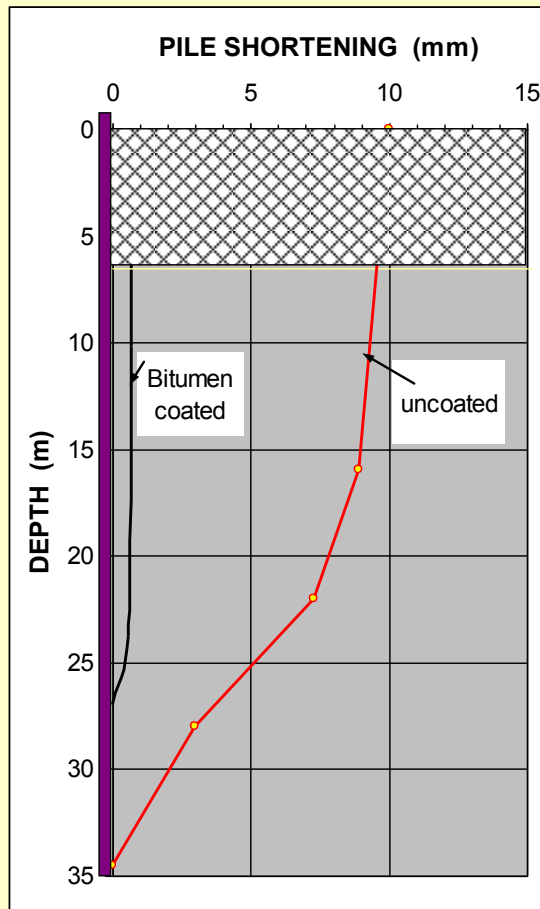
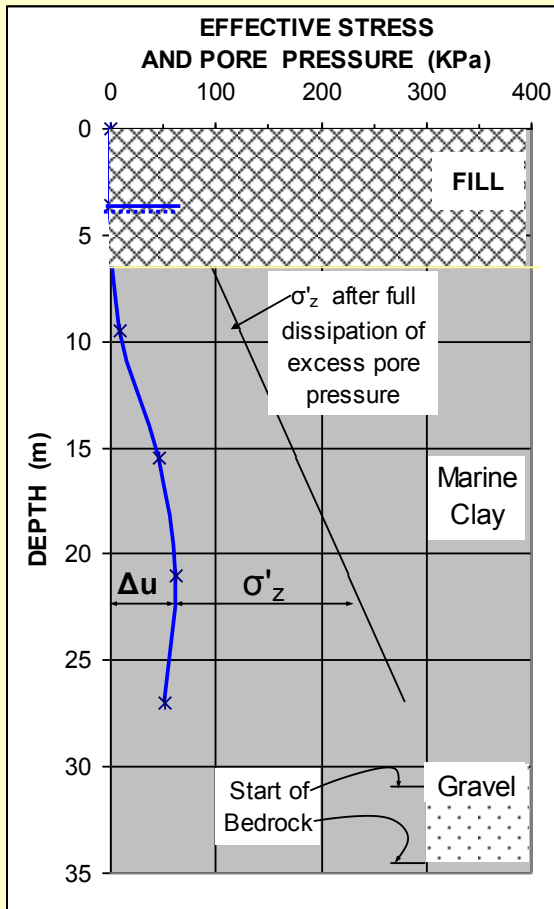






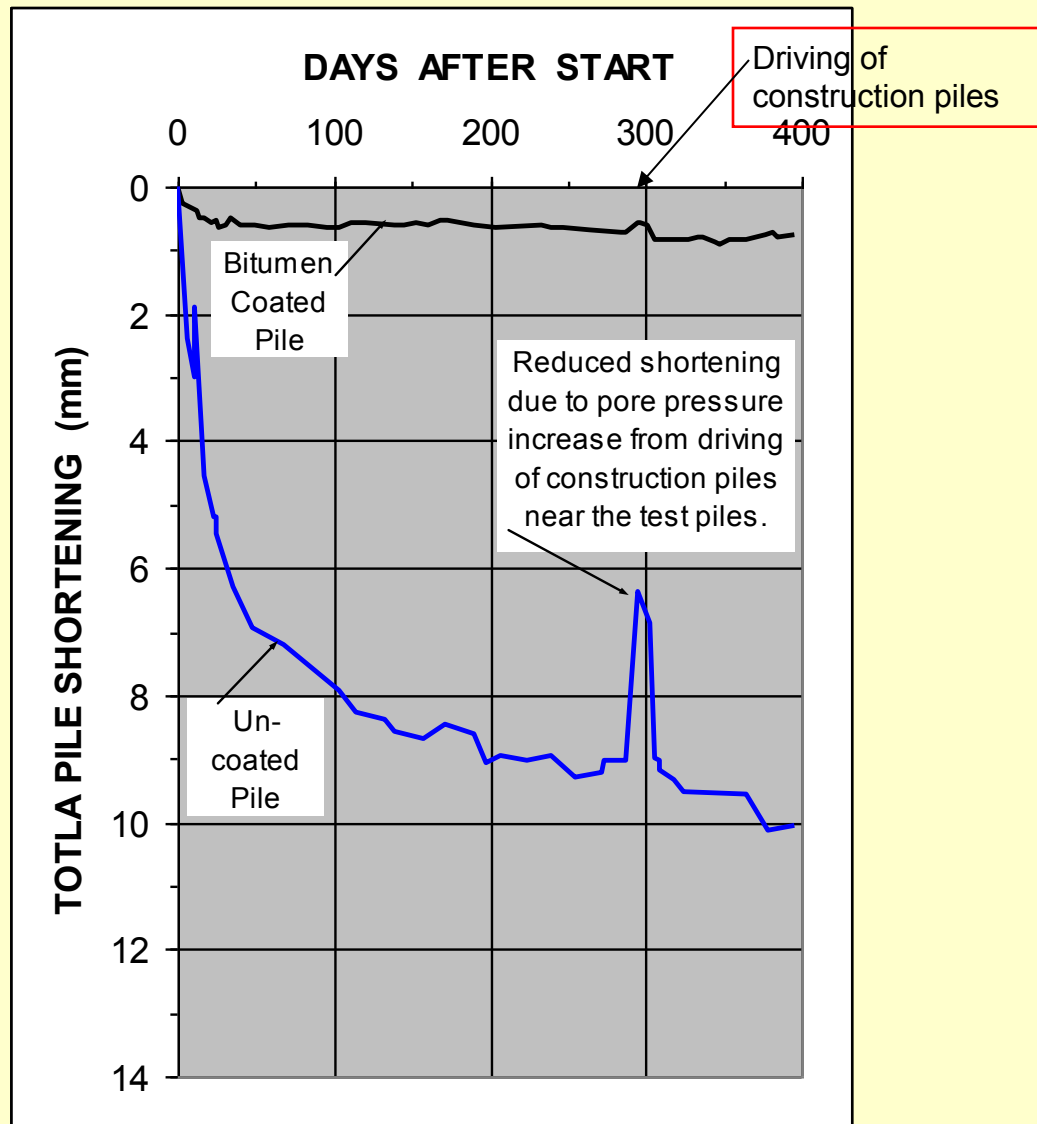
Profile of test site and piles. Heröya site.

(Bjerrum et al., 1969)



Notice the distinct **Force Equilibrium**, the **Neutral Plane**

Distribution of soil stress, excess pore pressure, soil settlement, and pile shortening. Heröya site. (Data from Bjerrum et al., 1969).



Pile shortening versus days after initial driving.  
Heröya site. (Data from Bjerrum et al., 1969)



# Compilation of Norwegian results

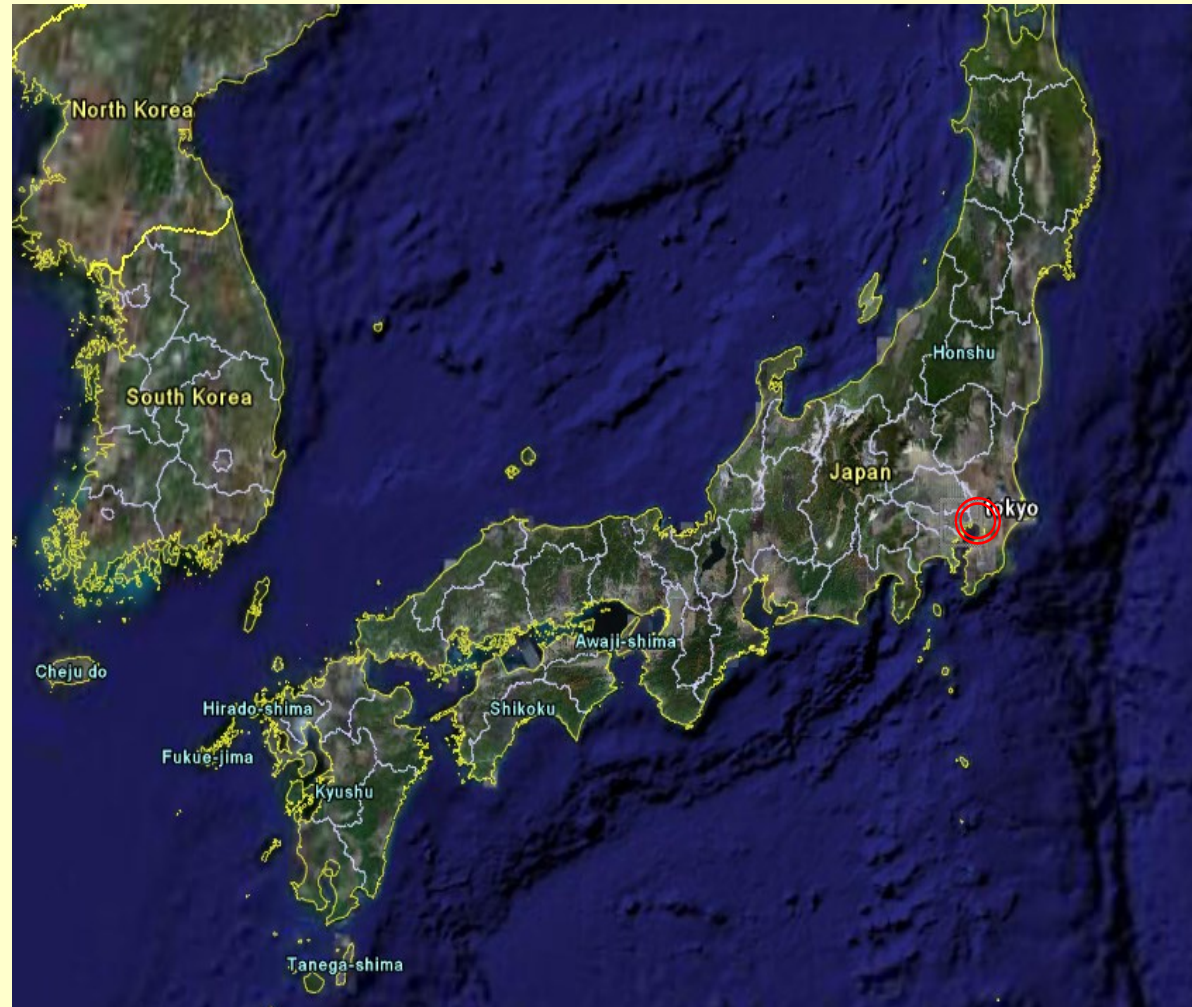
Table 1 Results of previous tests on unprotected steel piles.

Site Pile No.	Pile data		Time after driving years	Drag load tons	Settlement during observation		$K \tan \phi'$ $\beta$
	type	length m			ground cm	pile cm	
Sörenga							
B	I	53	5	≈400	≈ 200	10.0	0.20
C	II	57	2	300	≈ 27	5.3	0.18
G	II	41	2	250	≈ 7	3.2	0.23
Heröya							
85	III	32	1½	300	≈ 30		0.25
A	IV	≈30		120	≈ 20	3.3	0.26
Alnabru							
F 6	III	32	1	≈300	0		

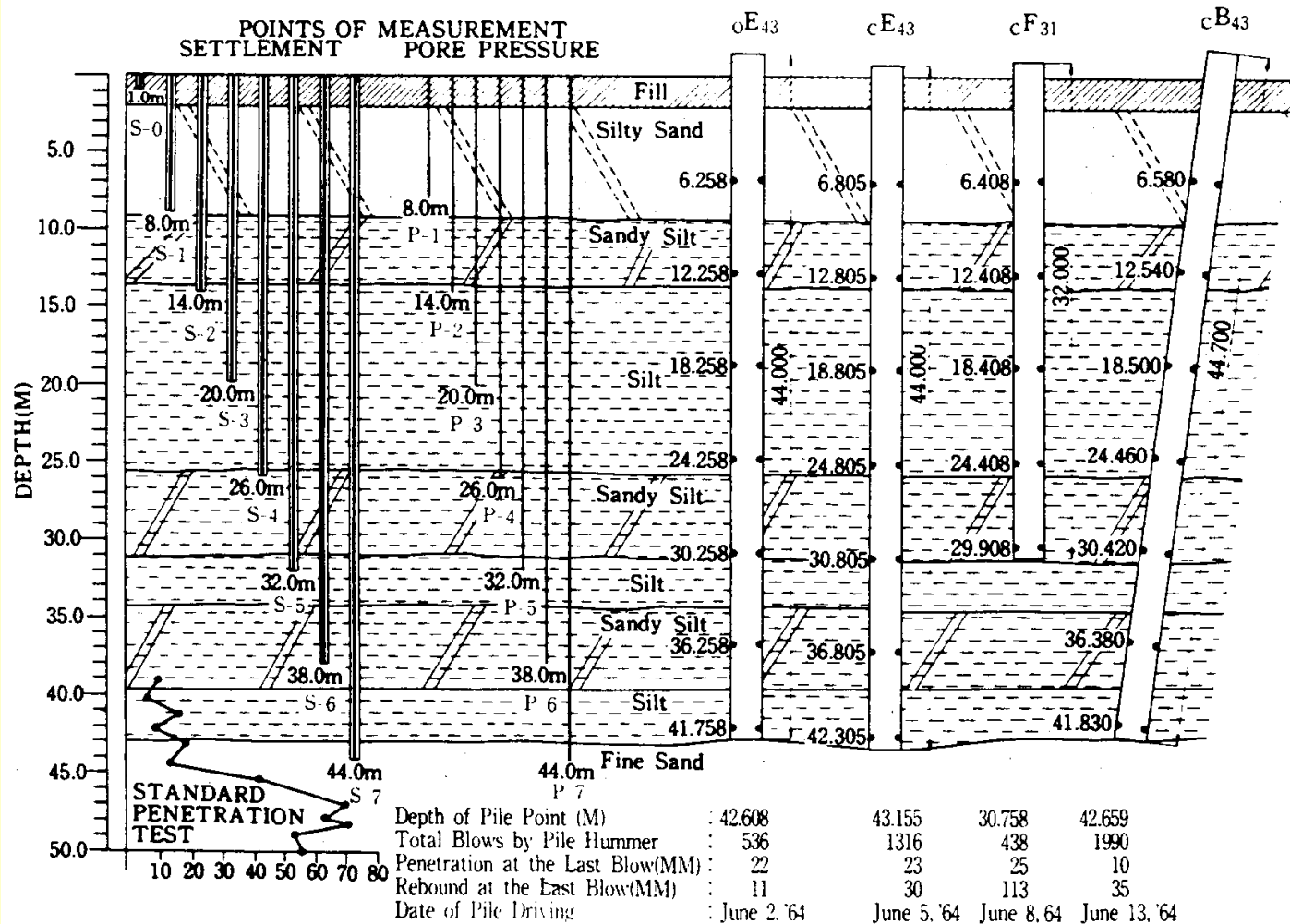
Pile type: I: KP 24, 47 cm, II: Tubular steel pile, Ø 50 cm, III: Tubular steel pile with concrete, Ø 50 cm; IV: Tubular steel pile, Ø 30 cm.

## CASE #2

Endo et al. 1969, presented a very ambitious study in Japan on dragload on four instrumented steel piles during a period of three years. The soils consist of **silt and clay on sand**. The case history is one of the few that actually also measured settlement.





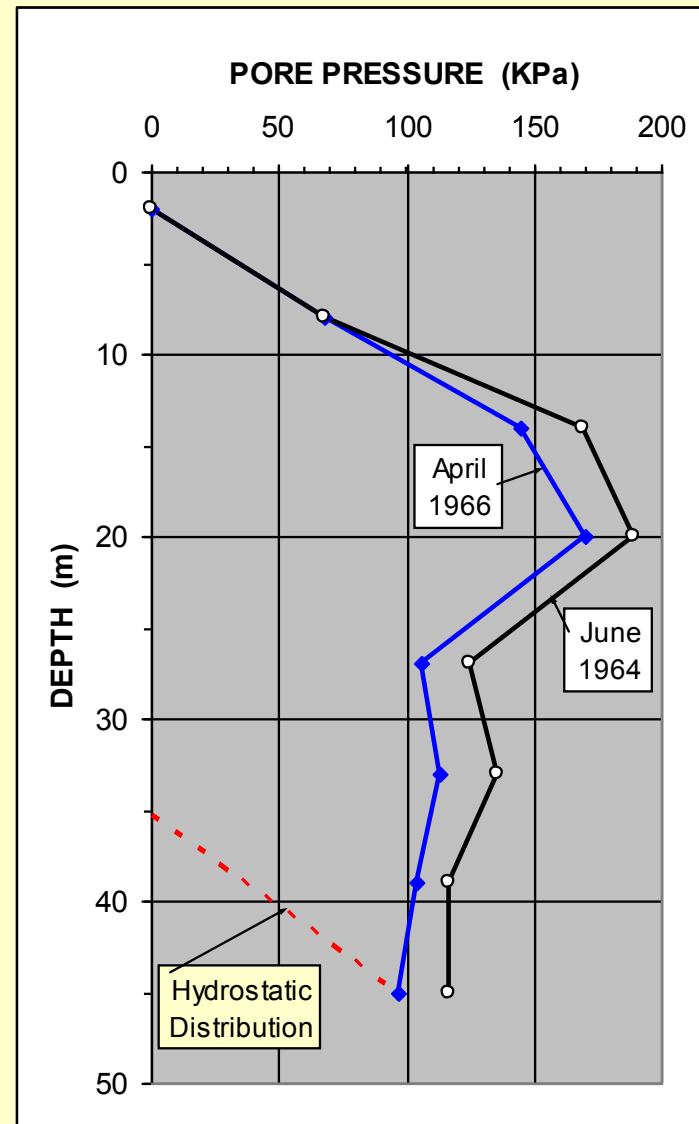
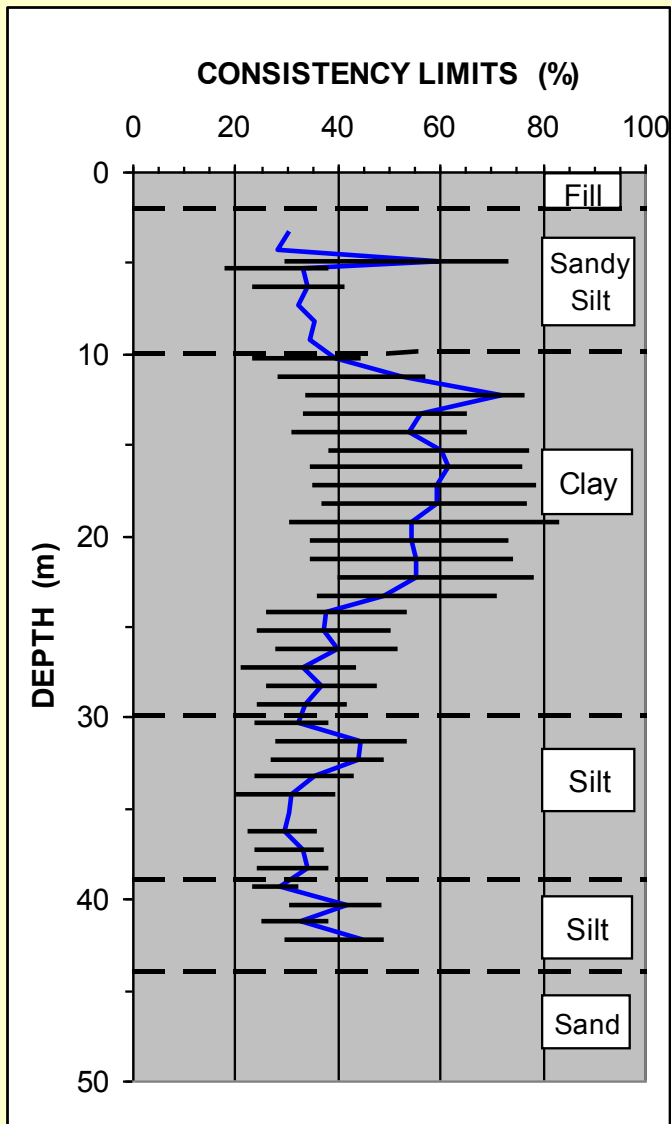


Profile of test site and piles

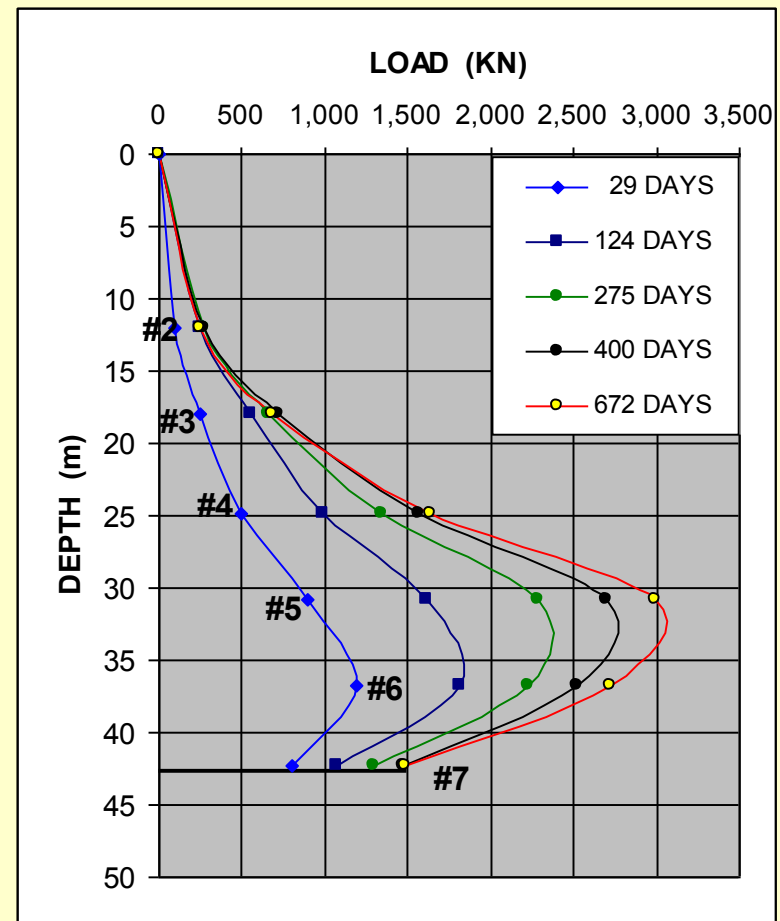
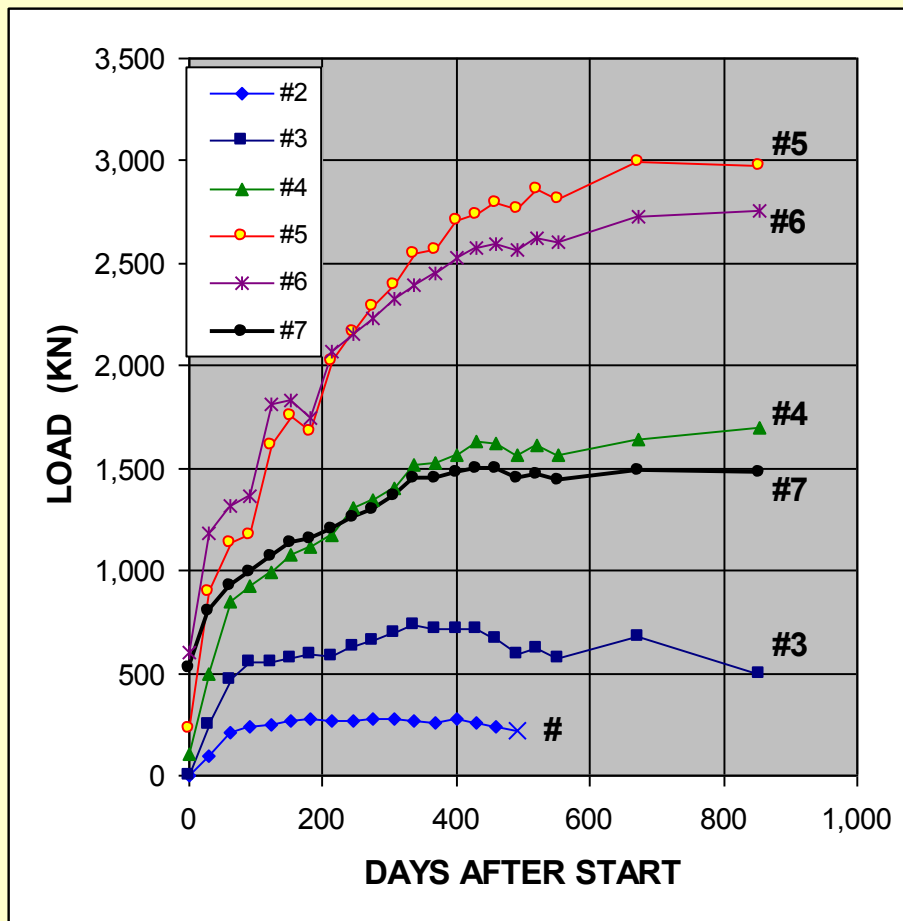
Closed-toe, Open-toe, Inclined, and short pile

(Endo et al., 1969)





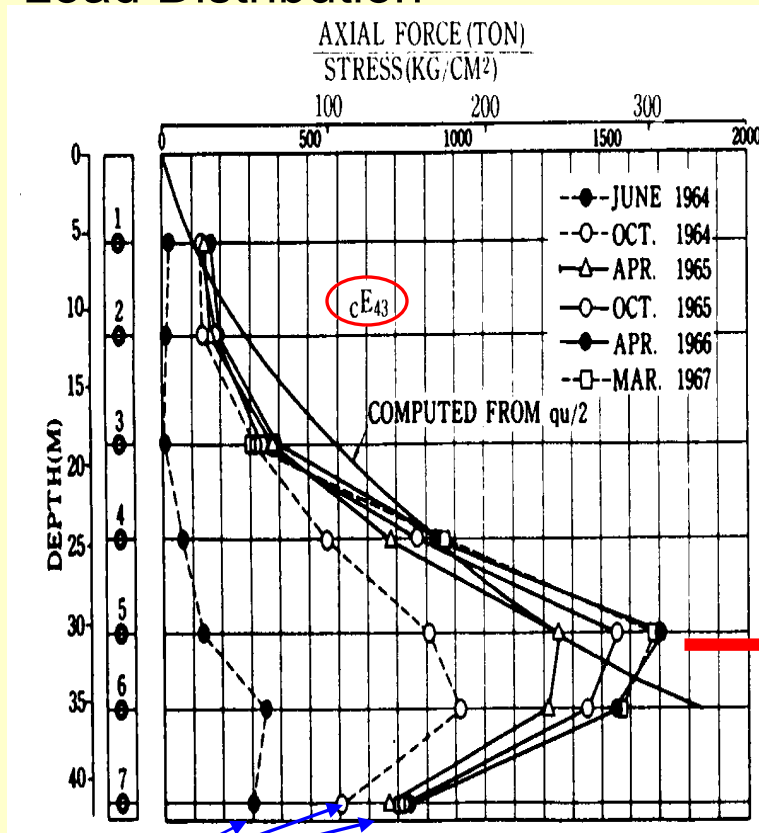
Soil profile and pore pressure distribution



Loads from shortening of closed-toe pile  
 June 1964 through March 1967  
 (Data from Endo et al., 1969)

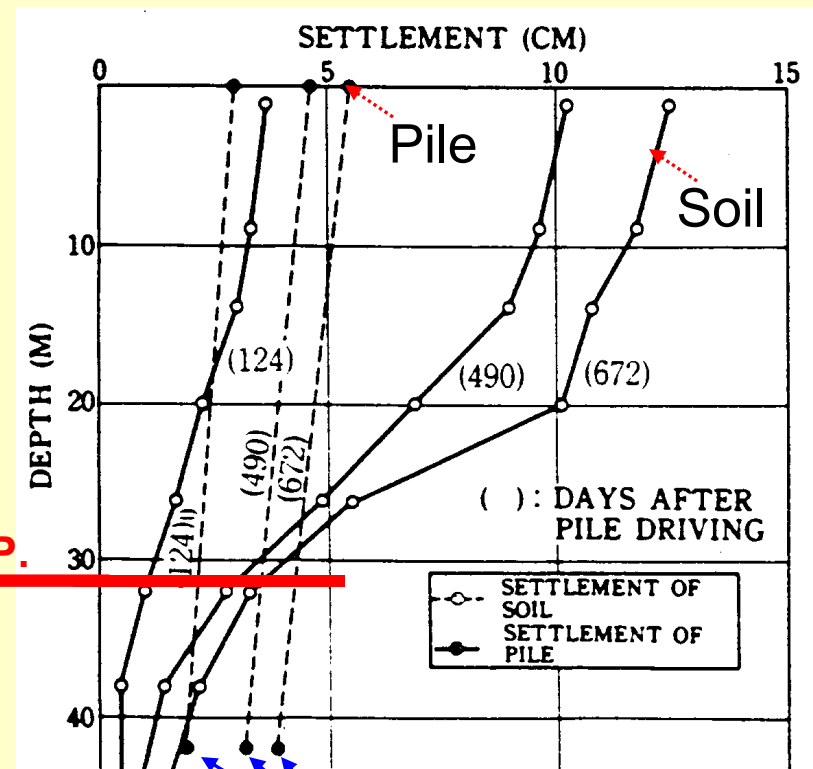
# Combining the **Pile cE43** distributions of load and of settlement measured June 1964 through March 1967

## Load Distribution



Notice the increasing mobilization of toe resistance

## Settlement Distribution

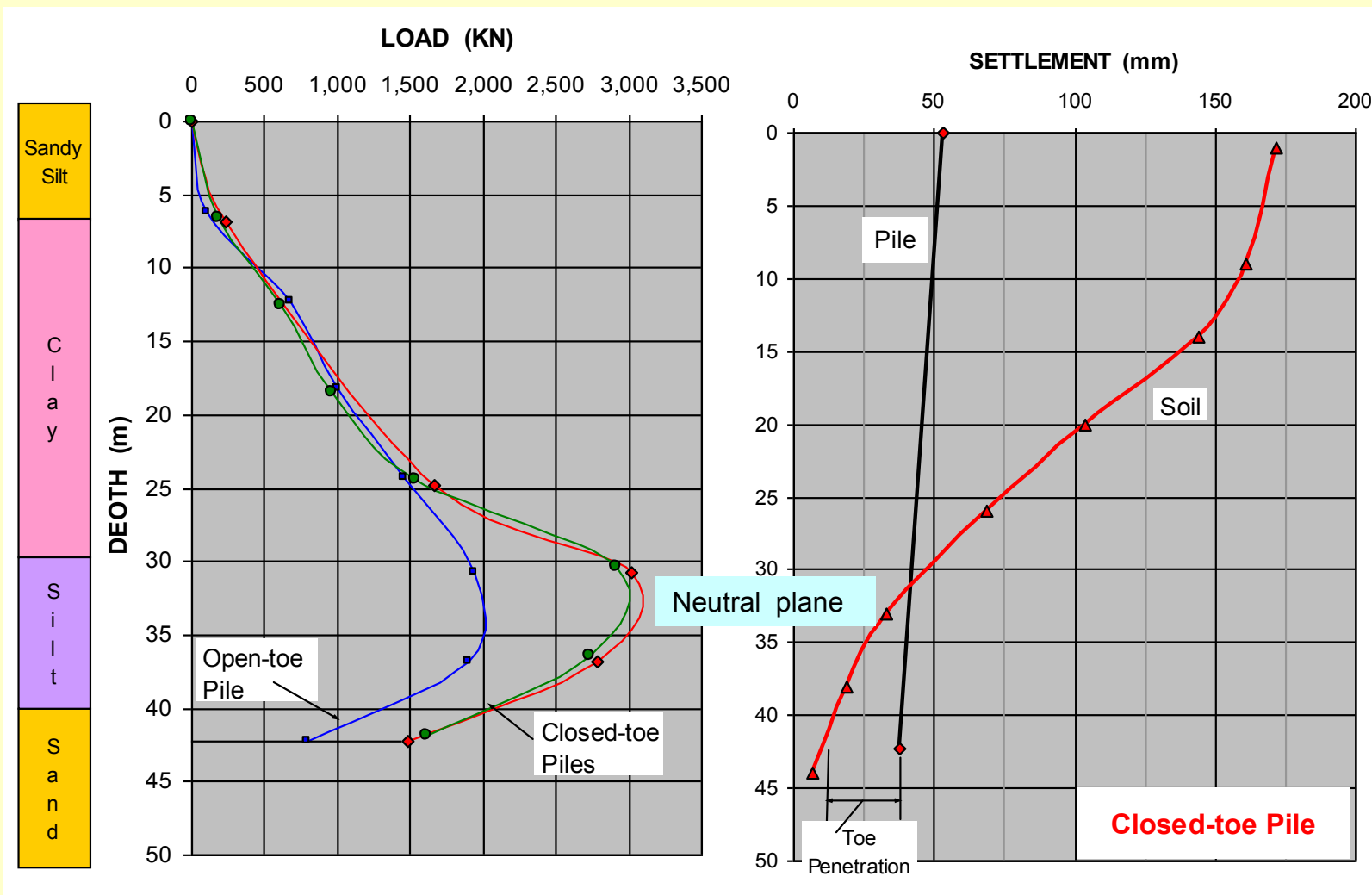


Notice the increasing movement of the pile toe

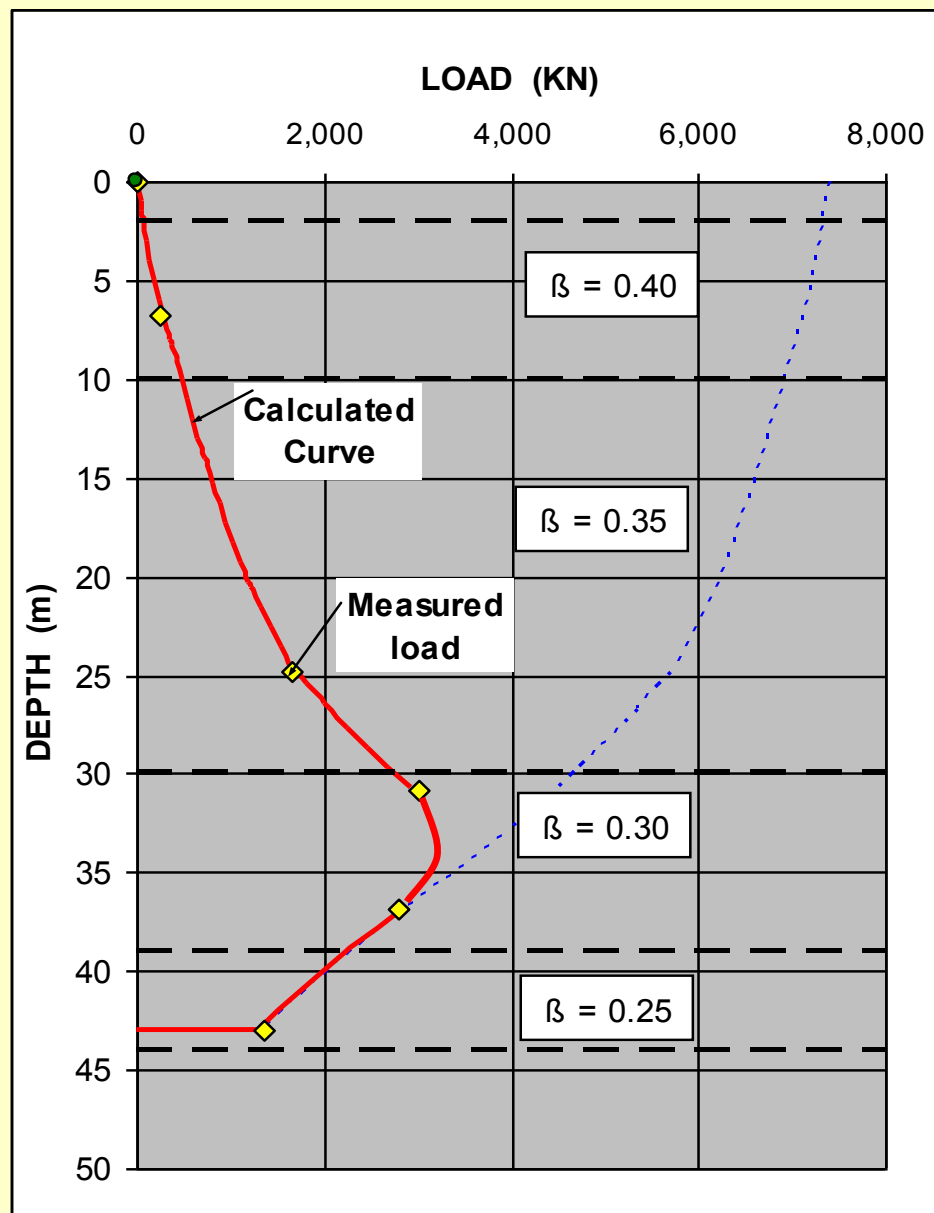
(Endo et al., 1969)



Neutral plane = Force Equilibrium = Settlement Equilibrium



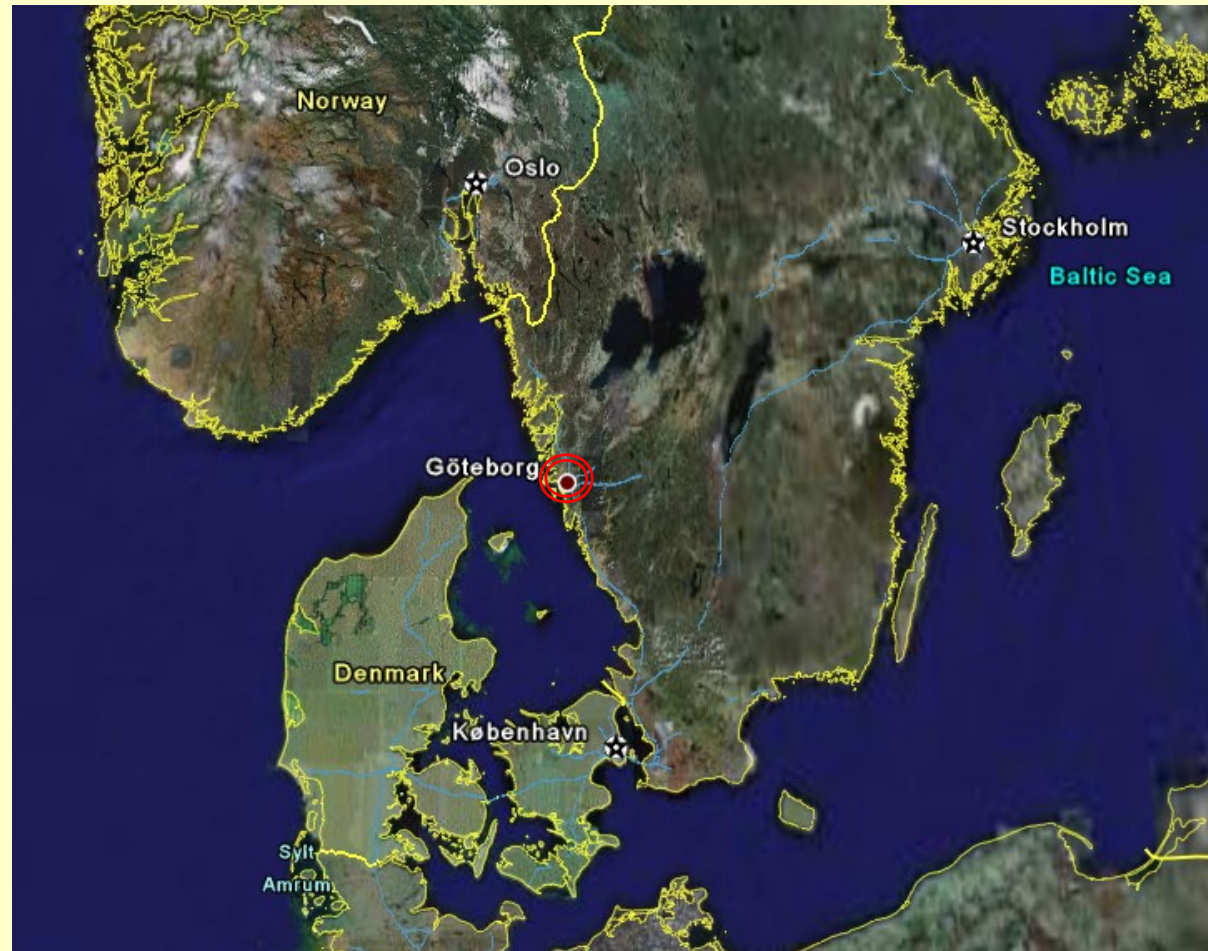
Load distribution in the three long piles together and settlement of soil and piles measured March 1967 672 days after start. (Data from Endo et al., 1969).



Measured load distribution and distribution matched to measured values in effective stress analysis. (Data from Endo et al., 1969).

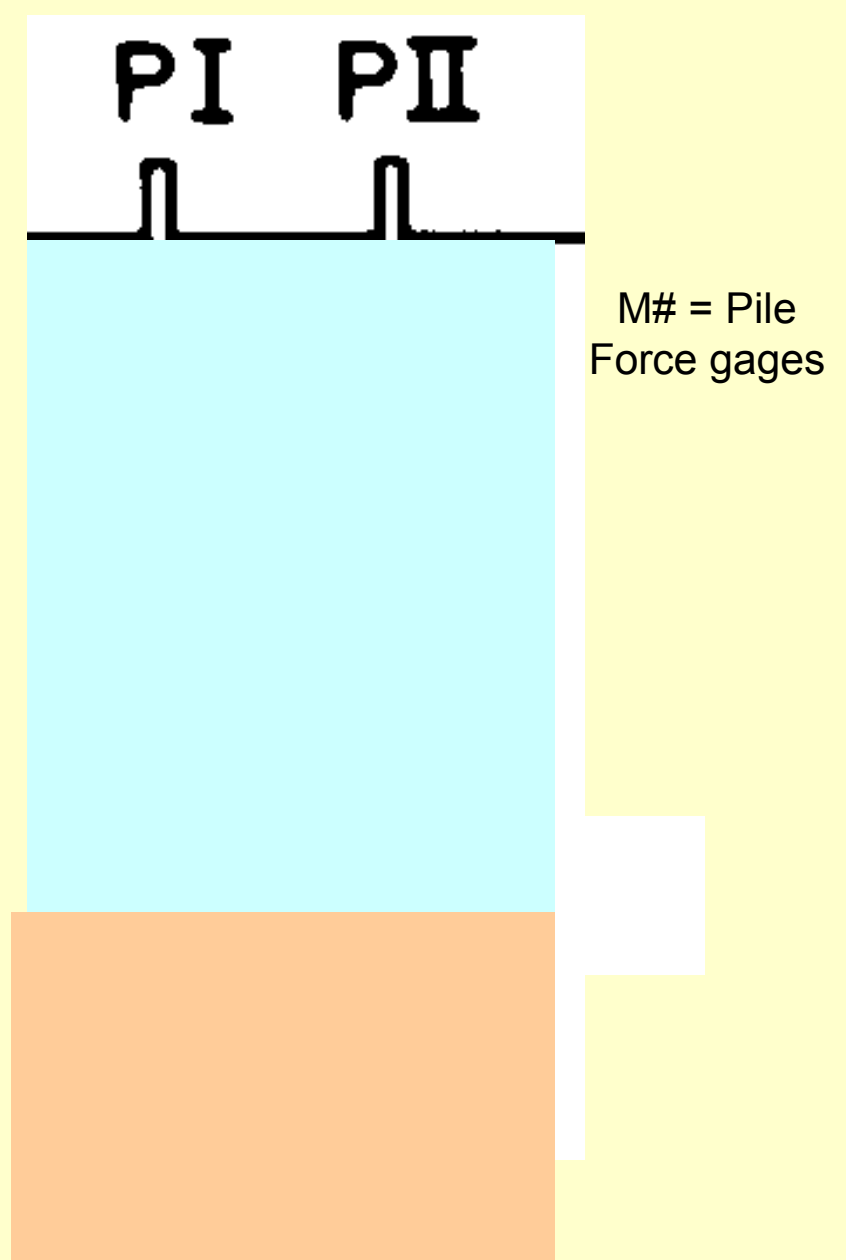
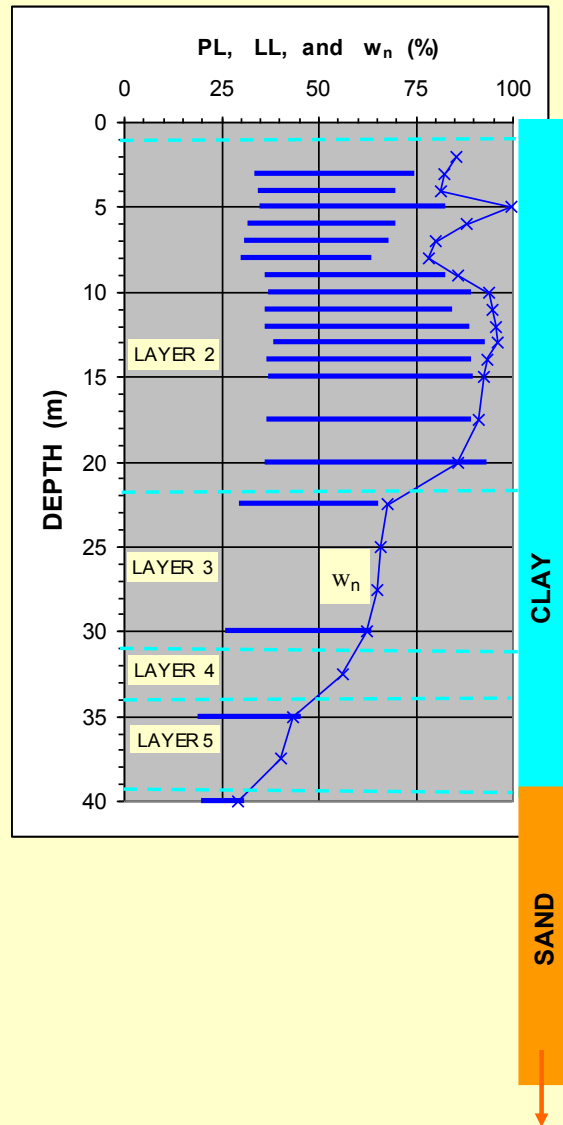
## CASE #3

Fellenius (1972)  
presented a study  
of two  
instrumented,  
precast concrete  
piles driven through  
marine clay and  
into sand  
at Bäckebol,  
Göteborg, Sweden











# Pile II segments with Gage M4 at pile toe





# View of site along the Göta River





A few years earlier (1950) in Surte across the river







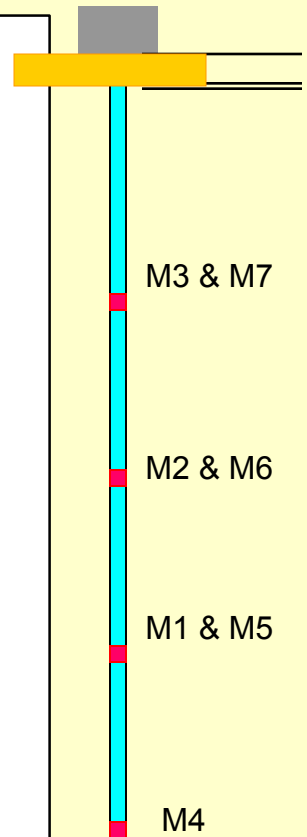
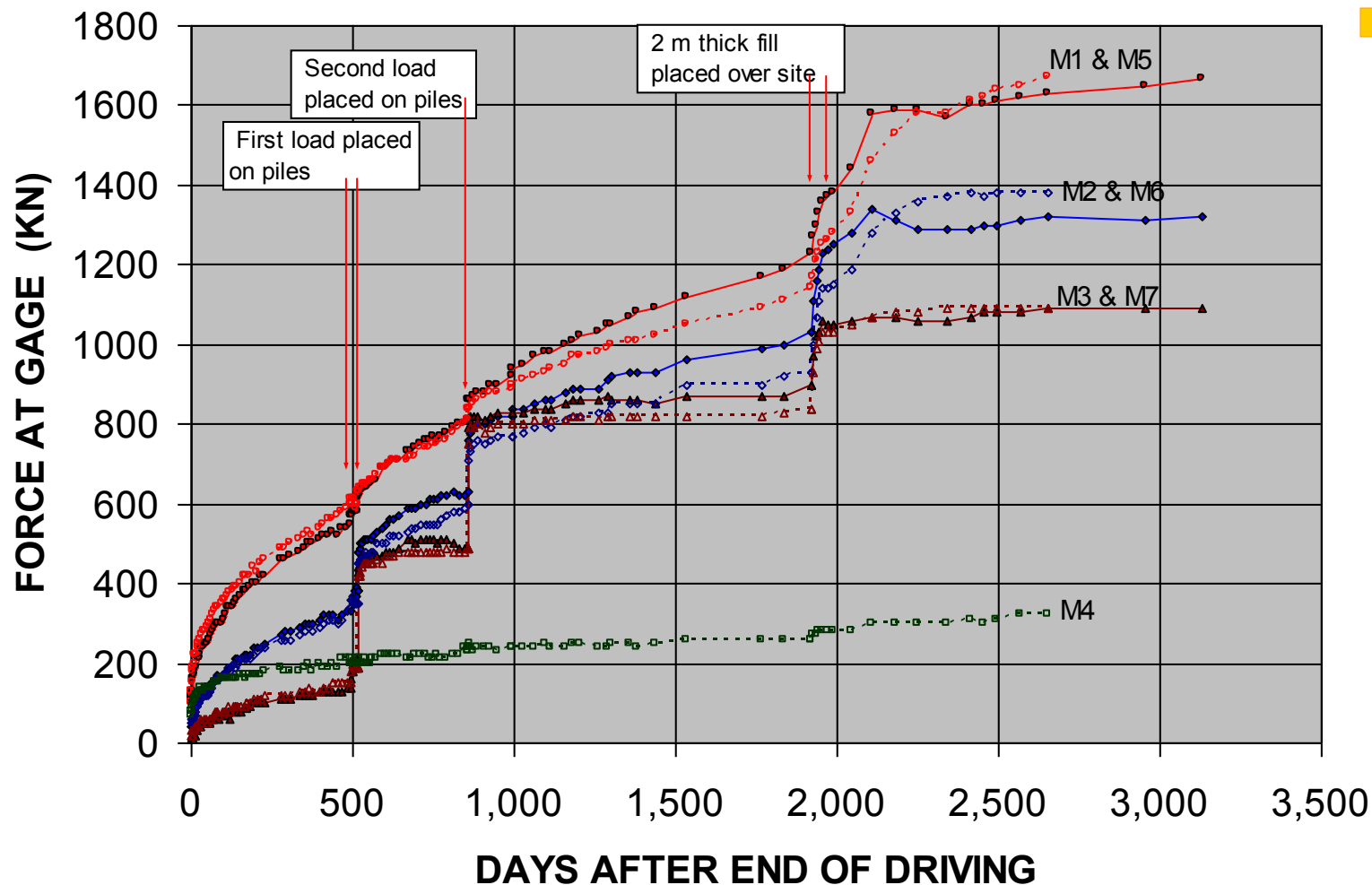
# First load (360 KN) placed on the piles



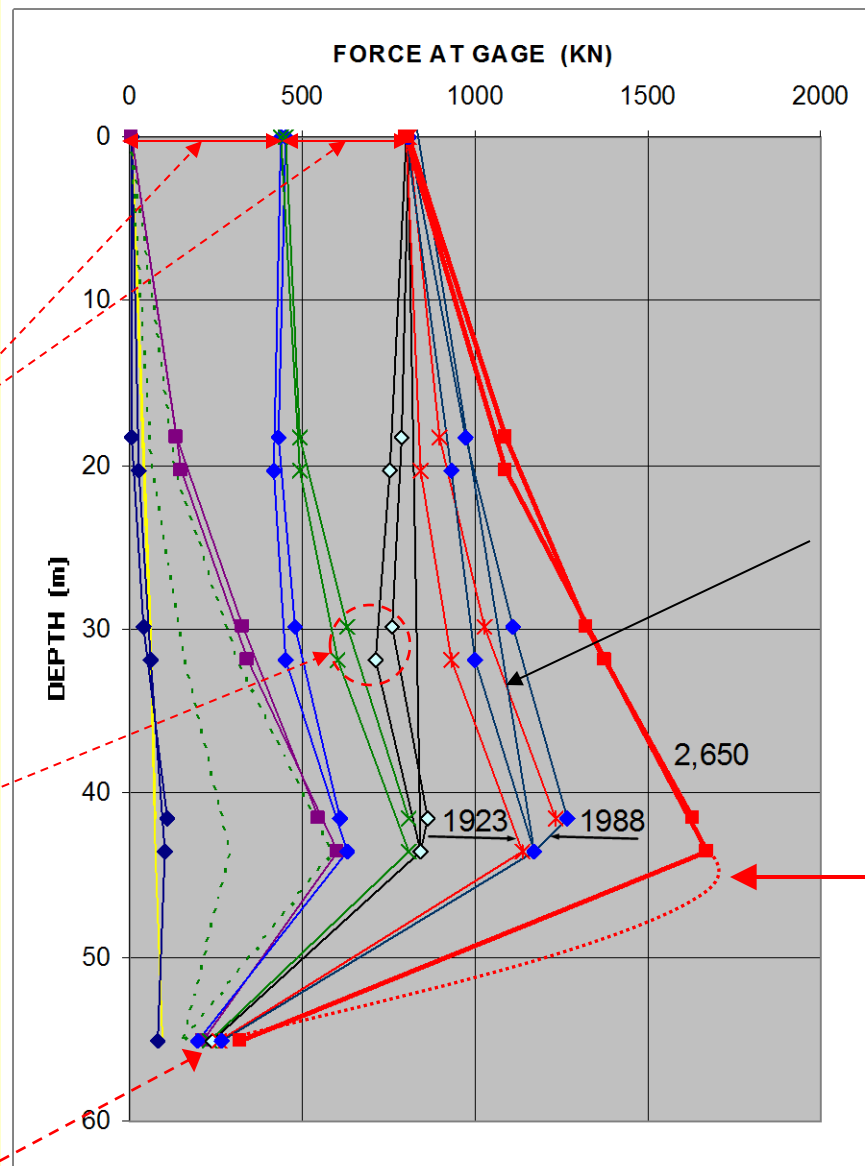


# Piles with loads applied but before fill was placed over the site





Measured loads in piles versus time after driving



= "LIVE LOADS"

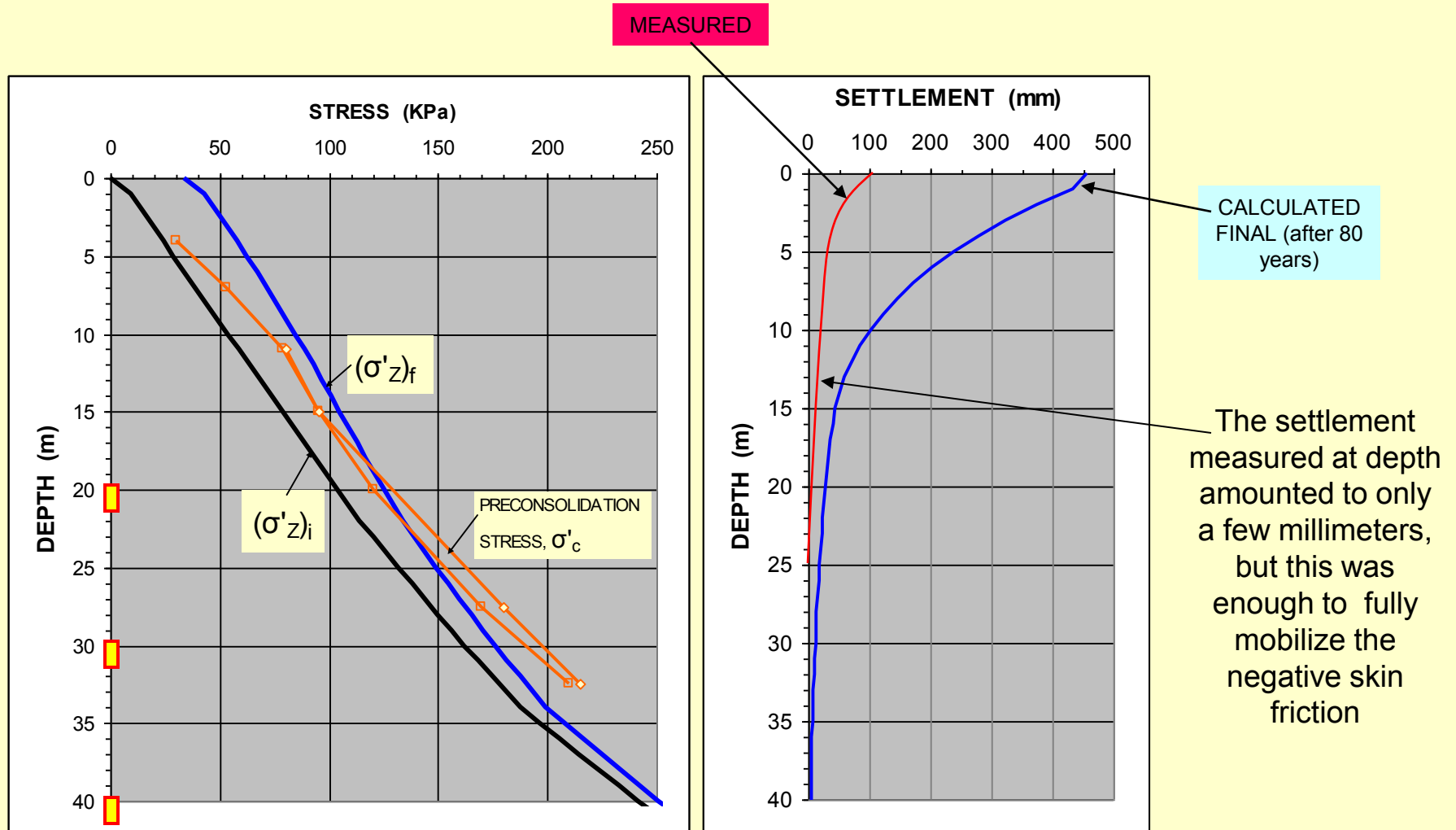
Note, the dragload was eliminated by the "live load"

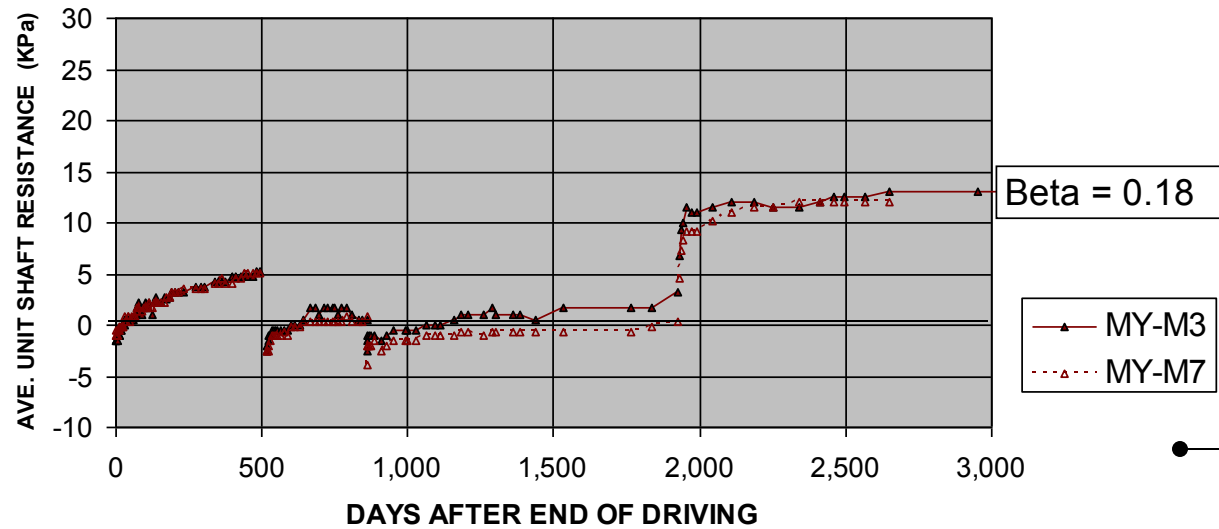
That the toe resistance is small is due to that the movements are not large enough to mobilize any larger toe resistance

Distribution of load in Piles I and II



# Distribution of measured and calculated consolidation settlement due to the fill

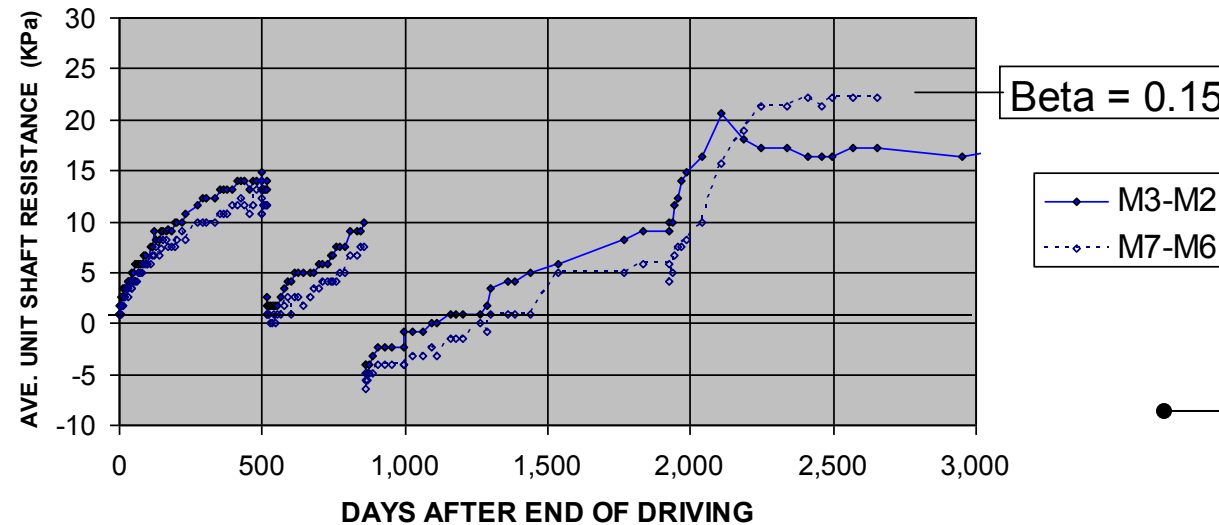




Average shaft shear in  
Piles PI and PII

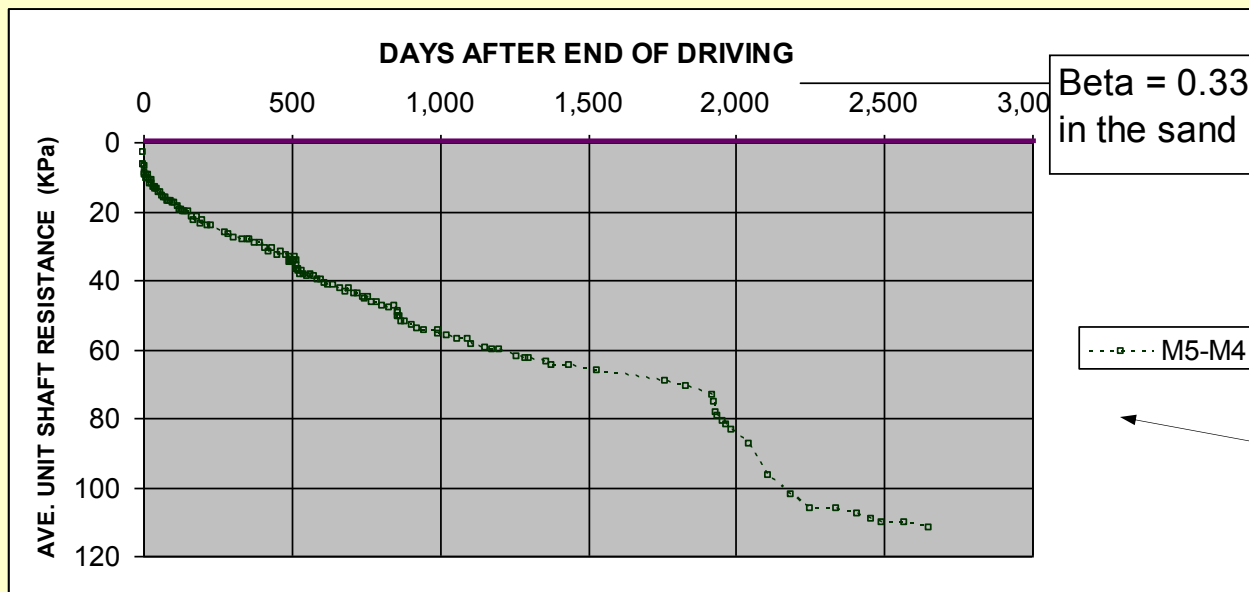
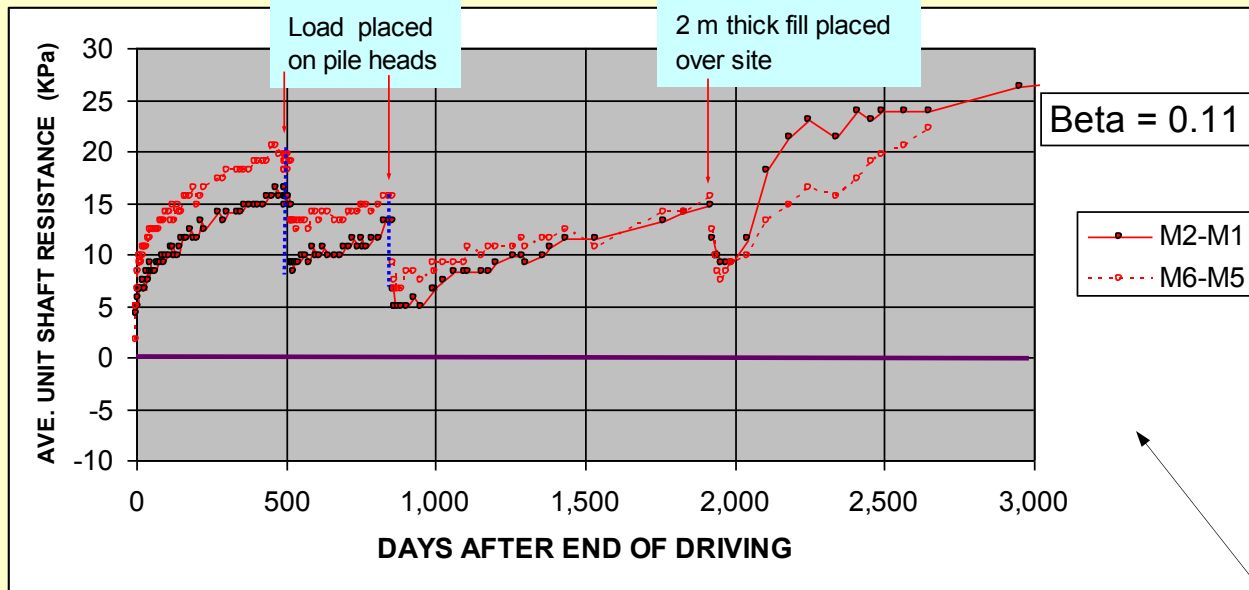
between

Ground Surface and  
Load Cells M2 and M3



and

Load Cells M2 and M3,  
and M6 and M7



Average unit shaft shear in Piles PI and PII between Load Cells

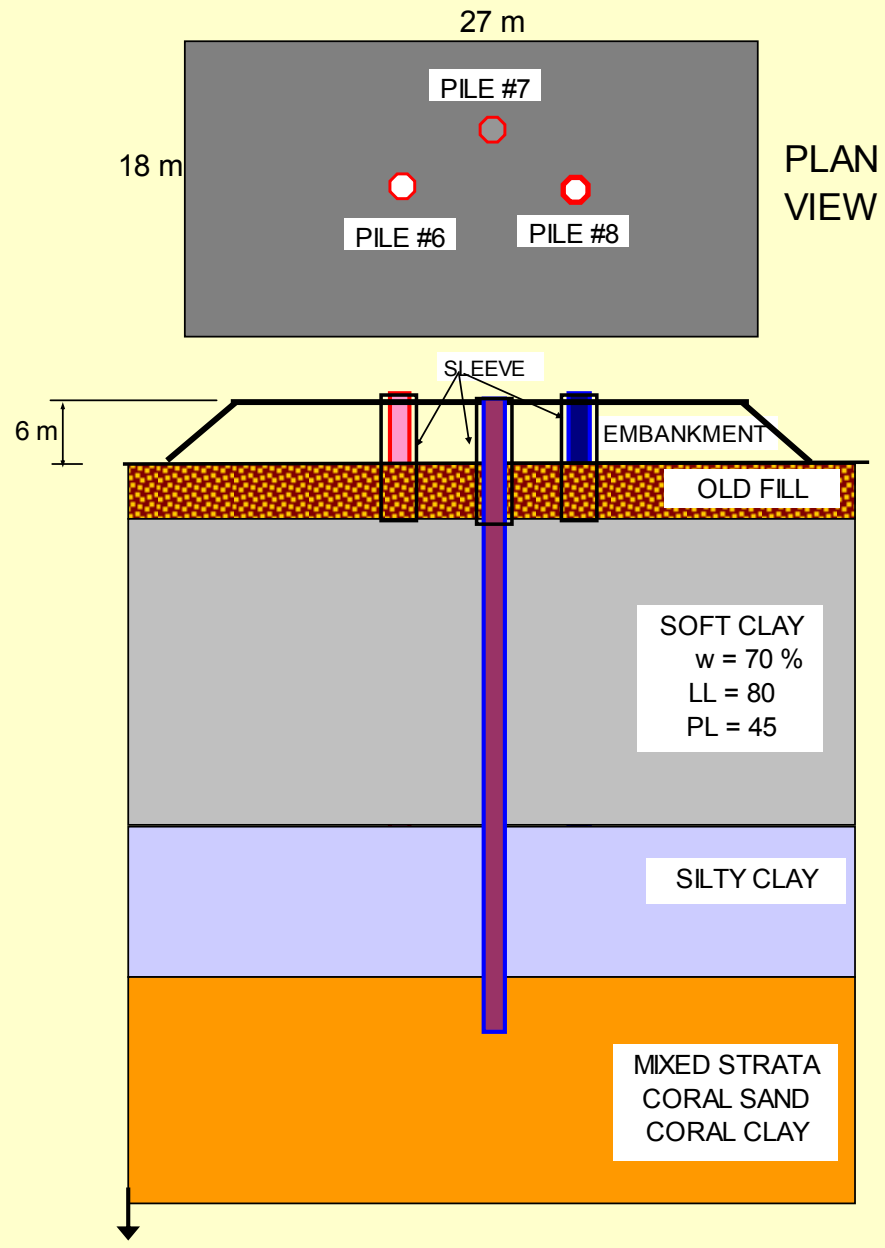
# FHWA Project, Keehi Interchange, Honolulu, Hawaii 1977

# FHWA Project, Keehi Interchange, Honolulu, Hawaii 1977



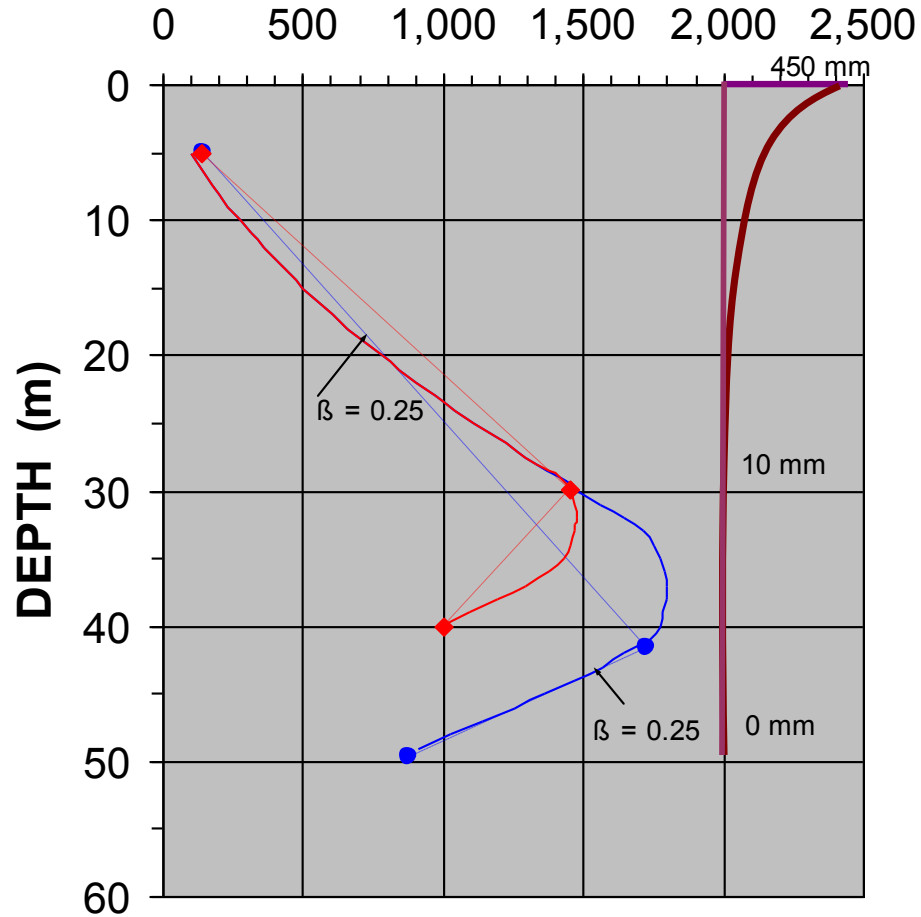


CASE #5

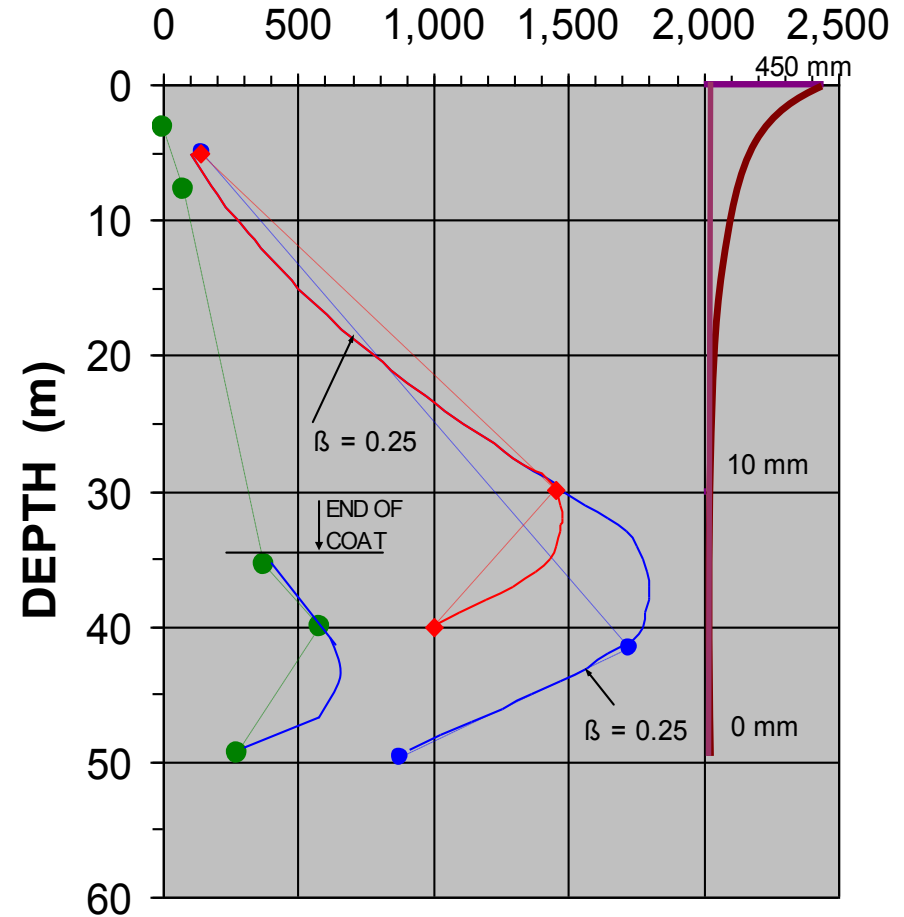


Test piles,  
embankment fill,  
and soil profile

## LOAD (KN)



## LOAD (KN)



35 m uncoated pile ==> 1,770 KN

35 m bitumen coated pile ==> 375 KN for less than 1/16-inch (1.5 mm) coat  
= Reduction to 20 % of uncoated load

3/16 coat + stiff outer skin ==> elimination of drag load

3/16 inch = 4 mm



Bitumen coating of piles



Verifying thickness



Remember, bitumen is a liquid!

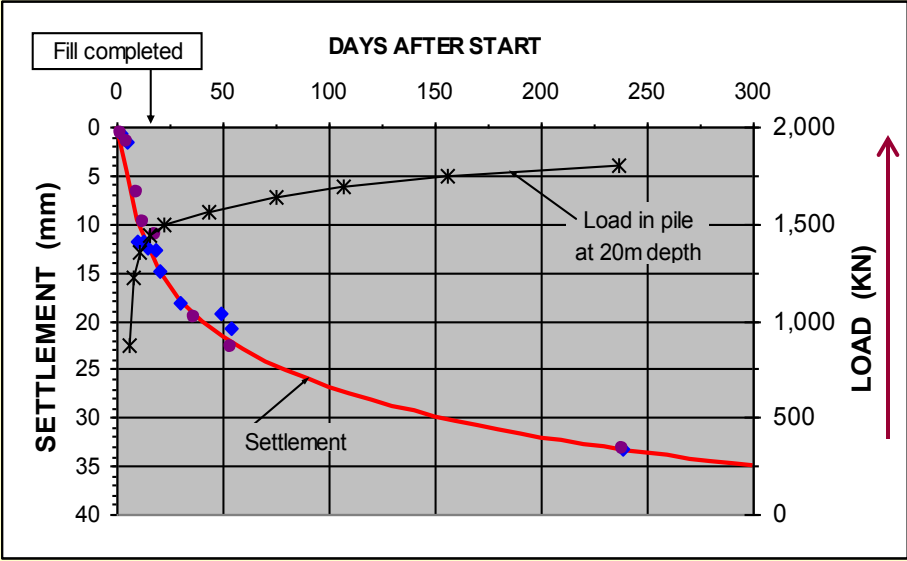


## CASE #6

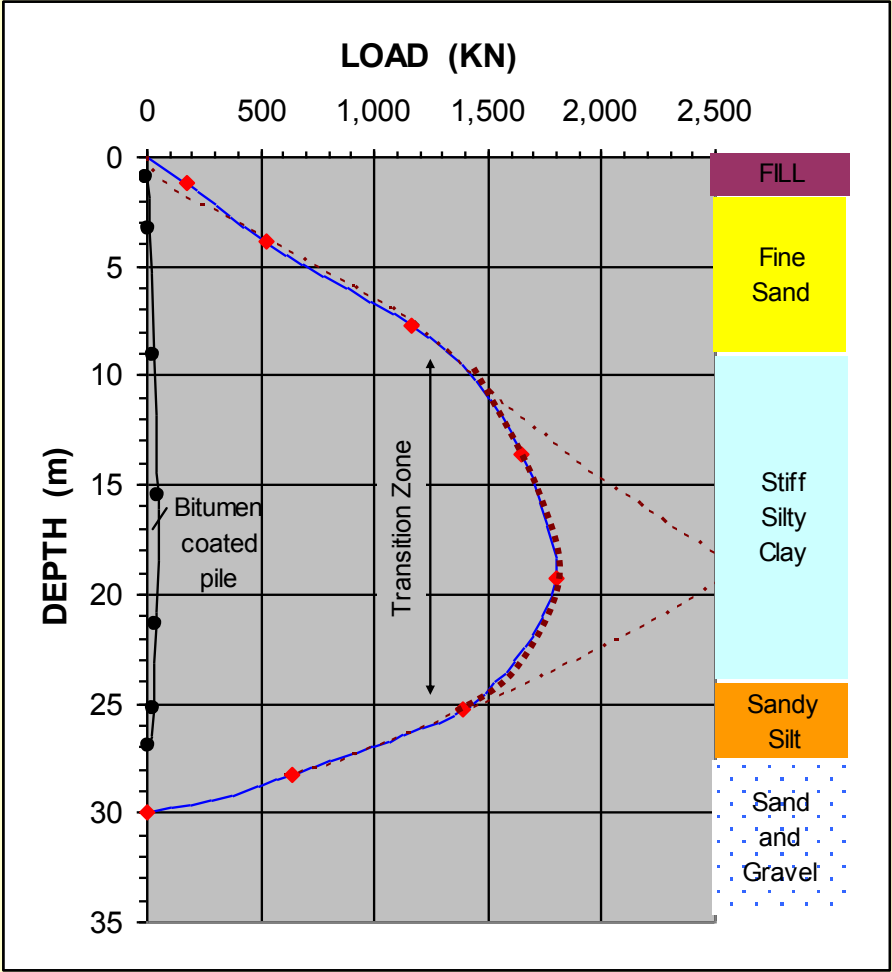
A study in Australia of two 760 mm, strain-gage instrumented, open-toe pipe piles driven through a 6 m sand layer over a 15.5 m thick overconsolidated silty clay deposited on silt and sand. Ground surface settlement was induced by placing a 3 m high surcharge over 200m x 100m area around the test piles, causing drag load.



CASE #6



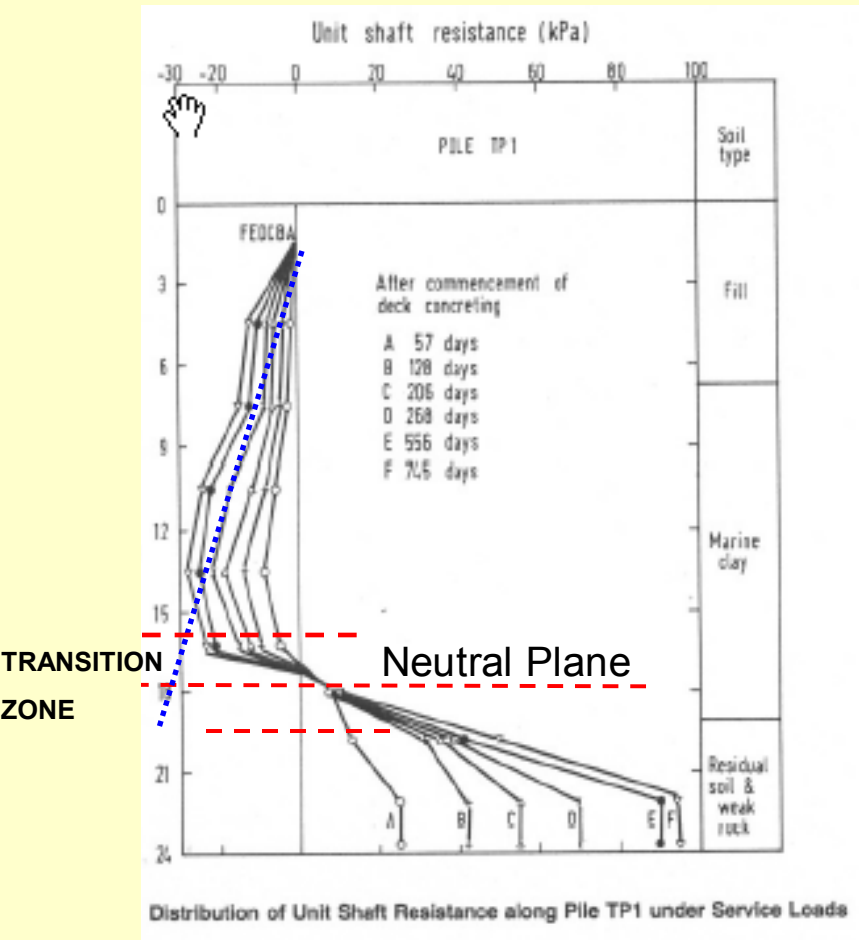
Ground surface settlement due to a 3 m high surcharge placed over 200m x 100m area around the test piles.



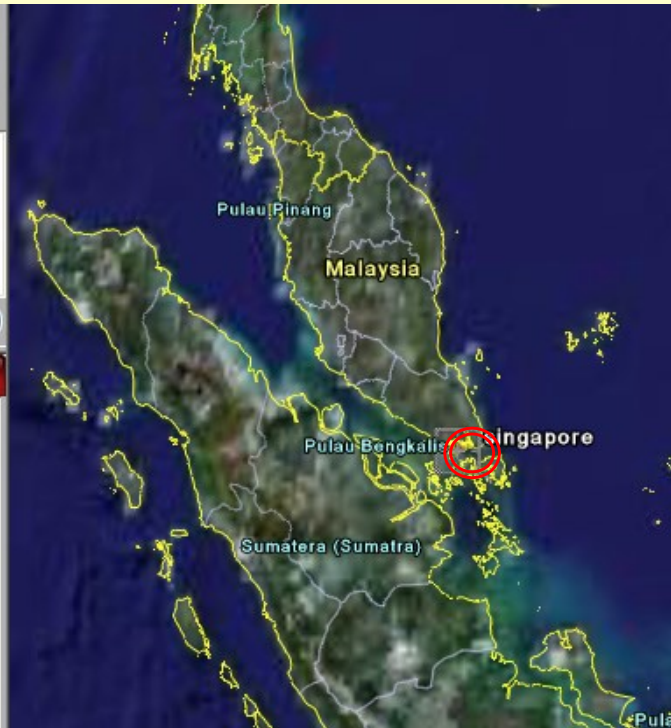
Load distribution on two pipe piles, one bitumen-coated and one uncoated

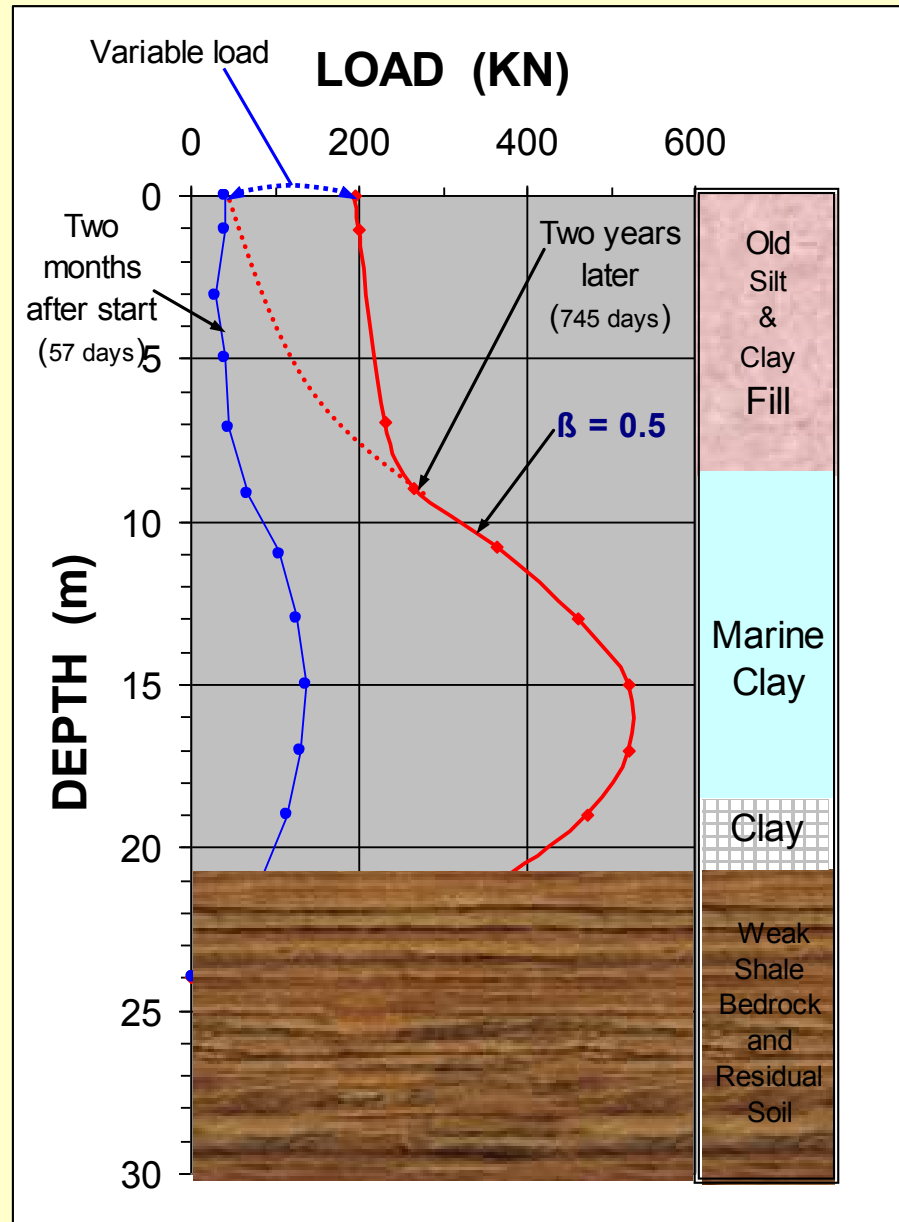
# CASE #7

Leung, C.F, Radhakrishnan, R., and Siew-Ann Tan (1991) presented a case history on instrumented 280 mm square precast concrete piles driven in **marine clay** in Singapore



Note, the distribution of negative skin friction is linear (down to the beginning of the transition zone) indicating the proportionality to the effective overburden stress



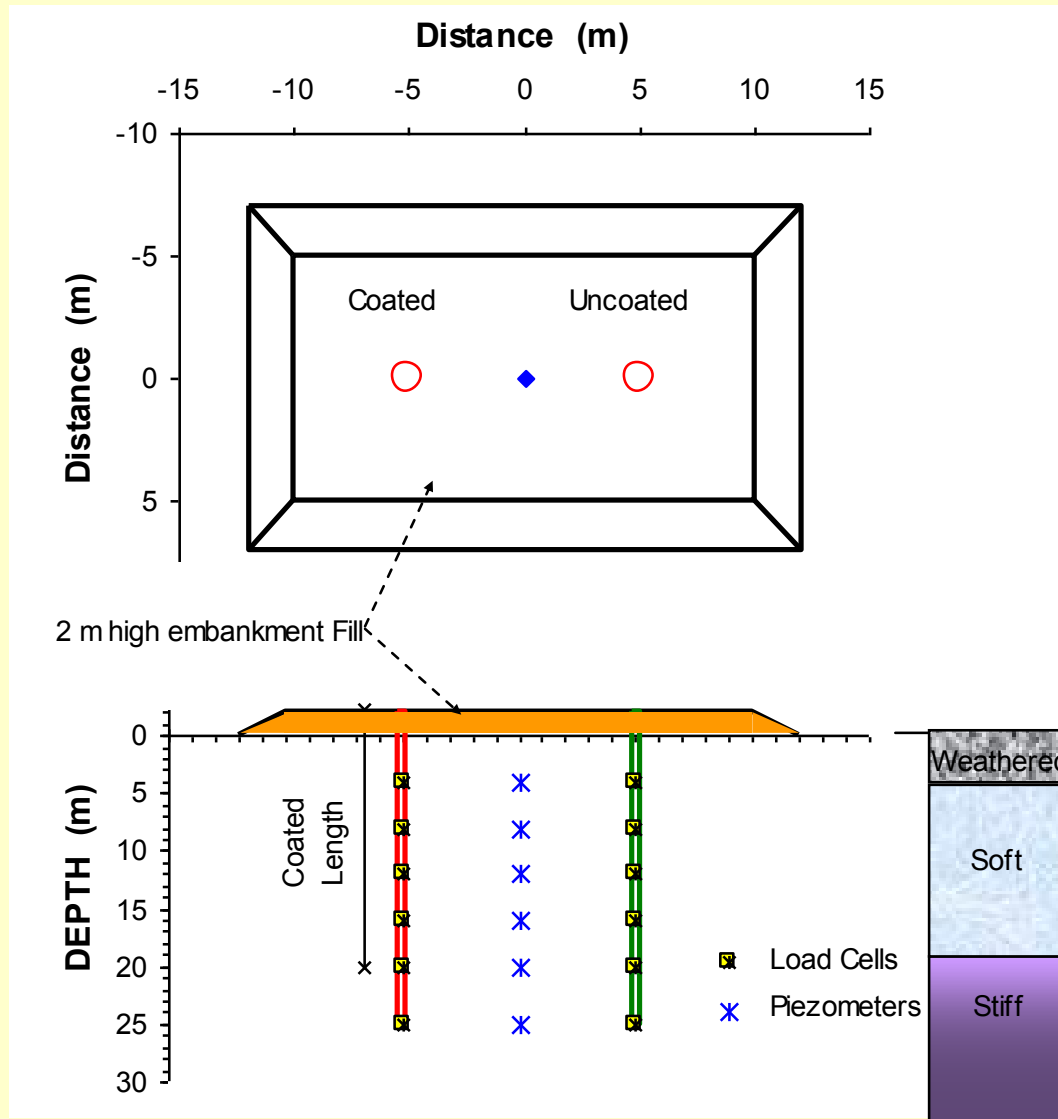




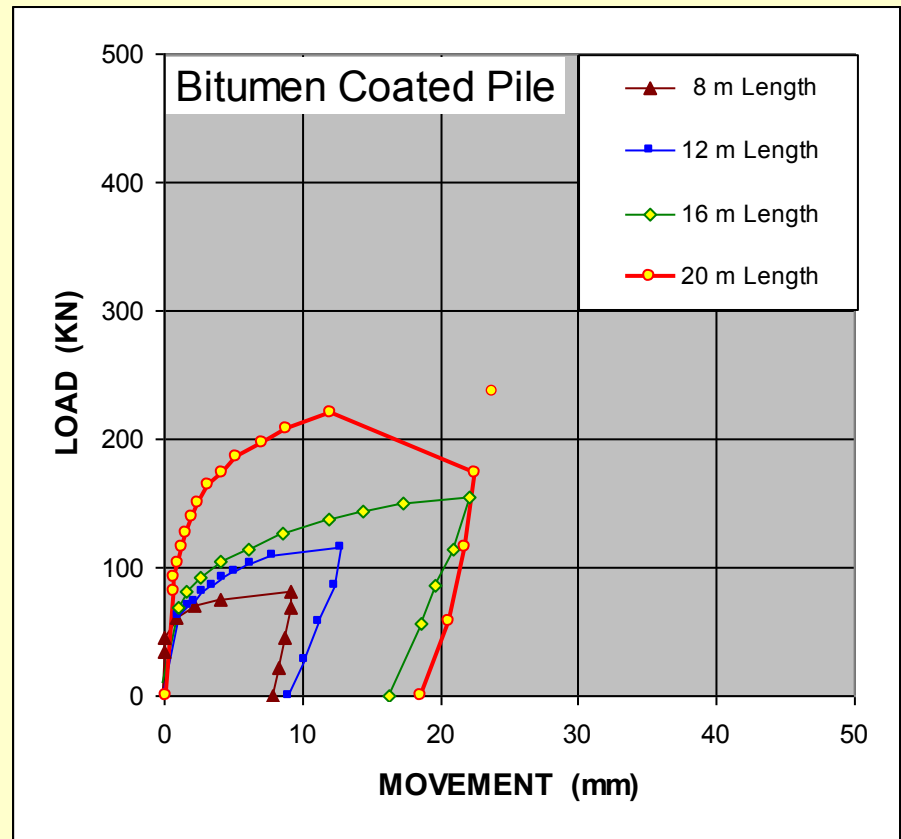
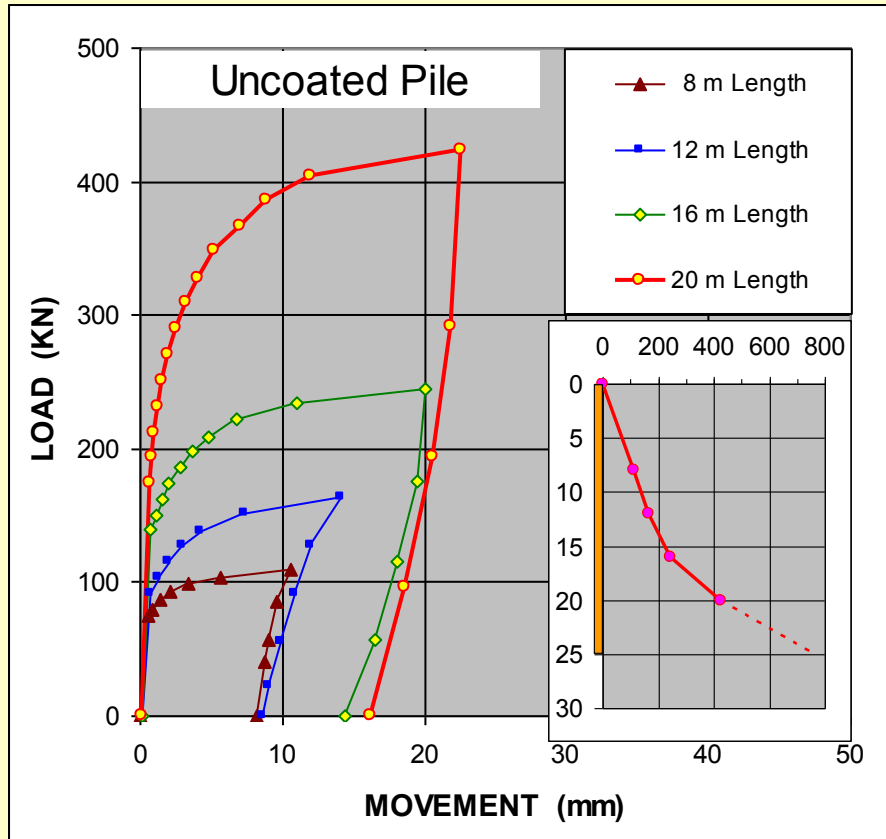
## CASE #8

- Indraratna et al. (1992) reported results from full-scale field tests on instrumented 400 mm diameter, 25 m long cylinder piles driven into Bangkok clay. Tests were made in push and pull on bitumen-coated and uncoated piles.



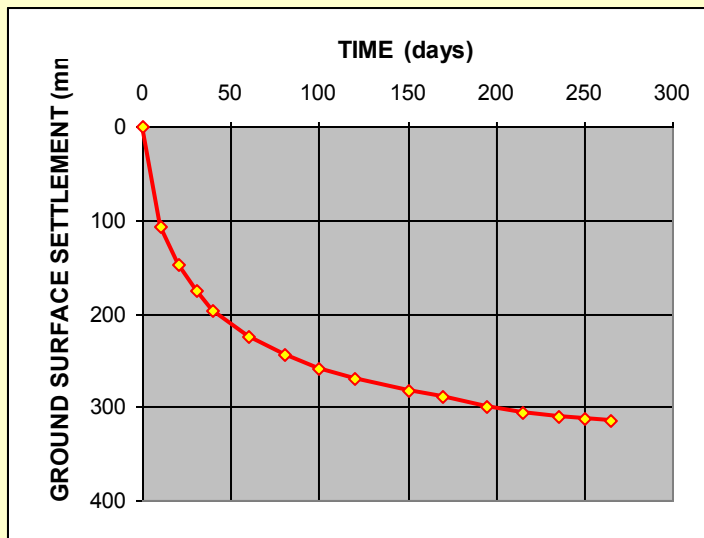


Installation was made one 4m long segment at a time. Before splicing on the next segment and continuing, pull tests were carried out. The diagrams below show the measured load-movement curves.

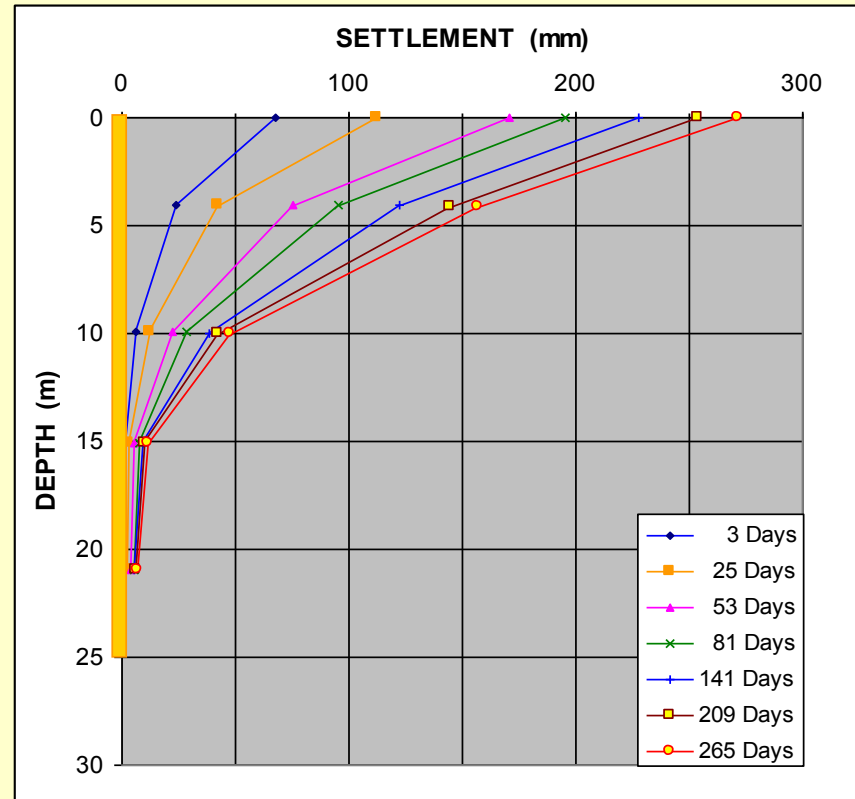


## *Pull Test Results*

After the driving of the piles, the embankment was placed around the piles and settlement, pile forces, and pore pressures were measured during an eight-month period.



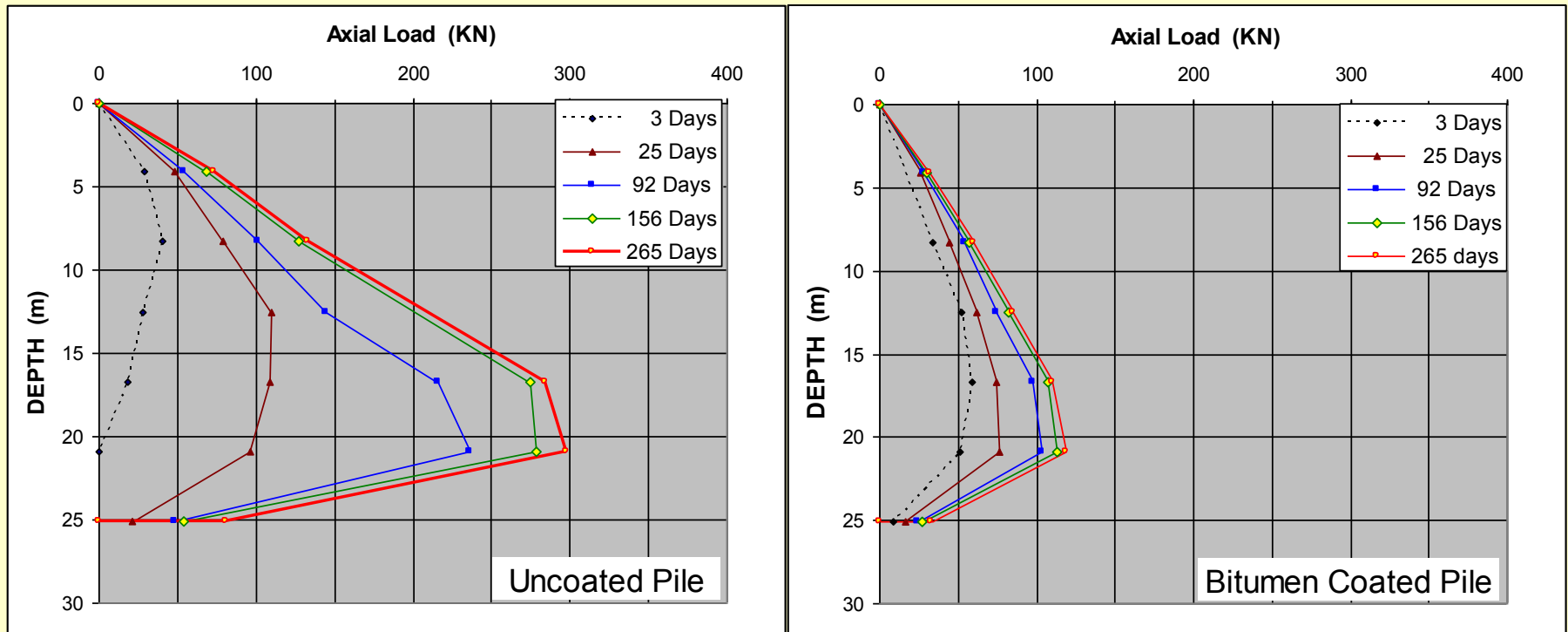
*Ground surface settlement at center of embankment*



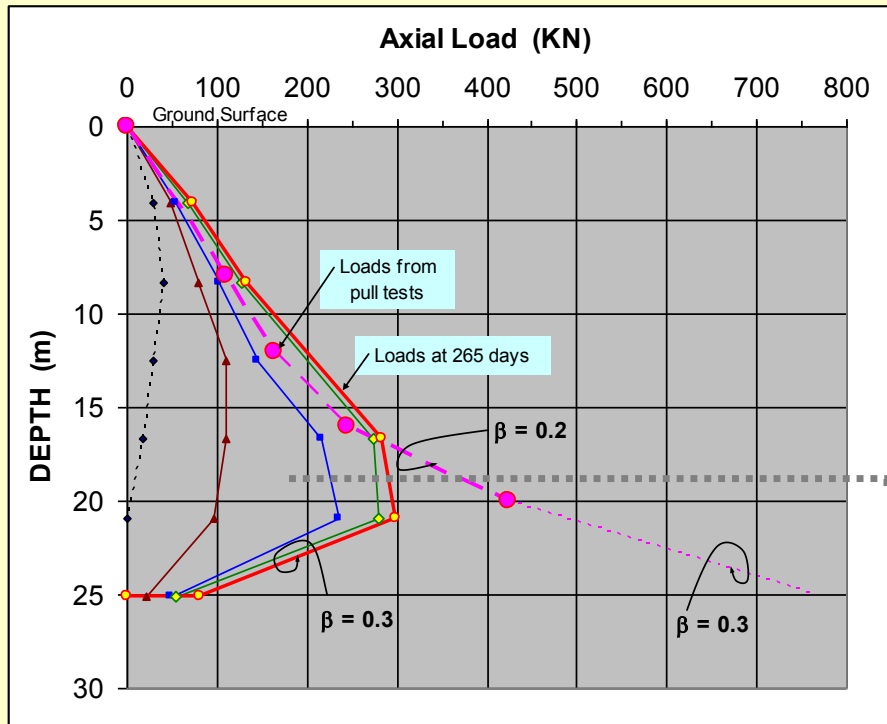
*Vertical distribution of the settlement*



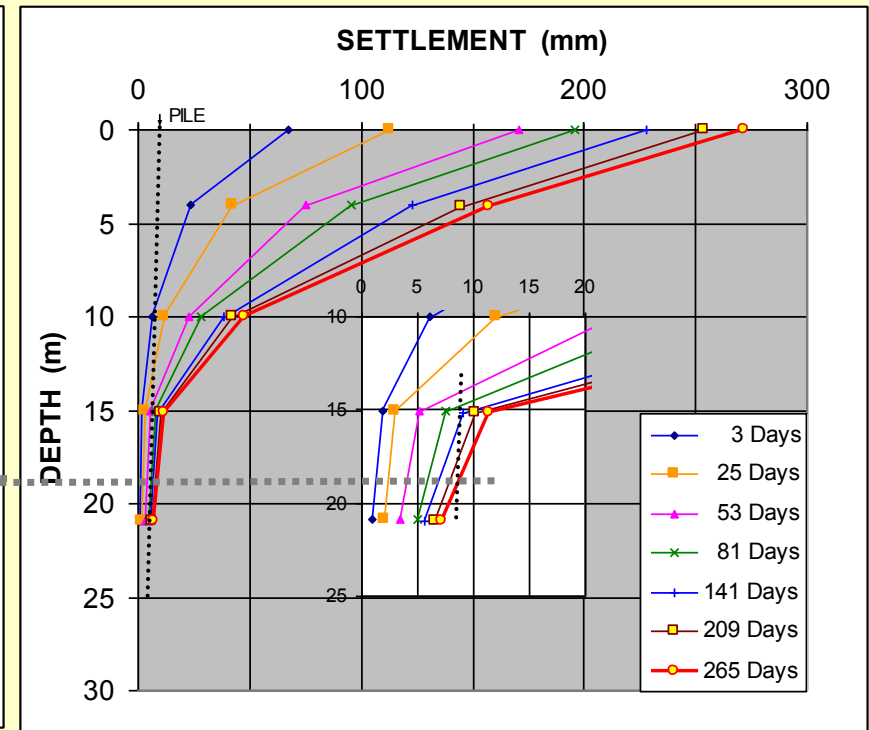
# *Measured distributions of axial load*



The measurements compiled and put into  
the context of the basic soil response.

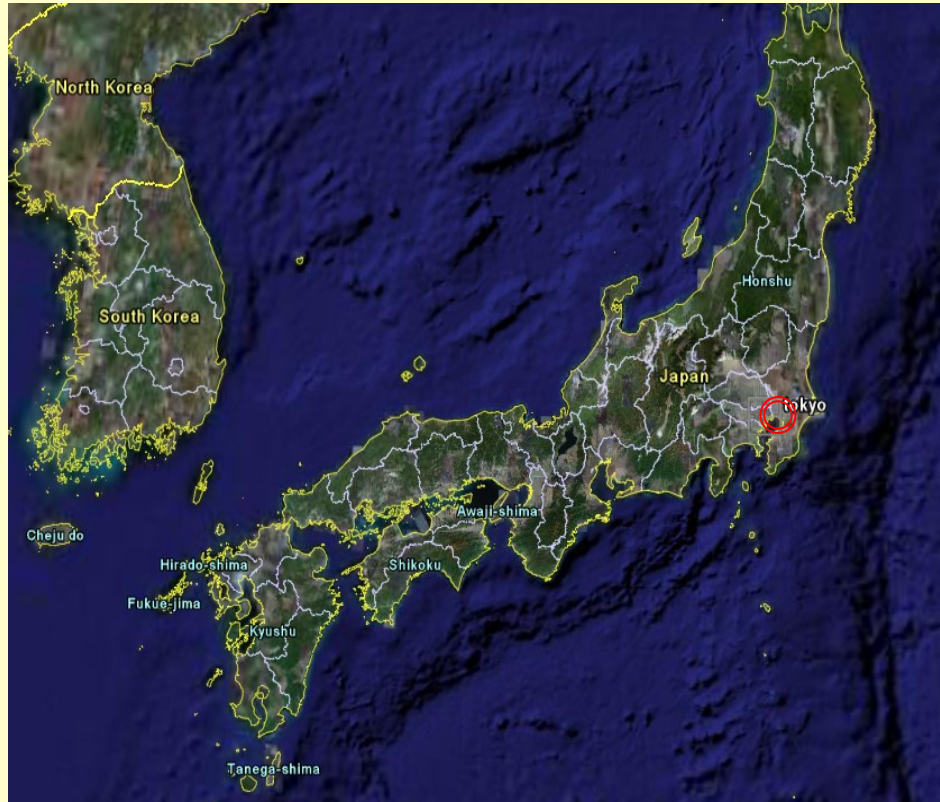


*Measured and calculated load distribution*



*Settlement distribution*

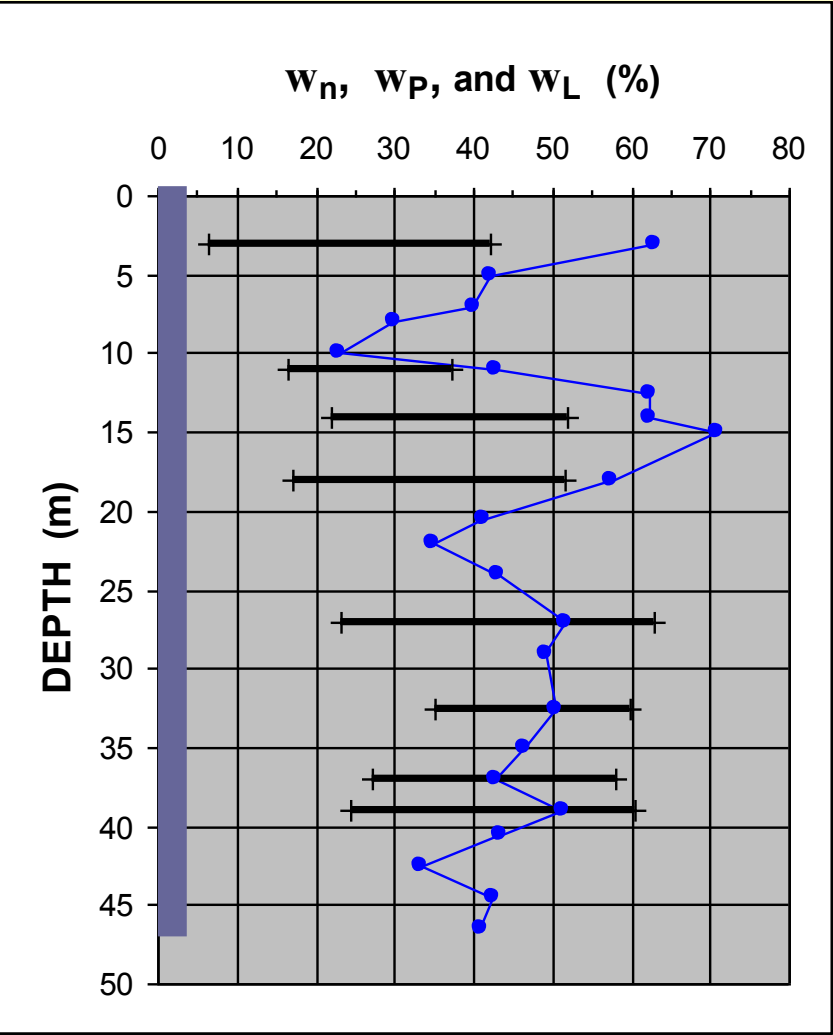
## CASE #9



Okabe, T., 1977. Large negative skin friction and friction-free methods.

Proc. 9<sup>th</sup> ICSMFE, Tokyo, Vol.1, pp. 679 – 683.

CASE #9



Strain-gage instrumented, 600mm diameter, pipe piles driven through silty clay and silt with silty sand.

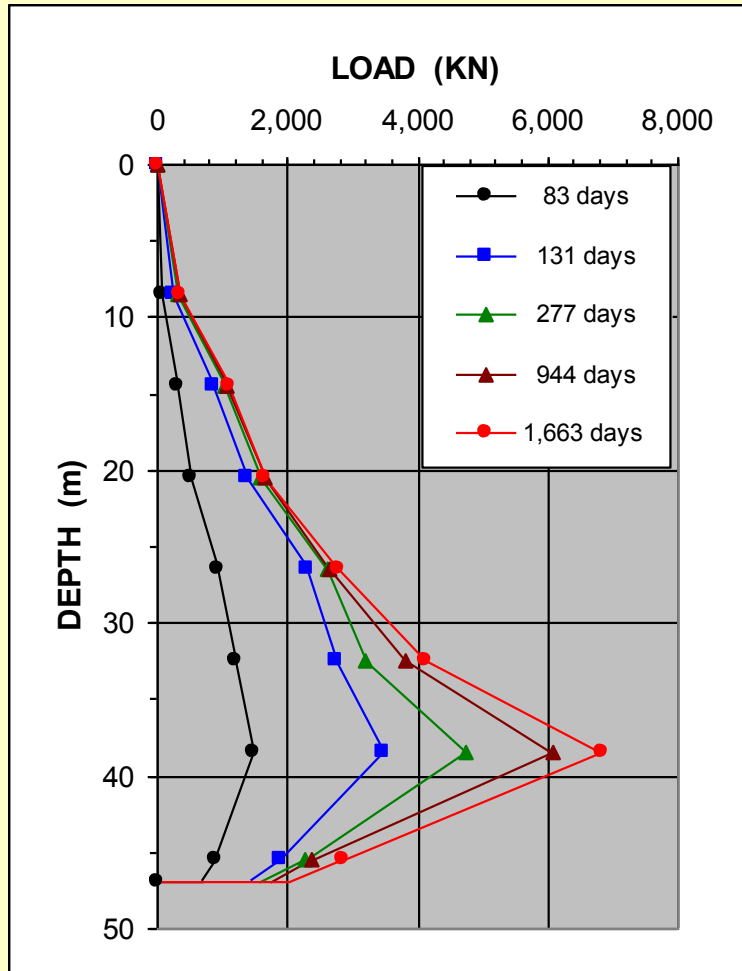
A fill was placed on the ground (no information of fill height) over a vast area of the site and pumping of water at depth lowered the pore pressures at depth (no information on pore pressure distribution).

Data from Okabe (1977)



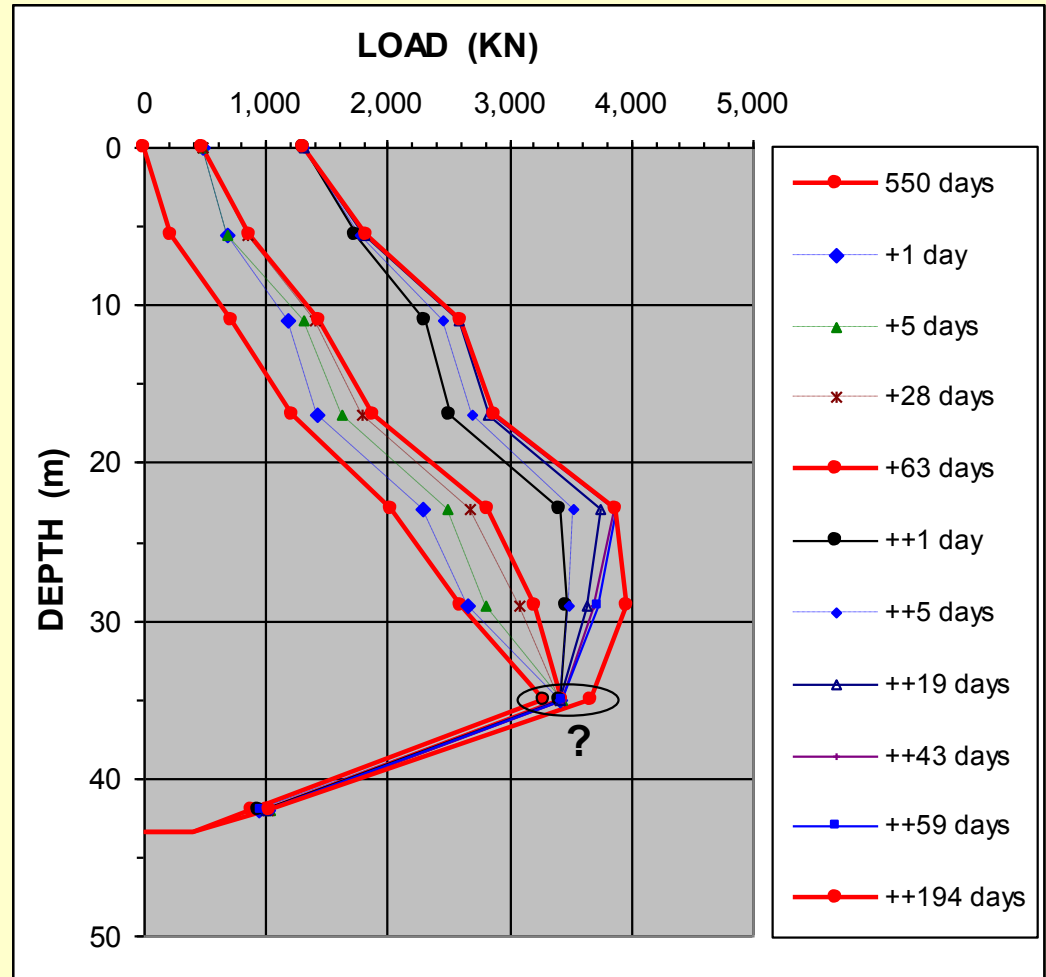
## Test Pile #1 — Single Pile

Load distribution with  
time after driving

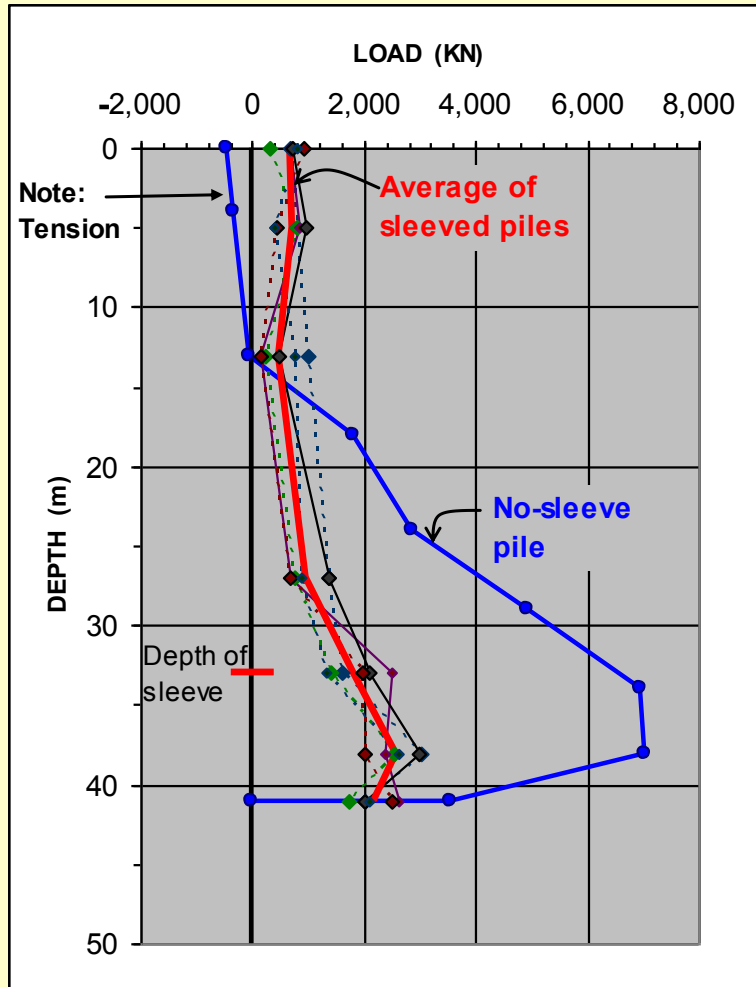


## Test Pile #2 — Single Pile

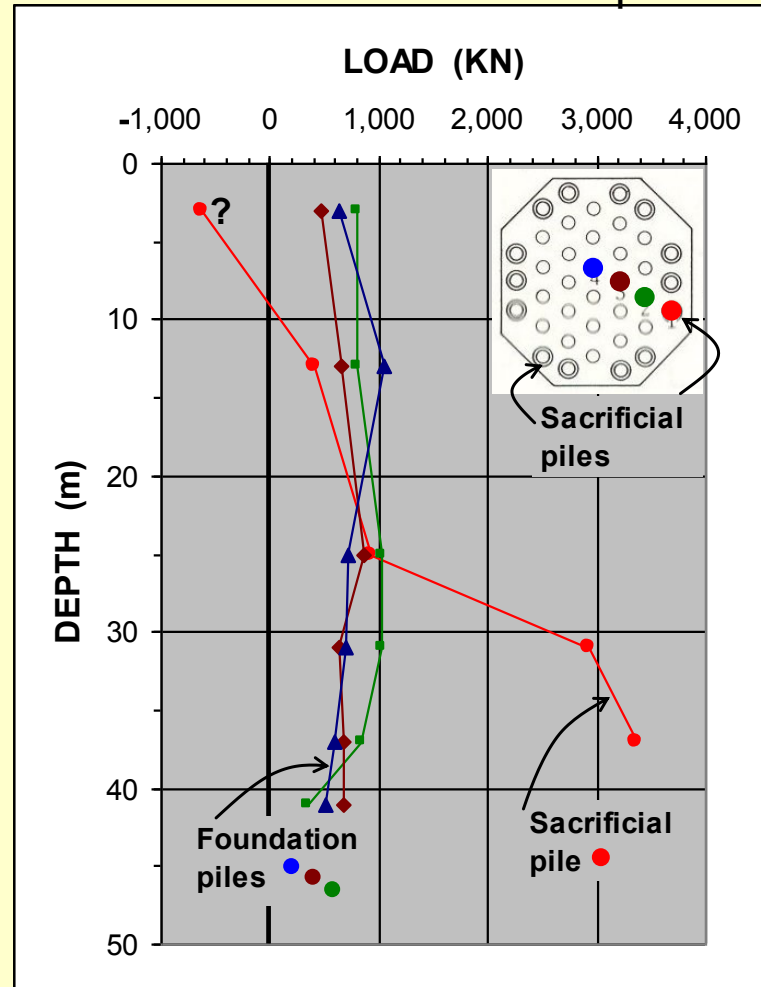
Load distributions as loads (700 KN and 1,000 KN have been placed on the pile head



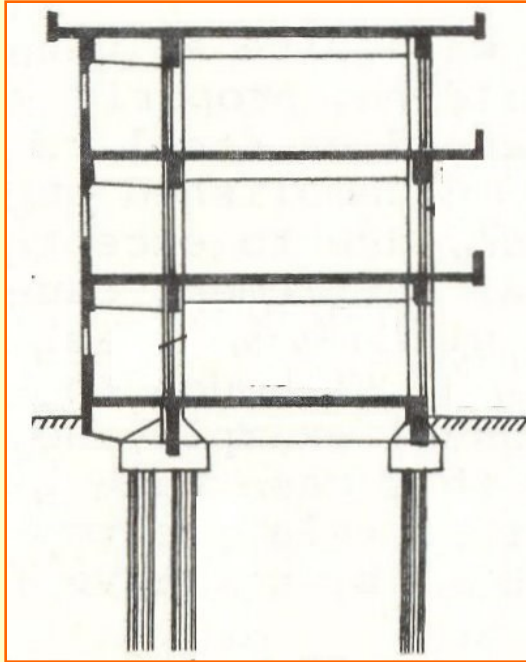
Group of piles connected  
to a common cap  
Four piles are “sleeved”  
one pile is not



Group of piles connected  
to a common cap surrounded by  
“sacrificial” piles supposedly(?)  
not connected to cap



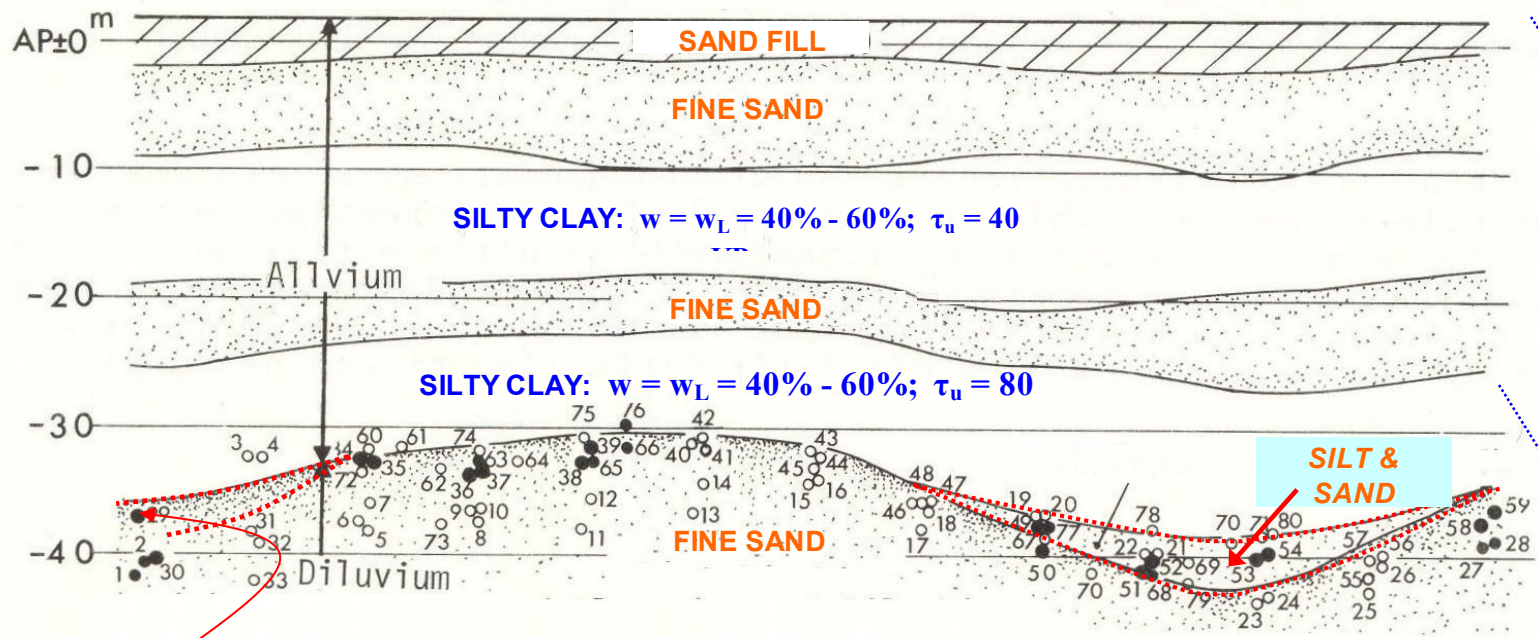
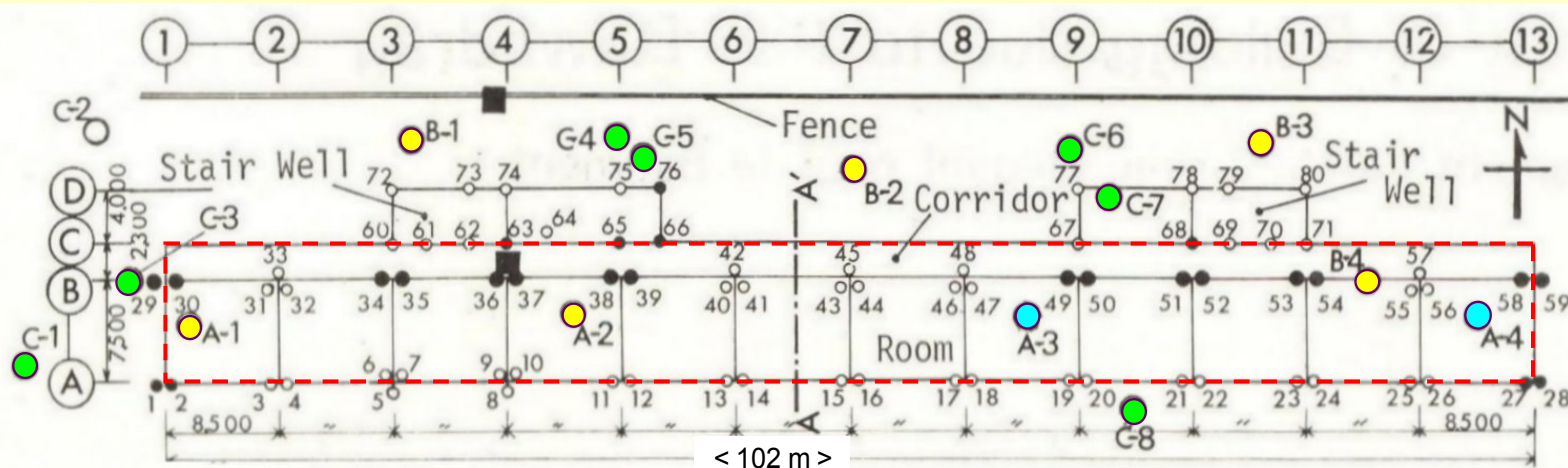
## CASE #10



Inoue, Y., Tamaoki, K., Ogai, T., 1977. Settlement of building due to pile downdrag. Proc. 9<sup>th</sup> ICSMFE, Tokyo, Vol.1, pp. 561 – 564.

### A Downdrag Case

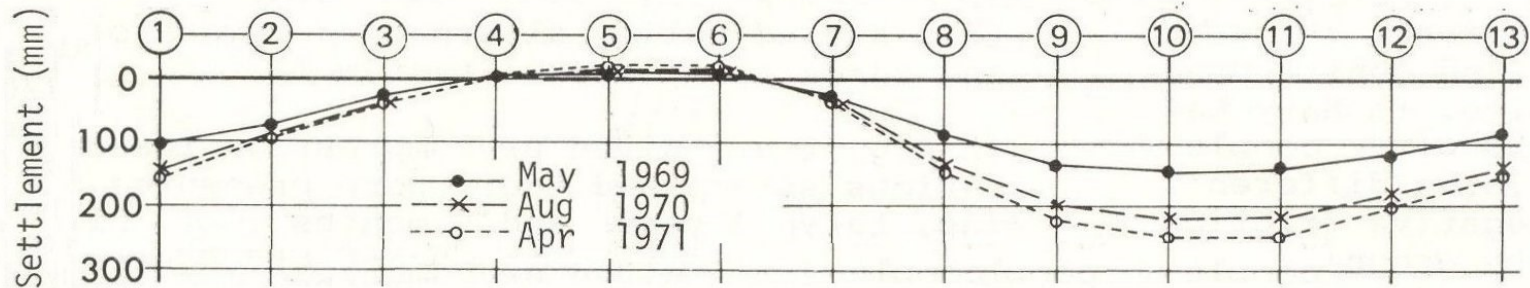
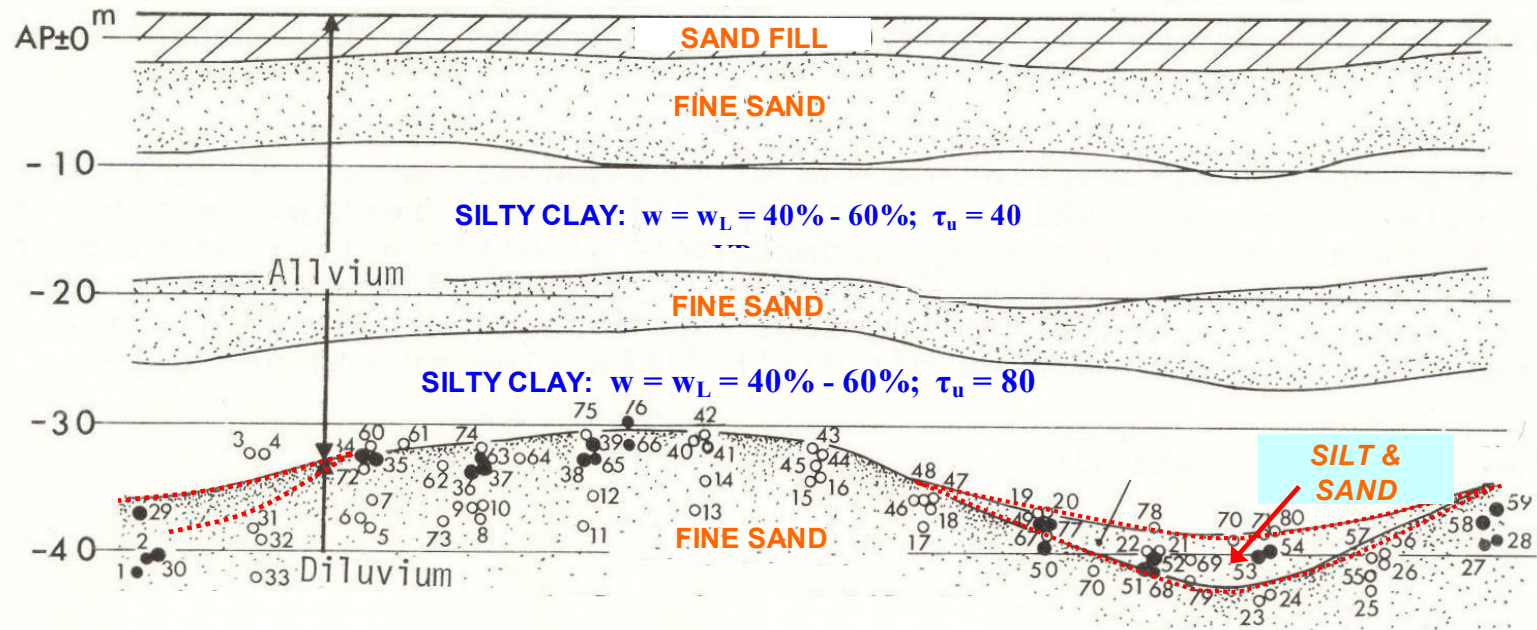
A three-storey building with a foot print of 15 m by 100 m founded on 500 mm diameter open-toe pipe piles driven through sand and silty clay to bearing in a sand layer at about 35 m depth. The piles had more than adequate capacity to carry the building. Two years after construction, the building was noticed to have settled some 150 mm. Measurements during the following two years showed about 200 mm additional settlement. The building was demolished at that time.



Pile Toe Depth

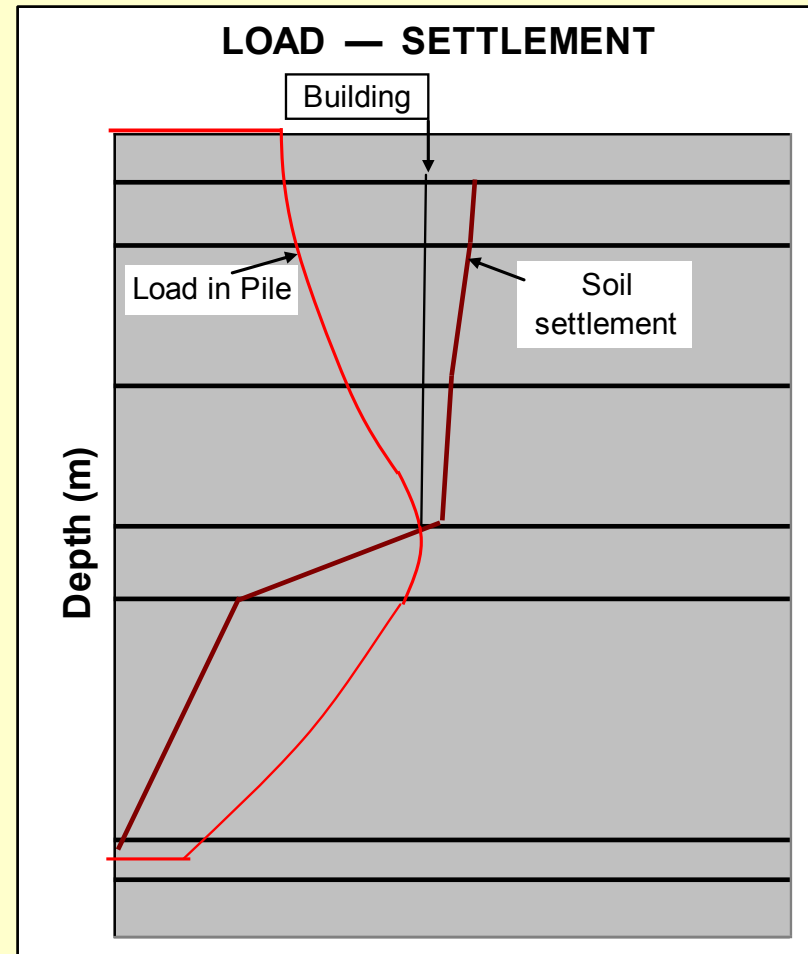
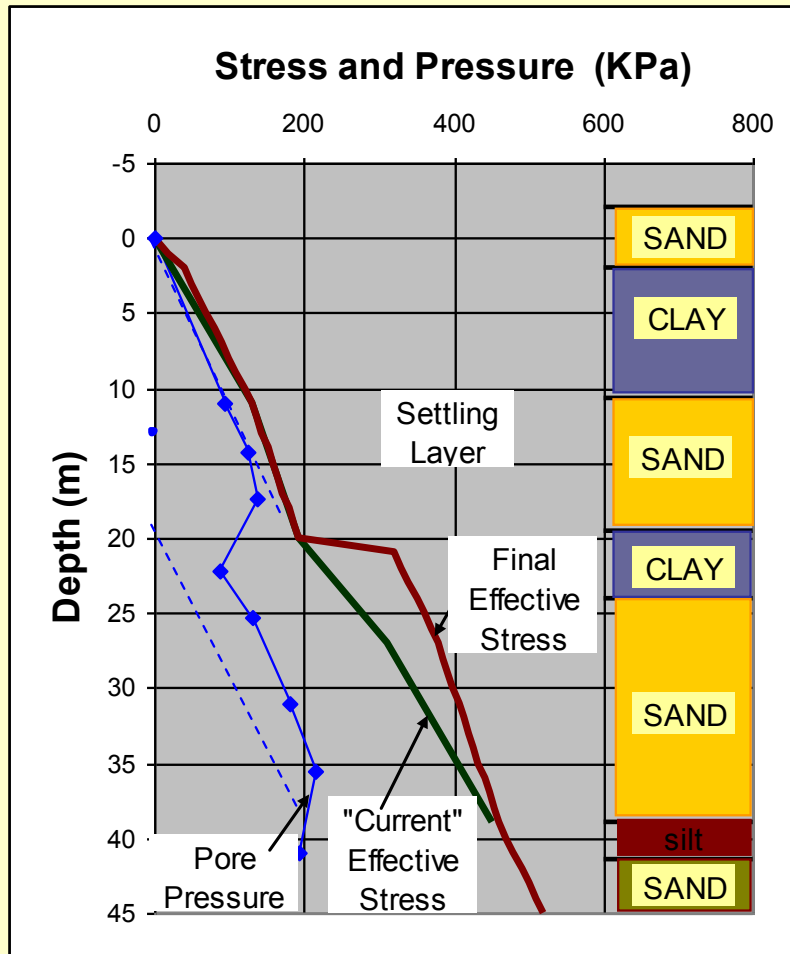
Inoue 1977





Settlement between piles in Row 6 and Row 10  
from Sep. 1967 through May 1969 = 150 mm.

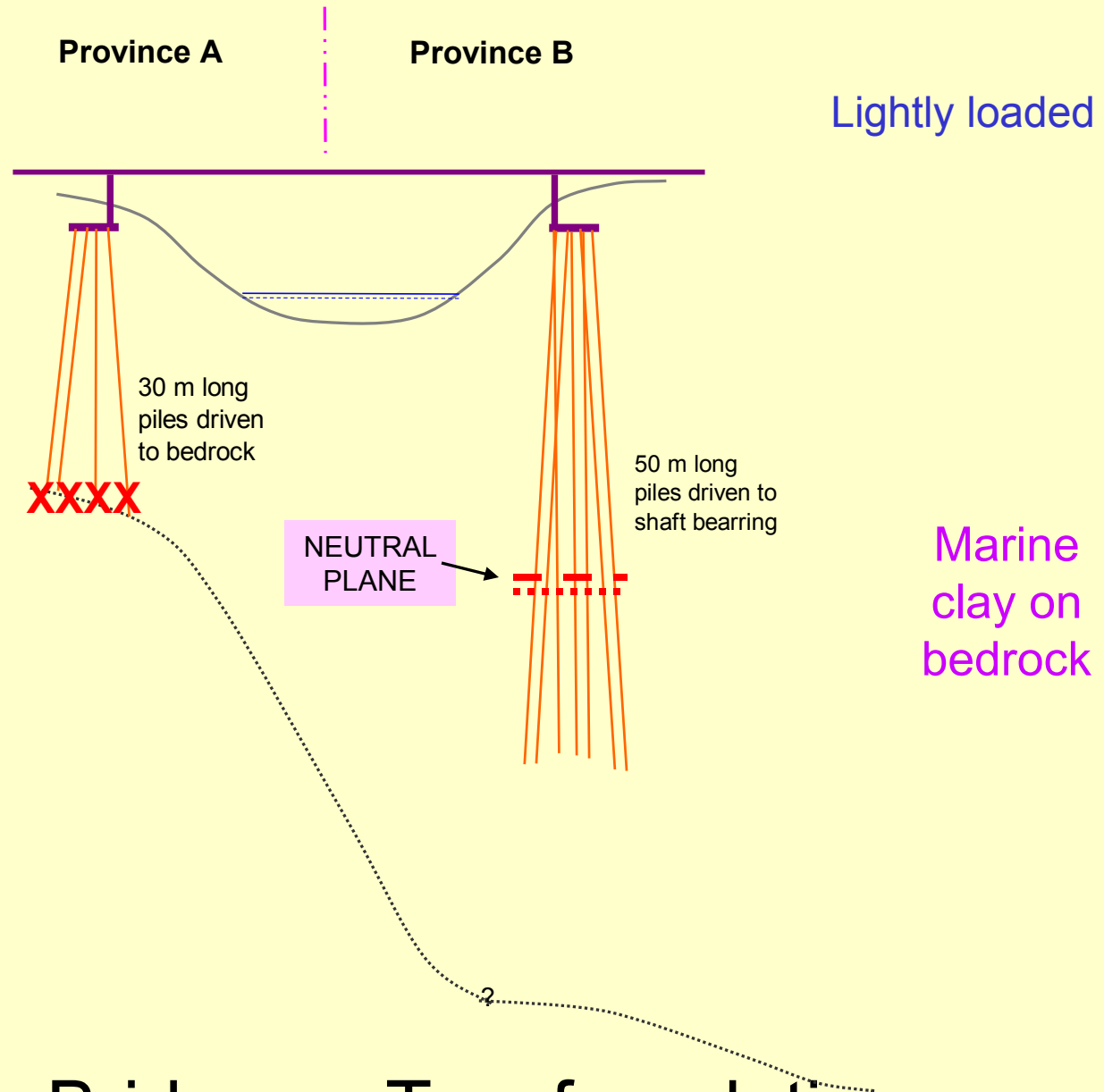
Slope  $\cong 1 : 100$   
(Sep 67 Apr 71)



Speculative distribution

*Two case histories*  
*on*  
*Damaging Drag Load*  
*and*  
*Damaging Downdrag*

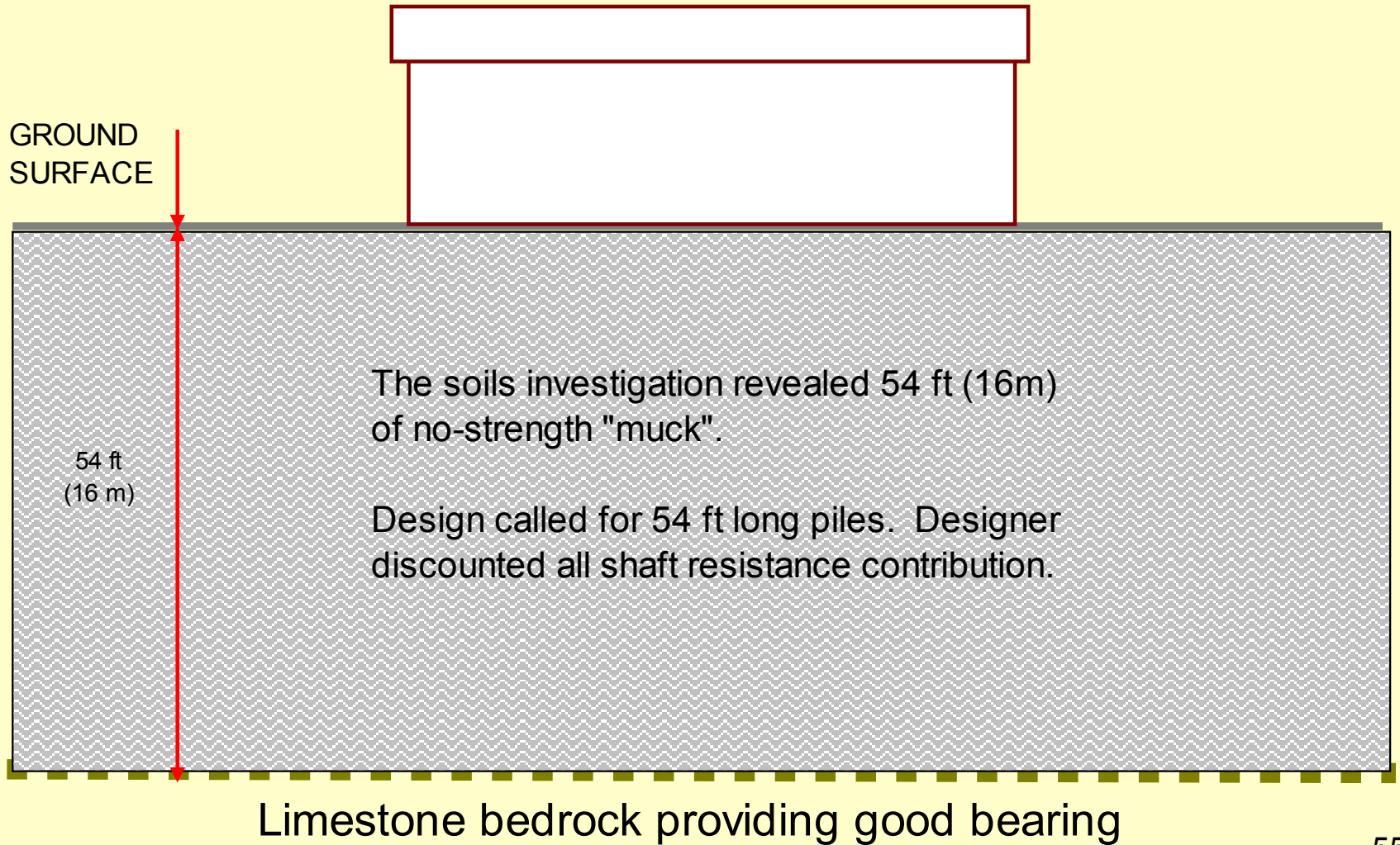
Highly loaded  
(max allowed  
by code)

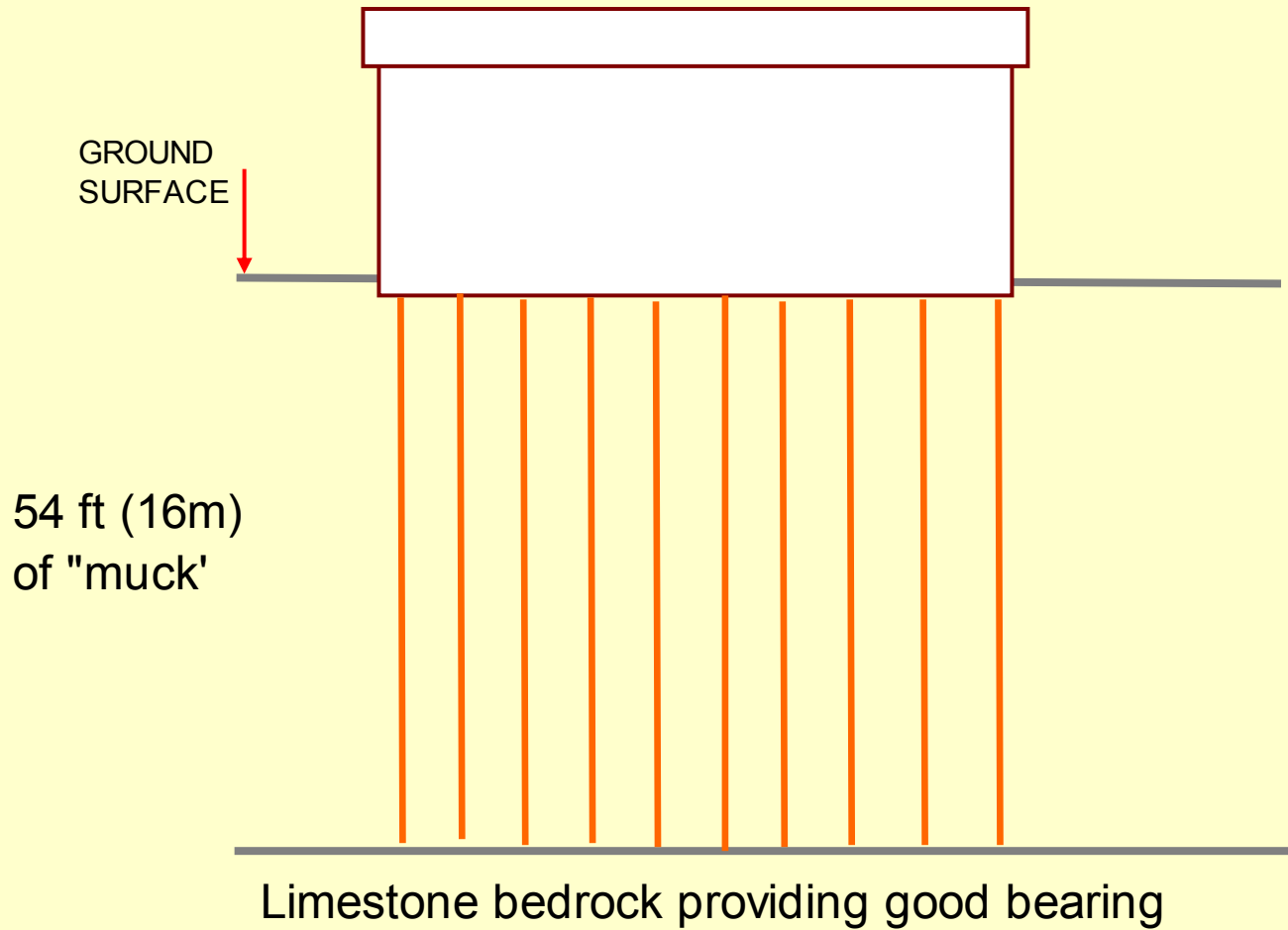


One Bridge — Two foundations



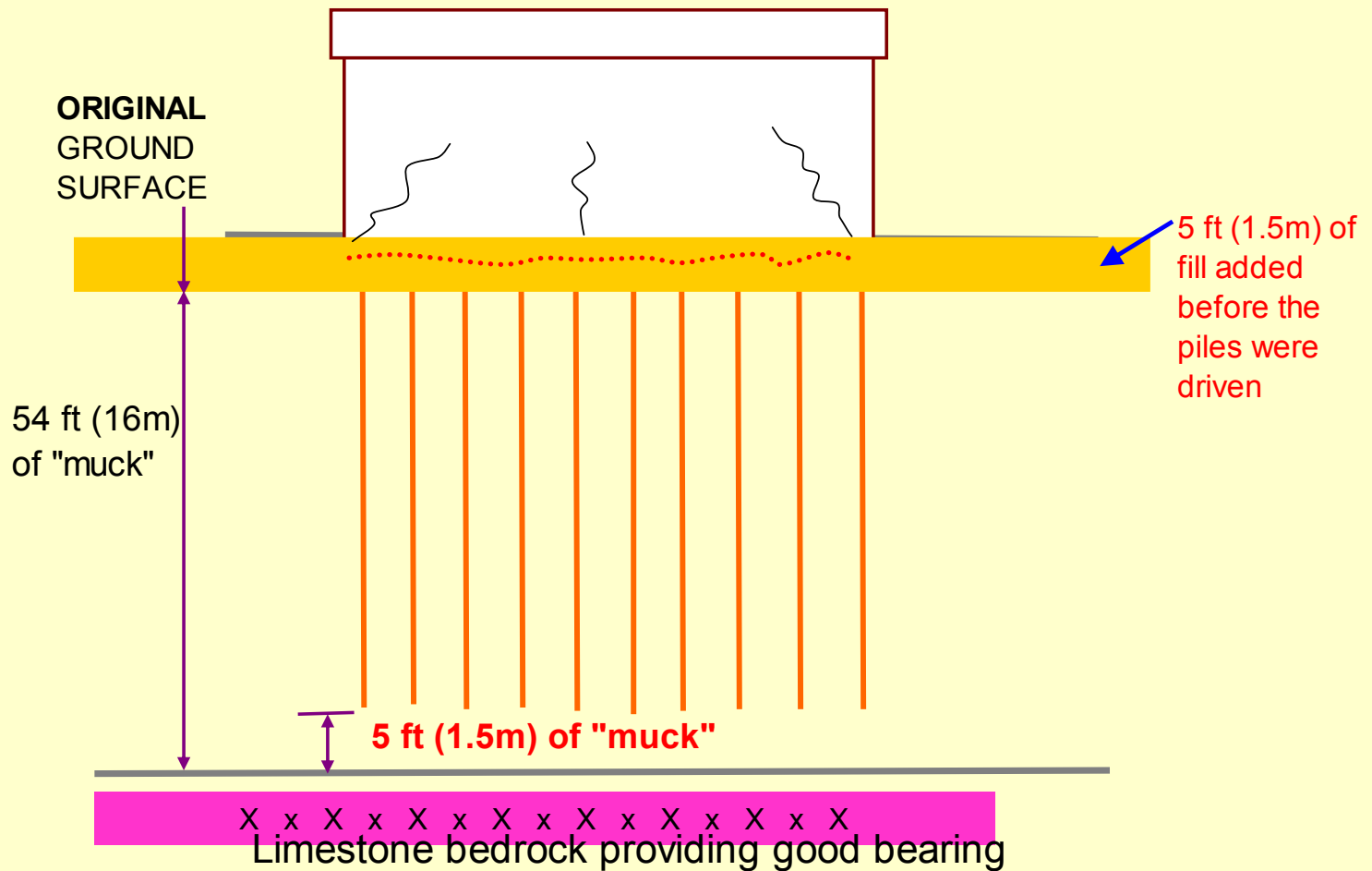
## A CASE HISTORY OF A STRIP-MALL FOUNDED ON PILES





Strip-Mall as designed

# A real DOWNDRAW case

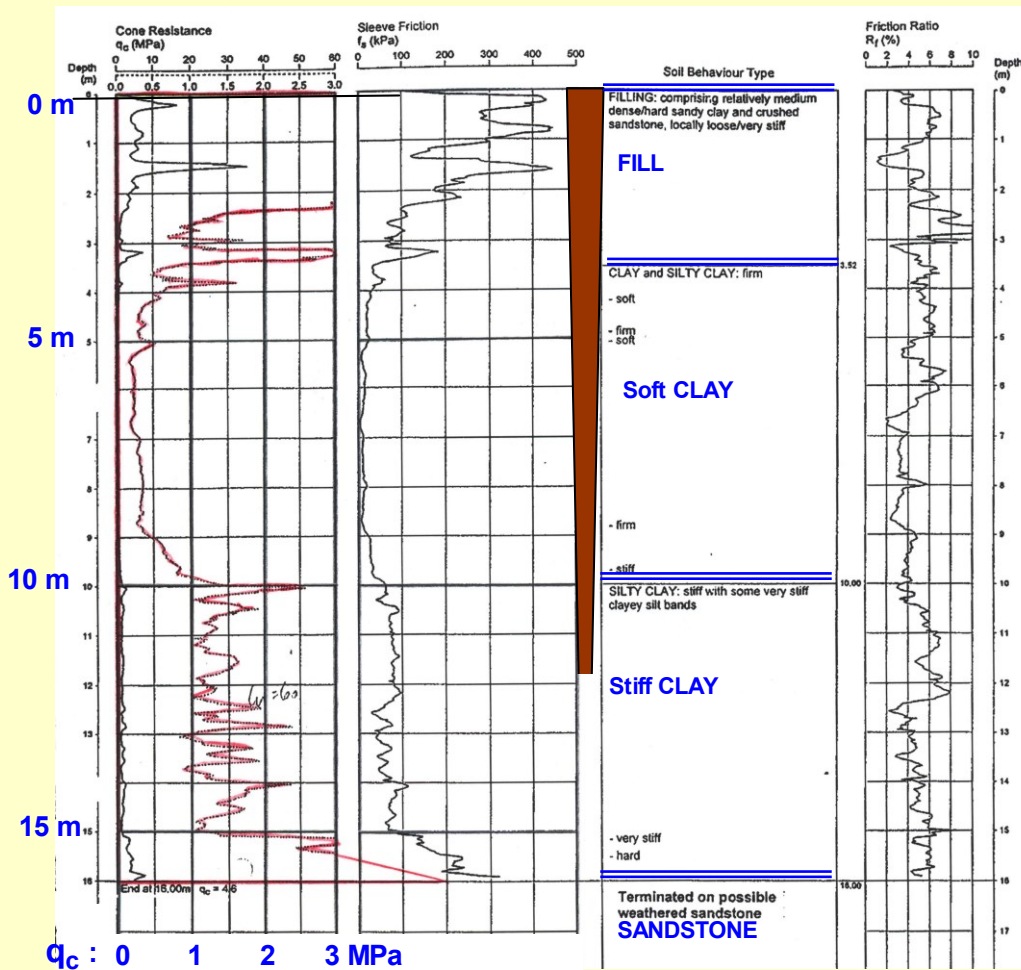


## The quoted case histories and several others have convincingly shown:

- Effective stress governs the mobilization of shaft shear between a pile surface and the soil (negative skin friction as well as positive shaft resistance).
- Very small movement is all that is needed to fully mobilize the shaft shear.
- A pile is a stiff member in a soft medium and there will always be a stress transfer between the two. That is, **a neutral plane will always develop, there will always be negative skin friction accumulating to drag load (residual load) in equilibrium with the positive shaft resistance, and the magnitude of the pile toe resistance will be as determined by the magnitude of movement-interaction between the pile and the soil.**
- The forces are often not fully developed or may take a long time to develop.
- Negative skin friction and drag load is a good thing, as it prestresses the pile (provided the pile structural strength can accept the dead load plus drag load).
- The matter of concern is “**downdrag**”, that is the settlement of the pile from the soil settling around the pile dragging it down.



# Office Building Extension in Brisbane, Australia



## View toward the roof







## Foundations and underpinning

The original piles had a capacity about three times the applied load, but downdrag got the better of them. Luckily, new piles could be installed (driven to the sandstone; for some columns to a “four-for-one” ratio).

\$\$\$









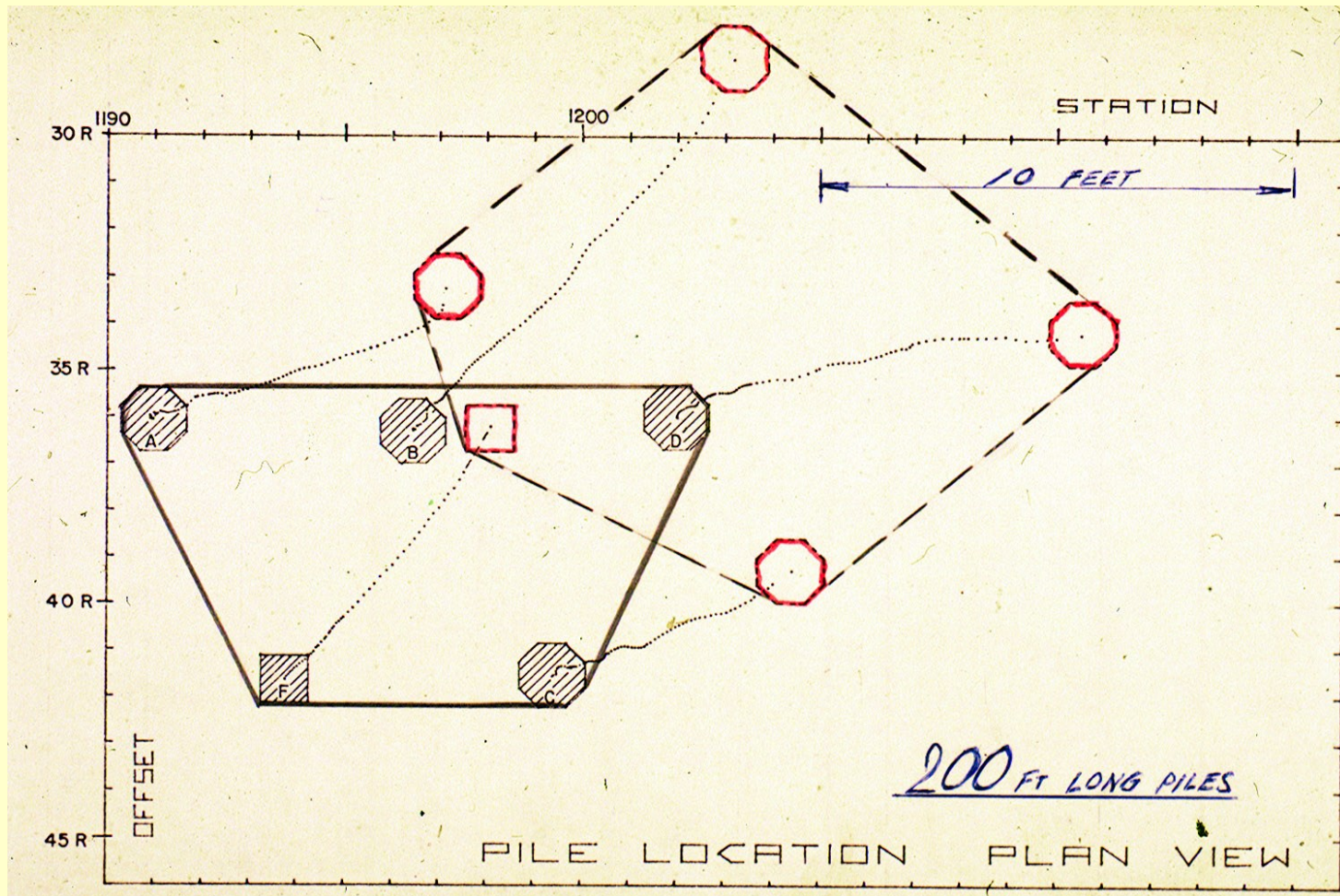




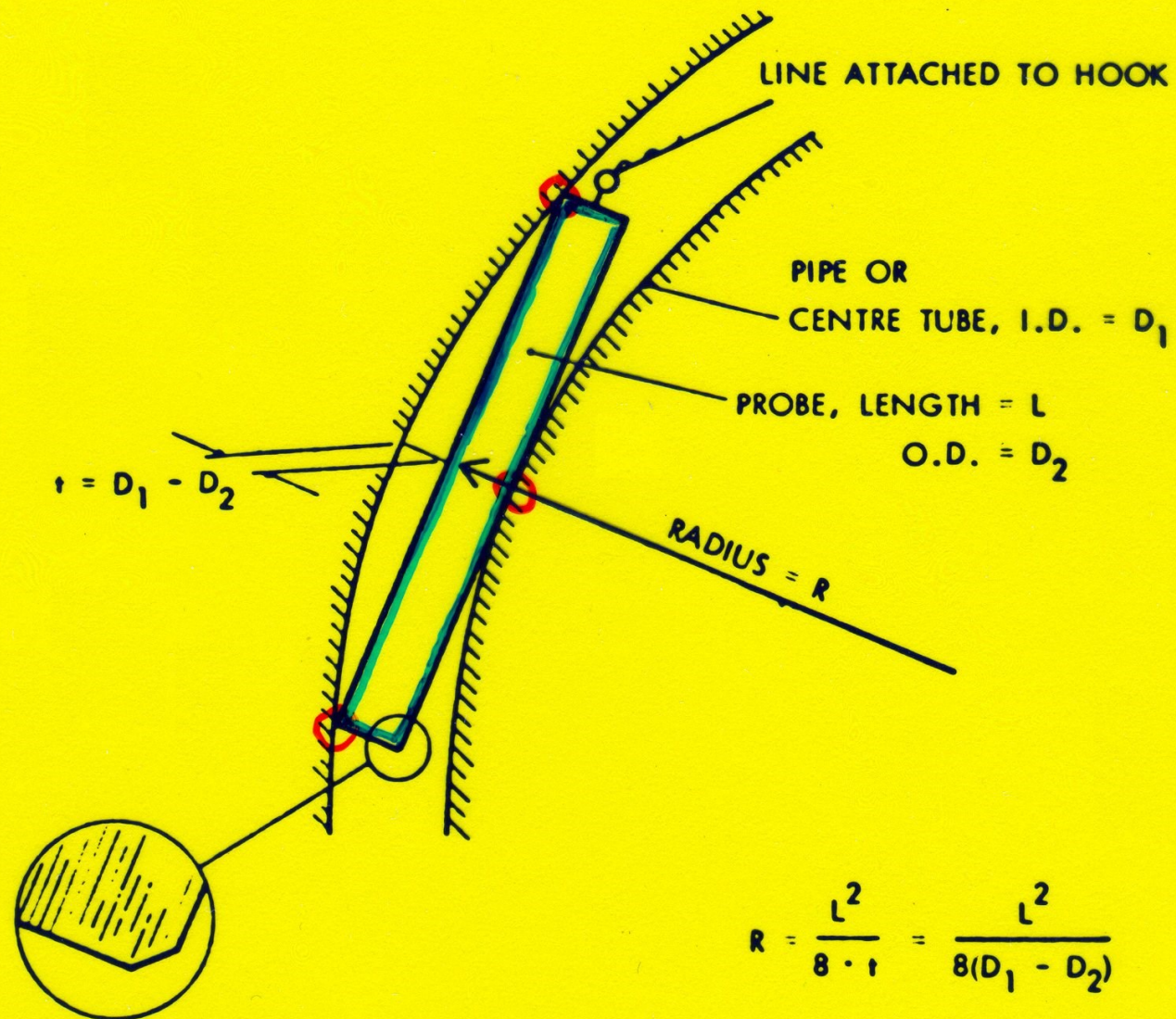


7.25.2003

# Piles will bend and drift







CHAMFER CORNERS  
ALL AROUND, BOTH ENDS

$$R = \frac{L^2}{8 \cdot t} = \frac{L^2}{8(D_1 - D_2)}$$









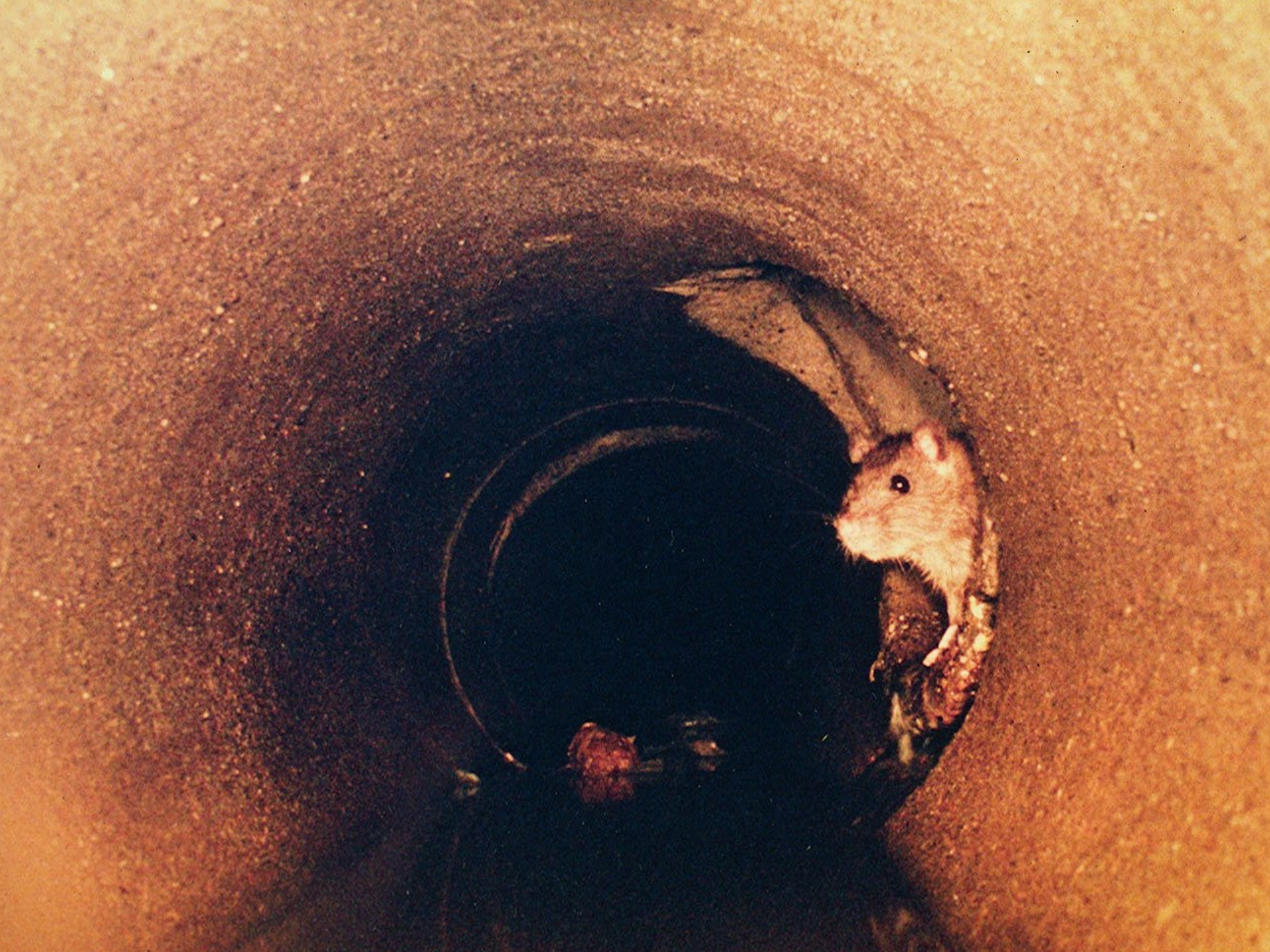














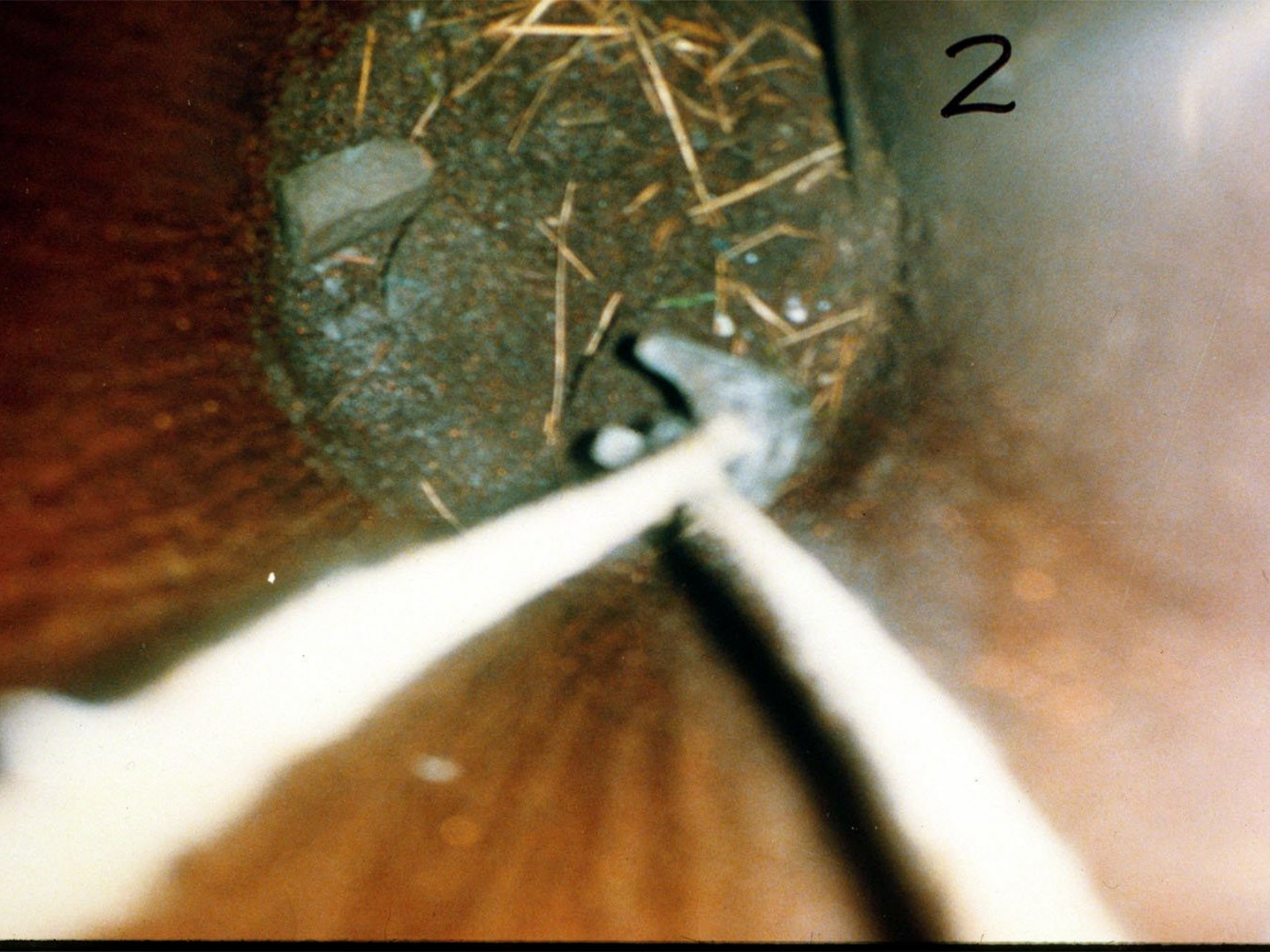








2







**Bridge stops  
tractor-trailer  
at overpass  
near Milton  
on HWY401**

*The Ottawa Citizen  
November 30, 1990*









# Lessons learnt

- Dragloads can be very large.
- Negative skin friction develops also at minimal relative movement between the pile and the soil. That is, piles will always experience drag load whether the settlement on the ground is large or small — or not noticeable.
- The shear force between a pile and the soil is governed by effective stress. Total stress (“undrained shear strength”) has little relation to the shear forces.
- A force equilibrium (neutral plane) develops between negative direction and positive direction forces (loads)
- Bitumen coat is effective in reducing the shear force.

# Lessons learnt

- A Neutral Plane develops where the negative direction forces are in equilibrium with the positive direction forces, which is where the shaft shear changes from negative skin friction changed to positive shaft resistance.
- The Neutral Plane is also where the pile and the soil move (settle) equally, i.e., where there is no relative movement between the pile and the soil.
- The settlement of the pile head (the pile cap, the piled foundation) is the settlement of the pile(s) at the Neutral Plane plus the 'elastic' shortening of the pile for the load.



# Lessons learnt

- Live load does not combine with drag load
- The negative skin friction (and positive shaft resistance) only needs at most a few millimeter of movement to become fully mobilized. That is, be the ground settlement large or small, the drag load will be about as large.
- Moreover, practically all piles will have drag load.